# On the $\varphi$-Normal Meromorphic Functions 

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#### Abstract

In this paper we study a family of $\varphi$-normal meromorphic functions, and obtain some results which improve and generalize previous results in this area, especially the works of Lappan [2], AulaskariRättyä [1], Xu-Qiu [7] and the recent work of Tan-Thin [6].


## 1. Introduction and Results

Classically, a family $\mathcal{F}$ of meromorphic functions on a domain $D \subset \mathbb{C}$ is said to be normal if every sequence in $\mathcal{F}$ contains a subsequence which converges uniformly on every compact subset of $D$ to a meromorphic function which may be $\infty$ identically. See [4, 9]. In 1957, Lehto and Virtanen [3] introduced the concept of normal meromorphic functions in connection with the study of boundary behaviour of meromorphic functions. Let $\Delta=\{z ;|z|<1\}$ be the unit disc in $\mathbb{C}$, and let $\mathcal{M}(\Delta)$ denote the set of all meromorphic functions on $\Delta$. A function $f \in \mathcal{M}(\Delta)$ is called normal if

$$
\sup \left\{\left(1-|z|^{2}\right) f^{\#}(z) ; z \in \Delta\right\}<\infty
$$

where $f^{\#}(z)=\left|f^{\prime}(z)\right| /\left(1+|f(z)|^{2}\right)$ is the spherical derivatives of $f$. The close relation between normal families and normal functions is as following. A meromorphic function $f$ is normal if and only if the family $\mathcal{F}_{f}=\{f \circ \tau ; \tau \in \operatorname{Aut}(\Delta)\}$ is normal. Since then normal meromorphic functions have been studied intensively (see [4] and [5] ). For example, the well-known Lappan [2] five-point theorem says that $f \in \mathcal{M}(\Delta)$ is a normal function if $\sup \left\{\left(1-|z|^{2}\right) f^{\#}(z) ; z \in f^{-1}(E)\right\}$ is bounded for some five-point subset $E$ of the image set $f(\Delta)$.

In 2011, R. Aulaskari and J. Rättyä [1] introduce the concept of $\varphi$-normal functions. We can state the definition as followings to cover normal functions.
Definition 1.1. ([1, 6]) An increasing function $\varphi:[0,1) \rightarrow(0, \infty)$ is called smoothly increasing if

$$
\begin{equation*}
\varphi(r)(1-r) \geq 1, \quad r \in[0,1) \tag{1.1}
\end{equation*}
$$

and

$$
\begin{equation*}
\mathcal{R}_{a}(z):=\frac{\varphi(|a+z / \varphi(|a|)|)}{\varphi(|a|)} \rightarrow 1 \text { as }|a| \rightarrow 1^{-} \tag{1.2}
\end{equation*}
$$

uniformly on compact subsets of $\mathbb{C}$.

[^0]Definition 1.2. ([1, 6]) For a smoothly increasing function $\varphi$, a function $f \in \mathcal{M}(\Delta)$ is called $\varphi$-normal if

$$
\begin{equation*}
\|f\|_{\mathcal{N}^{\varphi}}:=\sup _{z \in \Delta} \frac{f^{\#}(z)}{\varphi(|z|)}<\infty \tag{1.3}
\end{equation*}
$$

The class of all $\varphi$-normal functions is denoted by $\mathcal{N}^{\varphi}$.
Remark 1.3. In [1] condition (1.1) is replaced by a stricter one

$$
\begin{equation*}
\varphi(r)(1-r) \rightarrow \infty \quad \text { as } \quad r \rightarrow 1^{-} . \tag{1.4}
\end{equation*}
$$

Note that if $\varphi$ satisfies (1.4) then we will always further assume that $\varphi(r)(1-r) \geq 1$ for all $r \in[0,1)$. This because $\varphi^{*}(r):=\varphi(r)+(1-r)^{-1}$ satisfies $\mathcal{N}^{\varphi^{*}}=\mathcal{N}^{\varphi}$.

Also in [1], Aulaskari and Rättyä obtained a version of Lappan's five-point theorem for $\varphi$-normal functions.
Theorem A. ([1, Theorem 9]) Let $\varphi$ be a smoothly increasing function and let $f \in \mathcal{M}(\Delta)$. If there exists a set $E$ of five distinct points in $\widehat{\mathbb{C}}$ such that

$$
\sup \left\{f^{\#}(z) / \varphi(|z|) ; z \in f^{-1}(E)\right\}<\infty
$$

then $f$ is $\varphi$-normal.
Recently, motivated by the extension of the spherical derivative, Y. Xu and H. L. Qiu improved Theorem A as following.
Theorem B. ( [7, Theorem 2]) Let $\varphi$ be a smoothly increasing function, and let $k$ be a positive integer. Let $f \in \mathcal{M}(\Delta)$ such that

$$
\sup \left\{f^{(i)}(z) ; z \in f^{-1}(\{0\}), i=0,1, \ldots, k-1\right\}<\infty .
$$

If there exists a set $E$ of $k+4$ distinct points in $\widehat{\mathbb{C}}$ such that

$$
\sup \left\{\frac{1}{\varphi(|z|)^{k}} \frac{\left|f^{(k)}(z)\right|}{1+|f(z)|^{k+1}} ; z \in f^{-1}(E)\right\}<\infty,
$$

then $f$ is $\varphi$-normal.
In this paper, our first main result is as following.
Theorem 1.4. Let $\varphi$ be a smoothly increasing function, and let $k$ be a positive integer. Let $\mathcal{F} \subset \mathcal{M}(\Delta)$ such that

$$
\begin{equation*}
\sup \left\{f^{(i)}(z) ; z \in f^{-1}(\{0\}), i=0,1, \ldots, k-1, f \in \mathcal{F}\right\}<\infty \tag{1.5}
\end{equation*}
$$

If there exists a set $E$ of $k+4$ distinct points in $\widehat{\mathbb{C}}$ such that

$$
\begin{equation*}
\sup \left\{\frac{1}{\varphi(|z|)^{k}} \frac{\left|f^{(k)}(z)\right|}{1+|f(z)|^{k+1}} ; z \in f^{-1}(E), f \in \mathcal{F}\right\}<\infty \tag{1.6}
\end{equation*}
$$

then

$$
\begin{equation*}
\sup \left\{\|f\|_{\mathcal{N}^{\varphi}} ; f \in \mathcal{F}\right\}<\infty . \tag{1.7}
\end{equation*}
$$

Clearly, Theorem B is just Theorem 1.4 in the case of $\mathcal{F}=\{f\}$. Thus, Theorem 1.4 is an improvement of Theorems A and B. In addition, noting that the condition (1.5) holds naturally if all zeros of $f \in \mathcal{F}$ are of multiplicity at least $k$, we obtain the following corollary.

Corollary 1.5. Let $\varphi$ be a smoothly increasing function, and let $k$ be a positive integer. Let $\mathcal{F} \subset \mathcal{M}(\Delta)$ such that all zeros of $f \in \mathcal{F}$ are of multiplicity at least $k$. If there exists a set $E$ of $k+4$ distinct points in $\widehat{\mathbb{C}}$ such that

$$
\begin{equation*}
\sup \left\{\frac{1}{\varphi(|z|)^{k}} \frac{\left|f^{(k)}(z)\right|}{1+|f(z)|^{k+1}} ; z \in f^{-1}(E), f \in \mathcal{F}\right\}<\infty \tag{1.8}
\end{equation*}
$$

then

$$
\sup \left\{\|f\|_{\mathcal{N}^{\varphi}} ; f \in \mathcal{F}\right\}<\infty .
$$

The following example shows that the existence of family $\mathcal{F}$ with property $\sup \left\{\|f\|_{\mathcal{N}^{\varphi}} ; f \in \mathcal{F}\right\}<\infty$.
Example 1.6. Let $\mathcal{F}=\left\{f_{n}(z)\right\}_{n=1}^{\infty}$, where $f_{n}(z):=n(1-z), z \in \Delta$, and let $z_{n}=1-\frac{1}{n}$. Obviously, we have $f_{n}^{\#}\left(z_{n}\right)=\frac{n}{2} \rightarrow \infty$ as $n \rightarrow \infty$. Thus,

$$
\sup _{z \in \Delta, f \in \mathcal{F}} f^{\#}(z)=\infty
$$

However, taking $E=\{0,1,2,3,4\}$, by simple calculation, we have

$$
f_{n}(z) \in E \Rightarrow(1-|z|) f_{n}^{\#}(z) \leq \frac{1}{2}
$$

It follows Corollary 1.5 that

$$
\sup _{z \in \Delta, f \in \mathcal{F}}(1-|z|) f^{\#}(z)<\infty .
$$

More recently, T. Van Tan and N. Van Thin [6] reduced the number "five"in Lappan's five-points theorem by bounding the spherical derivatives of meromrophic functions studied.
Theorem C. ([6, Theorem 4]) Let $\varphi$ be a smoothly increasing function and let $f \in \mathcal{M}(\Delta)$. If there exists a set $E$ of four distinct points in $\widehat{\mathbb{C}}$ such that

$$
\sup \left\{f^{\#}(z) / \varphi(|z|) ; z \in f^{-1}(E)\right\}<\infty
$$

and

$$
\sup \left\{\left(f^{\prime}\right)^{\#}(z) ; z \in f^{-1}(E \backslash\{\infty\})\right\}<\infty,
$$

then $f$ is $\varphi$-normal.
We also prove the following theorems generalize Theorem C.
Theorem 1.7. Let $\varphi$ be a smoothly increasing function, and let $k$ be a positive integer. Let $\mathcal{F} \subset \mathcal{M}(\Delta)$ such that

$$
\begin{equation*}
\sup \left\{f^{(i)}(z) ; z \in f^{-1}(\{0\}), i=0,1, \ldots, k-1, f \in \mathcal{F}\right\}<\infty \tag{1.9}
\end{equation*}
$$

If there exists a set $E$ of $[k / 2]+4$ distinct points in $\widehat{\mathbb{C}}$ such that

$$
\begin{equation*}
\sup \left\{\frac{1}{\varphi(|z|)^{k}} \frac{\left|f^{(k)}(z)\right|}{1+|f(z)|^{k+1}} ; z \in f^{-1}(E), f \in \mathcal{F}\right\}<\infty \tag{1.10}
\end{equation*}
$$

and

$$
\begin{equation*}
\sup \left\{\left(f^{(k)}\right)^{\#}(z) ; z \in f^{-1}(E \backslash\{\infty\}), f \in \mathcal{F}\right\}<\infty<\infty, \tag{1.11}
\end{equation*}
$$

then

$$
\sup \left\{\|f\|_{\mathcal{N}^{\varphi}} ; f \in \mathcal{F}\right\}<\infty .
$$

Here and in the following, $[x]$ denotes the greatest integer less than or equal to $x$.
As a special case, if we take $k=1$ in Theorems 1.7 , then we have:
Corollary 1.8. Let $\varphi$ be a smoothly increasing function, and let $\mathcal{F} \subset \mathcal{M}(\Delta)$. If there exists a set $E$ of four distinct points in $\widehat{\mathbb{C}}$ such that

$$
\sup \left\{f^{\#}(z) / \varphi(|z|) ; z \in f^{-1}(E), f \in \mathcal{F}\right\}<\infty
$$

and

$$
\sup \left\{\left(f^{\prime}\right)^{\#}(z) ; z \in f^{-1}(E \backslash\{\infty\}), f \in \mathcal{F}\right\}<\infty,
$$

then

$$
\sup \left\{\|f\|_{\mathcal{N}^{\varphi}} ; f \in \mathcal{F}\right\}<\infty .
$$

## 2. Some Lemmas

To prove our results, we require some lemmas. We assume the standard notation of value distribution theory. For details, see $[4,5,8]$.

Lemma 2.1 (Zalcman's Lemma, see [9]). Let $\mathcal{F}$ be a family of meromorphic functions in the disk $\Delta$. Then if $\mathcal{F}$ is not normal at a point $z_{0} \in \Delta$, then there exist

1) a real number $r, 0<r<1$ and points $z_{n},\left|z_{n}\right|<r, z_{n} \rightarrow z_{0}$,
2) positive numbers $\varrho_{n}, \varrho_{n} \rightarrow 0^{+}$,
3) functions $f_{n}, f_{n} \in \mathcal{F}$ such that

$$
F_{n}(\xi):=f_{n}\left(z_{n}+\varrho_{n} \xi\right) \rightarrow F(\xi)
$$

spherically uniformly on compact subsets of $\mathbb{C}$, where $F(\xi)$ is a nonconstant meromorphic function of $\mathbb{C}$.
Lemma 2.2 (First Main Theorem). Suppose that $f$ is meromorphic in $\mathbb{C}$ and $a$ is any complex number. Then for $r>0$ we have

$$
T\left(r, \frac{1}{f-a}\right)=T(r, f)+O(1)
$$

Lemma 2.3 (Second Main Theorem). Suppose that $f$ is a non-constant meromorphic in $\mathbb{C}$ and $a_{j}(1 \leq j \leq q)$ are $q(\geq 3)$ distinct values in $\widehat{\mathbb{C}}$. Then

$$
(q-2) T(r, f) \leq \sum_{j=1}^{q} \bar{N}\left(r, \frac{1}{f-a_{j}}\right)+S(r, f)
$$

Lemma 2.4. Suppose that $f$ is a non-constant meromorphic in $\mathbb{C}$ and $k$ is a positive integer. Then

$$
T\left(r, f^{(k)}\right) \leq T(r, f)+k \bar{N}(r, f)+S(r, f) \leq(k+1) T(r, f)+S(r, f)
$$

## 3. Proof of Theorem 1.4

Suppose, to the contrary, that assertion (1.7) fails to be valid. Then, we can find $f_{n} \in \mathcal{F}, z_{n} \in \Delta$ such that such that

$$
\begin{equation*}
\frac{f_{n}^{\#}\left(z_{n}\right)}{\varphi\left(\left|z_{n}\right|\right)} \rightarrow \infty, \quad n \rightarrow \infty \tag{3.1}
\end{equation*}
$$

By passing to a subsequence if necessary, we may assume that $z_{n} \rightarrow z_{0}$. Then $\left|z_{0}\right| \leq 1$. We separate two cases:
Case 1. $0 \leq\left|z_{0}\right|<1$.
Since the function $\varphi$ is increasing, the inequality

$$
\begin{equation*}
\frac{f_{n}^{\#}\left(z_{n}\right)}{\varphi(0)} \geq \frac{f_{n}^{\#}\left(z_{n}\right)}{\varphi\left(\left|z_{n}\right|\right)} \tag{3.2}
\end{equation*}
$$

holds for all positive integer $n$. Therefore,from (3.1) and (3.2), we obtain

$$
f_{n}^{\#}\left(z_{n}\right) \rightarrow \infty, \quad n \rightarrow \infty
$$

It follows from Marty's Theorem that $\left\{f_{n}\right\}_{n=1}^{\infty}$ is not normal at the point $z_{0}$. According to Lemma 2.1, there exist a subsequence of functions $f_{n}$ (that will also be denoted by $f_{n}$ ), points $u_{n} \rightarrow z_{0}$, and positive numbers $\varrho_{n} \rightarrow 0$, such that

$$
\begin{equation*}
F_{n}(\xi):=f_{n}\left(u_{n}+\varrho_{n} \xi\right) \rightarrow F(\xi) \tag{3.3}
\end{equation*}
$$

spherically uniformly on compact subsets of $\mathbb{C}$, where $F(\xi)$ is a nonconstant meromorphic function of $\mathbb{C}$. Consequently,

$$
\begin{equation*}
F_{n}^{(i)}(\xi):=\varrho_{n}^{i} f_{n}\left(u_{n}+\varrho_{n} \xi\right) \rightarrow F^{(i)}(\xi) \tag{3.4}
\end{equation*}
$$

uniformly on compact subsets of $\mathbb{C} \backslash\{$ Poles of $F\}, i=1,2, \ldots$.
Claim 1. $\quad F(\xi) \in E \Longrightarrow \frac{\left|F^{(k)}(\xi)\right|}{1+\mid F(\xi))^{k+1}}=0$.
Suppose that $F\left(\xi_{0}\right)=a \in E$, by Hurwitz's theorem and (3.3), there exists a sequence $\xi_{n} \rightarrow \xi_{0}$ such that $F_{n}\left(\xi_{n}\right)=f_{n}\left(u_{n}+\varrho_{n} \xi_{n}\right)=a$. By the hypothesis (1.6), there exists a constant $M>0$ such that

$$
\begin{equation*}
\frac{1}{\varphi\left(\left|u_{n}+\varrho_{n} \xi_{n}\right|\right)^{k}} \frac{\left|f_{n}^{(k)}\left(u_{n}+\varrho_{n} \xi_{n}\right)\right|}{1+\left|f_{n}\left(u_{n}+\varrho_{n} \xi_{n}\right)\right|^{k+1}} \leq M \tag{3.5}
\end{equation*}
$$

for sufficiently large $n$. Since $u_{n}+\varrho_{n} \xi_{n} \rightarrow z_{0}$ and $\left|z_{0}\right|<1$, one can take $r_{1},\left|z_{0}\right|<r_{1}<1$. And hence, $\left|u_{n}+\varrho_{n} \xi_{n}\right|<r_{1}$ for sufficiently large $n$. Then, for the increasing function $\varphi$,

$$
\begin{equation*}
\varphi\left(\left|u_{n}+\varrho_{n} \xi_{n}\right|\right) \leq \varphi\left(r_{1}\right) \tag{3.6}
\end{equation*}
$$

From (3.5), (3.6) and an elementary calculation, we yield

$$
\begin{aligned}
\frac{\left|F_{n}^{(k)}\left(\xi_{n}\right)\right|}{1+\left|F_{n}\left(\xi_{n}\right)\right|^{k+1}} & =\varrho_{n}^{k} \frac{\left|f_{n}^{(k)}\left(u_{n}+\varrho_{n} \xi_{n}\right)\right|}{1+\left|f_{n}\left(u_{n}+\varrho_{n} \xi_{n}\right)\right|^{k+1}} \\
& \leq \varrho_{n}^{k} M \varphi\left(\left|u_{n}+\varrho_{n} \xi_{n}\right|\right)^{k} \\
& \leq \varrho_{n}^{k} M \varphi\left(r_{1}\right)
\end{aligned}
$$

for sufficiently large $n$. Then, letting $n \rightarrow \infty$ and noting (3.4), we obtain $\frac{\left|F^{(k)}\left(\xi_{0}\right)\right|}{1+\left|F\left(\xi_{0}\right)\right|^{k+1}}=0$. This proves the claim.
Therefore, the Claim 1 implies that if $F\left(\xi_{0}\right) \in E$, then $\xi_{0}$ is either the zero of $F^{(k)}(\xi)$ or the multiple pole of $F(\xi)$. On the other hand, the assumption (1.5) and Hurwitz's Theorem imply that $F^{(k)}(\xi) \not \equiv 0$. This together with Lemma 2.2 yields

$$
\begin{aligned}
\sum_{a_{j} \in E} \bar{N}\left(r, \frac{1}{F-a_{j}}\right) & \leq \bar{N}\left(r, \frac{1}{F^{(k)}}\right)+\bar{N}_{(2}(r, F) \\
& \leq T\left(r, F^{(k)}\right)+\frac{1}{2} N(r, F)+O(1) \\
& \leq T\left(r, F^{(k)}\right)+\frac{1}{2} T(r, F)+O(1)
\end{aligned}
$$

Therefore, by Lemma 2.3 and Lemma 2.4, we have

$$
\begin{aligned}
(k+2) T(r, F) & \leq \sum_{a_{j} \in E} \bar{N}\left(r, \frac{1}{F-a_{j}}\right)+S(r, F) \\
& \leq T\left(r, F^{(k)}\right)+\frac{1}{2} T(r, F)+S(r, F) \\
& \leq(k+1) T(r, F)+\frac{1}{2} T(r, F)+S(r, F) \\
& \leq\left(k+\frac{3}{2}\right) T(r, F)+S(r, F)
\end{aligned}
$$

So, $T(r, F) \leq S(r, F)$. This is a contradiction.
Case 2. $\left|z_{0}\right|=1$.

Since the function $\varphi$ satisfies (1.1) and $\left|z_{n}\right| \rightarrow 1^{-}$, we see

$$
\varphi\left(\left|z_{n}\right|\right)\left(1-\left|z_{n}\right|\right) \geq 1
$$

for all sufficiently large $n$. It follows that

$$
\left|z_{n}+\frac{z}{\varphi\left(\left|z_{n}\right|\right)}\right| \leq\left|z_{n}\right|+\frac{|z|}{\varphi\left(\left|z_{n}\right|\right)}<\left|z_{n}\right|+\frac{1}{\varphi\left(\left|z_{n}\right|\right)} \leq 1
$$

for all $z \in \Delta$. Therefore, we have the following well-defined functions:

$$
\begin{equation*}
g_{n}(z):=f_{n}\left(z_{n}+\frac{z}{\varphi\left(\left|z_{n}\right|\right)}\right), \quad z \in \Delta . \tag{3.7}
\end{equation*}
$$

Hence,

$$
g_{n}^{\#}(0)=\frac{f_{n}^{\#}\left(z_{n}\right)}{\varphi\left(\left|z_{n}\right|\right)} \rightarrow \infty \quad(n \rightarrow \infty)
$$

by (3.1). Hence, as in Case.1, Marty's Theorem implies that $\left\{g_{n}\right\}_{n=1}^{\infty}$ is not normal at the point $z=0$. By Lemma 2.1, there exist a subsequence of functions $g_{n}$ (that will also be denoted by $g_{n}$ ), points $v_{n} \rightarrow 0$, and positive numbers $\sigma_{n} \rightarrow 0$, such that

$$
\begin{equation*}
G_{n}(\zeta):=g_{n}\left(v_{n}+\sigma_{n} \zeta\right)=f_{n}\left(z_{n}+\frac{v_{n}+\sigma_{n} \zeta}{\varphi\left(\left|z_{n}\right|\right)}\right) \rightarrow G(\zeta) \tag{3.8}
\end{equation*}
$$

spherically uniformly on compact subsets of $\mathbb{C}$, where $G(\zeta)$ is a nonconstant meromorphic function of $\mathbb{C}$. Consequently,

$$
\begin{equation*}
G_{n}^{(i)}(\zeta):=\sigma_{n}^{i} g_{n}\left(v_{n}+\sigma_{n} \zeta\right) \rightarrow G^{(i)}(\zeta) \tag{3.9}
\end{equation*}
$$

uniformly on compact subsets of $\mathbb{C} \backslash\{$ Poles of $G\}, i=1,2, \ldots$.
Claim 2. $G(\zeta) \in E \Longrightarrow \frac{\left|G^{(k)}(\zeta)\right|}{1+\mid G(\zeta))^{k+1}}=0$.
Suppose that $G\left(\zeta_{0}\right)=a \in E$, by Hurwitz's theorem and (3.8), there exists a sequence $\zeta_{n} \rightarrow \zeta_{0}$ such that $G_{n}\left(\zeta_{n}\right)=g_{n}\left(v_{n}+\sigma_{n} \zeta_{n}\right)=a$ for sufficiently large $n$. For brevity, we use the notation

$$
\widehat{z}_{n}:=z_{n}+\frac{v_{n}+\sigma_{n} \zeta_{n}}{\varphi\left(\left|z_{n}\right|\right)}
$$

Hence, $f_{n}\left(\widehat{z}_{n}\right)=a$ for all $n$ sufficiently large.
By the hypothesis (1.6), there exists a constant $M>0$ such that

$$
\frac{1}{\varphi\left(\widehat{z}_{n} \mid\right)^{k}} \frac{\left|f_{n}^{(k)}\left(\widehat{z}_{n}\right)\right|}{1+\left|f_{n}\left(\widehat{z}_{n}\right)\right|^{k+1}} \leq M
$$

for sufficiently large $n$.
Therefore, an elementary calculation gives

$$
\begin{aligned}
\frac{\left|G_{n}^{(k)}\left(\zeta_{n}\right)\right|}{1+\left|G_{n}\left(\zeta_{n}\right)\right|^{k+1}} & =\sigma_{n}^{k} \frac{1}{\varphi\left(\left|z_{n}\right|\right)^{k}} \frac{\left|f_{n}^{(k)}\left(\widehat{z}_{n}\right)\right|}{1+\left|f_{n}\left(\widehat{z}_{n}\right)\right|^{k+1}} \\
& \leq \sigma_{n}^{k}\left(\frac{\varphi\left(\left(\bar{z}_{n} \mid\right)\right.}{\varphi\left(\left|z_{n}\right|\right)}\right)^{k} M
\end{aligned}
$$

for sufficiently large $n$.

Noting that $\varphi$ is increasing, we have

$$
\frac{\varphi\left(\left|\widehat{z}_{n}\right|\right)}{\varphi\left(\left|z_{n}\right|\right)}=\frac{\varphi\left(\left|z_{n}+\frac{v_{n}+\sigma_{n} \zeta_{n}}{\varphi\left(\left|z_{n}\right|\right)}\right|\right)}{\varphi\left(\left|z_{n}\right|\right)} \rightarrow 1 \quad(n \rightarrow \infty)
$$

by (1.2). Then, we obtain $\frac{\left|G^{(k)}\left(\zeta_{0}\right)\right|}{1+\mid G\left(\zeta_{0}\right) k^{k+1}}=0$. Hence, Claim 2 is proved.
As the proof in Case 1, by Claim 2 and the Lemmas 2.2, 2.3 and 2.4 for the function $G(\zeta)$ and points $a_{j}, a_{j} \in E$, we may obtain a contradiction. We omit the details in order to avoid unnecessary repetition. And hence, the proof of Theorem 1.4 have been completed.

## 4. Proof of Theorem 1.7

With the notation used in the proof of Theorem 1.4, proceeding as in the proof of Case 1, we get that $F(\xi) \in E \Longrightarrow \frac{\left|F^{(k)}(\xi)\right|}{1+\mid F(\xi))^{k+1}}=0$. Furthermore, we have

Claim 3. $F(\xi) \in E \backslash\{\infty\} \Longrightarrow F^{(k+1)}(\xi)=0$. Suppose that $F\left(\xi_{1}\right)=b \in E \backslash\{\infty\}$, by Hurwitz's theorem and (3.3), there exists a sequence $\xi_{n}^{*} \rightarrow \xi_{1}$ such that $F_{n}\left(\xi_{n}^{*}\right)=f_{n}\left(u_{n}+\varrho_{n} \xi_{n}^{*}\right)=b$. By the hypotheses (1.10) and (1.11), there exists a constant $M_{1}>0$ such that

$$
\begin{equation*}
\frac{1}{\varphi\left(\left|u_{n}+\varrho_{n} \xi_{n}^{*}\right|\right)^{k}} \frac{\left|f_{n}^{(k)}\left(u_{n}+\varrho_{n} \xi_{n}^{*}\right)\right|}{1+\left|f_{n}\left(u_{n}+\varrho_{n} \xi_{n}^{*}\right)\right|^{k+1}} \leq M_{1} \tag{4.1}
\end{equation*}
$$

and

$$
\begin{equation*}
\left(f_{n}^{(k)}\right)^{\#}\left(u_{n}+\varrho_{n} \xi_{n}^{*}\right) \leq M_{1} \tag{4.2}
\end{equation*}
$$

for sufficiently large $n$. By (4.1), we see

$$
\begin{aligned}
\left|f_{n}^{(k)}\left(u_{n}+\varrho_{n} \xi_{n}^{*}\right)\right| & \leq M_{1} \cdot \varphi\left(\left|u_{n}+\varrho_{n} \xi_{n}^{*}\right|\right)^{k} \cdot\left(1+|b|^{k+1}\right) \\
& \leq M_{1} \cdot \varphi\left(r_{1}\right)^{k} \cdot\left(1+|b|^{k+1}\right)
\end{aligned}
$$

where $r_{1}$ is a fixed constant number such that $\left|z_{0}\right|<r_{1}<1$.
This, together with (4.2) yields

$$
\begin{aligned}
\left(F_{n}{ }^{(k)}\right)^{\#}\left(\xi_{n}^{*}\right) & =\frac{\left|F_{n}^{(k+1)}\left(\xi_{n}^{*}\right)\right|}{1+\left|F_{n}^{(k)}\left(\xi_{n}^{*}\right)\right|^{2}} \leq\left|F_{n}^{(k+1)}\left(\xi_{n}^{*}\right)\right| \\
& =\varrho_{n}{ }^{k+1}\left|f_{n}^{(k+1)}\left(u_{n}+\varrho_{n} \xi_{n}^{*}\right)\right| \\
& =\varrho_{n}{ }^{k+1} \cdot\left(f_{n}^{(k)}\right)^{\#}\left(u_{n}+\varrho_{n} \xi_{n}^{*}\right) \cdot\left(1+\mid f_{n}^{(k)}\left(u_{n}+\left.\varrho_{n} \xi_{n}^{*}\right|^{2}\right)\right. \\
& \leq \varrho_{n}{ }^{k+1} \cdot M_{1} \cdot\left(1+\left|f_{n}^{(k)}\left(u_{n}+\varrho_{n} \xi_{n}^{*}\right)\right|^{2}\right) \\
& \leq \varrho_{n}{ }^{k+1} \cdot M_{1} \cdot\left(1+\left(M_{1} \cdot \varphi\left(r_{1}\right)^{k} \cdot\left(1+|b|^{k+1}\right)\right)^{2}\right)
\end{aligned}
$$

This leads to $\left(F^{(k)}\right)^{\#}\left(\xi_{1}\right)=0$. And hence, $F^{(k+1)}\left(\xi_{1}\right)=0$. Claim 3 is obtained.
Then, by Claim 1, Claim 3 and Lemma 2.2 yields

$$
\begin{aligned}
\sum_{a_{j} \in E} \bar{N}\left(r, \frac{1}{F-a_{j}}\right) & \leq \bar{N}_{(2}\left(r, \frac{1}{F^{(k)}}\right)+\bar{N}_{(2}(r, F) \\
& \leq \frac{1}{2} N\left(r, \frac{1}{F^{(k)}}\right)+\frac{1}{2} N(r, F)+O(1) \\
& \leq \frac{1}{2} T\left(r, F^{(k)}\right)+\frac{1}{2} N(r, F)+O(1)
\end{aligned}
$$

Again, combing Lemma 2.3 and Lemma 2.4, we have

$$
\begin{aligned}
([k / 2]+2) T(r, F) & \leq \sum_{a_{j} \in E} \bar{N}\left(r, \frac{1}{F-a_{j}}\right)+S(r, F) \\
& \leq \frac{1}{2} T\left(r, F^{(k)}\right)+\frac{1}{2} N(r, F)+S(r, F) \\
& \leq \frac{k+1}{2} T(r, F)+\frac{1}{2} T(r, F)+S(r, F) \\
& \leq(k / 2+1) T(r, F)+S(r, F)
\end{aligned}
$$

So, $T(r, F) \leq S(r, F)$. This is also a contradiction.
Similarly, for the function $G$ as in the proof of Case 2, we have
Claim 4. $G(\zeta) \in E \backslash\{\infty\} \Rightarrow G^{(k+1)}(\zeta)=0$.
Using Claim 2, Claim 4 and value distribution theory, we also obtain a contradiction. Once again we omit the details. Therefore, $\sup \left\{\|f\|_{\mathcal{N}^{\varphi}} ; f \in \mathcal{F}\right\}<\infty$ as desired.

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