



Perturbation Theory for Core and Core-EP Inverses of Tensor via Einstein Product

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Abstract. In this paper, for given tensors \mathcal{A}, \mathcal{E} and $\mathcal{B} = \mathcal{A} + \mathcal{E}$, we investigate the perturbation bounds for the core inverse \mathcal{A}^\oplus and core-EP inverse \mathcal{A}^\oplus under some conditions via Einstein product.

1. Introduction

There are several papers on the core inverse and core-EP inverse [1–5, 7–9]. Recently, there are recent monographs [10–12] on the generalized inverse.

For convenience, we first adopt some of the terminologies which will be used in this paper. For a positive integer N , let $[N] = \{1, \dots, N\}$. An order N tensor $\mathcal{A} = (\mathcal{A}_{i_1, i_2, \dots, i_N})_{1 \leq i_j \leq I_j, (j = 1, \dots, N)}$ is a multidimensional array with $I_1 I_2 \dots I_N$ entries. Let $\mathbb{C}^{I_1 \times \dots \times I_N}$ and $\mathbb{R}^{I_1 \times \dots \times I_N}$ be the sets of the order N dimension $I_1 \times \dots \times I_N$ tensors over the complex field \mathbb{C} and the real field \mathbb{R} , respectively. Each entry of \mathcal{A} is denoted by $a_{i_1 \dots i_N}$.

For a tensor $\mathcal{A} = (a_{i_1 \dots i_N j_1 \dots j_M}) \in \mathbb{C}^{I_1 \times \dots \times I_N \times J_1 \times \dots \times J_M}$, let $\mathcal{B} = (b_{i_1 \dots i_M j_1 \dots j_N}) \in \mathbb{C}^{J_1 \times \dots \times J_M \times I_1 \times \dots \times I_N}$ be the conjugate transpose of \mathcal{A} , where $b_{i_1 \dots i_M j_1 \dots j_N} = \bar{a}_{j_1 \dots j_M i_1 \dots i_N}$. The tensor \mathcal{B} is denoted by \mathcal{A}^* . When $b_{i_1 \dots i_M j_1 \dots j_N} = a_{j_1 \dots j_M i_1 \dots i_N}$, \mathcal{B} is the transpose of \mathcal{A} , and is denoted by \mathcal{A}^T . A tensor $\mathcal{D} = (d_{i_1 \dots i_N i_1 \dots i_N}) \in \mathbb{C}^{I_1 \times \dots \times I_N \times I_1 \times \dots \times I_N}$ is called a diagonal tensor if all its entries are zero except for $d_{i_1 \dots i_N i_1 \dots i_N}$. In case of all the diagonal entries $d_{i_1 \dots i_N i_1 \dots i_N} = 1$, we call \mathcal{D} as a unit tensor and is denoted by \mathcal{I} . Similarly, \mathcal{O} denotes the zero tensor in case of all the entries are zero.

The Einstein product of tensors is defined in [13] by the operation $*_N$ via

$$(\mathcal{A} *_N \mathcal{B})_{i_1 \dots i_N j_1 \dots j_M} = \sum_{k_1 \dots k_N} \mathcal{A}_{i_1 \dots i_N k_1 \dots k_N} \mathcal{B}_{k_1 \dots k_N j_1 \dots j_M}, \quad (1)$$

where $\mathcal{A} \in \mathbb{C}^{I_1 \times \dots \times I_N \times K_1 \times \dots \times K_N}$, $\mathcal{B} \in \mathbb{C}^{K_1 \times \dots \times K_N \times J_1 \times \dots \times J_M}$ and $\mathcal{A} *_N \mathcal{B} \in \mathbb{C}^{I_1 \times \dots \times I_N \times J_1 \times \dots \times J_M}$. The associative law of this tensor product holds. In the above formula, when $\mathcal{B} \in \mathbb{C}^{K_1 \times \dots \times K_N}$, then

$$(\mathcal{A} *_N \mathcal{B})_{i_1 i_2 \dots i_N} = \sum_{k_1 \dots k_N} \mathcal{A}_{i_1 \dots i_N k_1 \dots k_N} \mathcal{B}_{k_1 \dots k_N},$$

where $\mathcal{A} *_N \mathcal{B} \in \mathbb{C}^{I_1 \times \dots \times I_N}$. For convenience, we denote $\mathbb{C}^{I_1 \times \dots \times I_N \times I_1 \times \dots \times I_N}$ simply by $\mathbb{C}^{I(N) \times I(N)}$.

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Definition 1.1. [16] For $\mathcal{A} \in \mathbb{C}^{I^{(N)} \times K^{(N)}}$, the range $\mathcal{R}(\mathcal{A})$ and the null space $\mathcal{N}(\mathcal{A})$ of \mathcal{A} are defined by

$$\mathcal{R}(\mathcal{A}) = \{\mathcal{Y} \in \mathbb{C}^{I_1 \times \dots \times I_N} : \mathcal{Y} = \mathcal{A} *_N \mathcal{X}, \mathcal{X} \in \mathbb{C}^{K_1 \times \dots \times K_N}\}$$

$$\mathcal{N}(\mathcal{A}) = \{\mathcal{X} \in \mathbb{C}^{K_1 \times \dots \times K_N} : \mathcal{A} *_N \mathcal{X} = \mathcal{O}\},$$

where \mathcal{O} is an appropriate order zero tensor.

Definition 1.2. [16] The inner product on $\mathbb{C}^{N_1 \times \dots \times N_k}$ is defined by

$$\langle \mathcal{X}, \mathcal{Y} \rangle = \sum_{n_r \in [N_r], r \in [k]} \bar{X}_{n_1 n_2 \dots n_k} Y_{n_1 n_2 \dots n_k}, \quad \forall \mathcal{X}, \mathcal{Y} \in \mathbb{C}^{N_1 \times \dots \times N_k},$$

and the spectral norm $\|\cdot\|_2$ is defined as [17, Lemma 2.1]

$$\|\mathcal{X}\|_2 = \sqrt{\lambda_{\max}(\mathcal{X}^* *_N \mathcal{X})},$$

where $\lambda_{\max}(\mathcal{X}^* *_N \mathcal{X})$ denotes the largest eigenvalue of $\mathcal{X}^* *_N \mathcal{X}$.

Definition 1.3. [14] Let $\mathcal{A} \in \mathbb{C}^{I^{(N)} \times K^{(N)}}$. The tensor $\mathcal{X} \in \mathbb{C}^{K^{(N)} \times I^{(N)}}$ which satisfies

- (1) $\mathcal{A} *_N \mathcal{X} *_N \mathcal{A} = \mathcal{A};$ (2) $\mathcal{X} *_N \mathcal{A} *_N \mathcal{X} = \mathcal{X};$
- (3) $(\mathcal{A} *_N \mathcal{X})^* = \mathcal{A} *_N \mathcal{X};$ (4) $(\mathcal{X} *_N \mathcal{A})^* = \mathcal{X} *_N \mathcal{A}$

is called the Moore-Penrose inverse of \mathcal{A} , abbreviated by M-P inverse, denoted by \mathcal{A}^\dagger . If the equation (i) of the above equations (1) – (4) holds, then \mathcal{X} is called an (i)-inverse of \mathcal{A} , denoted by $\mathcal{A}^{(i)}$.

Definition 1.4. [17] Assume that $\mathcal{A} \in \mathbb{C}^{I^{(N)} \times I^{(N)}}$. Define

$$\mathcal{A}^0 = \mathcal{I} \text{ and } \mathcal{A}^p = \mathcal{A}^{p-1} *_N \mathcal{A}, \quad \text{for } p \geq 2.$$

It is easily seen that

$$\{0\} \subseteq \dots \subseteq \mathcal{R}(\mathcal{A}^{p+1}) \subseteq \mathcal{R}(\mathcal{A}^p) \subseteq \dots \subseteq \mathcal{R}(\mathcal{A}^2) \subseteq \mathcal{R}(\mathcal{A}) \subseteq \mathcal{R}(\mathcal{I}) = \mathbb{C}^{I_1 \times \dots \times I_N}$$

and

$$\{0\} = \mathcal{N}(\mathcal{I}) \subseteq \mathcal{N}(\mathcal{A}) \subseteq \mathcal{N}(\mathcal{A}^2) \subseteq \dots \subseteq \mathcal{N}(\mathcal{A}^p) \subseteq \mathcal{N}(\mathcal{A}^{p+1}) \subseteq \dots \subseteq \mathbb{C}^{I_1 \times \dots \times I_N}.$$

The smallest non-negative integer p such that $\mathcal{R}(\mathcal{A}^{p+1}) = \mathcal{R}(\mathcal{A}^p)$ (or $\mathcal{N}(\mathcal{A}^{p+1}) = \mathcal{N}(\mathcal{A}^p)$), denoted by $\text{Ind}(\mathcal{A})$, is called the index of \mathcal{A} .

Definition 1.5. [17] Let $\mathcal{A} \in \mathbb{C}^{I^{(N)} \times I^{(N)}}$. The tensor $\mathcal{X} \in \mathbb{C}^{I^{(N)} \times I^{(N)}}$ which satisfies

- (2) $\mathcal{X} *_N \mathcal{A} *_N \mathcal{X} = \mathcal{X};$ (5) $\mathcal{A} *_N \mathcal{X} = \mathcal{X} *_N \mathcal{A};$ $(1^k) \mathcal{A}^{k+1} *_N \mathcal{X} = \mathcal{A}^k$

is called the Drazin inverse of \mathcal{A} , denoted by \mathcal{A}_d . Especially, if $\text{Ind}(\mathcal{A}) = 1$, \mathcal{X} is called the group inverse of \mathcal{A} , denoted by \mathcal{A}_g .

According to Hartwig and Spindelböck decomposition [18] of tensors, every tensor $\mathcal{A} \in \mathbb{C}^{I^{(N)} \times I^{(N)}}$ of rank r can be represented by

$$\mathcal{A} = \mathcal{U} *_N \begin{pmatrix} \Sigma *_N \mathcal{K} & \Sigma *_N \mathcal{L} \\ \mathcal{O} & \mathcal{O} \end{pmatrix} *_N \mathcal{U}^*, \tag{2}$$

where $\Sigma \in \mathbb{C}^{R(N) \times R(N)}$ is a diagonal tensor of singular values of \mathcal{A} , and the tensors $\mathcal{K} \in \mathbb{C}^{R(N) \times R(N)}$, $\mathcal{L} \in \mathbb{C}^{R(N) \times (I_N - R_N)}$ satisfy

$$\mathcal{K} *_N \mathcal{K}^* + \mathcal{L} *_N \mathcal{L}^* = \mathcal{I} \tag{3}$$

It follows from (2) that the Moore-Penrose inverse of \mathcal{A} is given as follows:

$$\mathcal{A}^\dagger = \mathcal{U} *_N \begin{pmatrix} \mathcal{K}^* *_N \Sigma^{-1} & \mathcal{O} \\ \mathcal{L}^* *_N \Sigma^{-1} & \mathcal{O} \end{pmatrix} *_N \mathcal{U}^*.$$

If $\text{Ind}(\mathcal{A}) \leq 1$, then the group inverse of \mathcal{A} is

$$\mathcal{A}_g = \mathcal{U} *_N \begin{pmatrix} \mathcal{K}^{-1} *_N \Sigma^{-1} & \mathcal{K}^{-1} *_N \Sigma^{-1} *_N \mathcal{K}^{-1} *_N \mathcal{L} \\ \mathcal{O} & \mathcal{O} \end{pmatrix} *_N \mathcal{U}^*.$$

Lemma 1.6. [15] Let $\mathcal{E} \in \mathbb{C}^{I(1) \times I(N)}$ be a tensor of index k . If $\|\mathcal{E}\|_2 < 1$, then $\mathcal{I} + \mathcal{E}$ is nonsingular and

$$\|(\mathcal{I} + \mathcal{E})^{-1}\|_2 \leq \frac{1}{1 - \|\mathcal{E}\|_2}.$$

Lemma 1.7. [15] Let $\mathcal{E} \in \mathbb{C}^{I(K) \times I(K)}$. If $\|\mathcal{E}\|_2 < 1$, then

$$(\mathcal{I} - \mathcal{E})^{-1} = \sum_{n=0}^{\infty} \mathcal{E}^n, \tag{4}$$

$$\|(\mathcal{I} - \mathcal{E})^{-1} - \mathcal{I}\|_2 \leq \frac{\|\mathcal{E}\|_2}{1 - \|\mathcal{E}\|_2}. \tag{5}$$

The recent results on the core inverse of tensor can be found in [19, 20].

Definition 1.8. [19, 20] Let $\mathcal{A} \in \mathbb{C}^{I(N) \times I(N)}$ be a given core tensor. A tensor $\mathcal{X} \in \mathbb{C}^{I(N) \times I(N)}$ satisfying

$$\mathcal{X} *_N \mathcal{A}^2 = \mathcal{A}; \quad \mathcal{A} *_N \mathcal{X}^2 = \mathcal{X}; \quad (\mathcal{A} *_N \mathcal{X})^* = \mathcal{A} *_N \mathcal{X}$$

is called the core inverse of \mathcal{A} and denoted by \mathcal{A}^\oplus

Lemma 1.9. [19, 20] Let $\mathcal{A} \in \mathbb{C}^{I(N) \times I(N)}$ be given. Then \mathcal{A}^\oplus satisfies equations (1) and (2) in Definition 1.3.

By the definition of core inverse, we have the following lemma.

Lemma 1.10. [19, 20] Let $\mathcal{A} \in \mathbb{C}^{I(N) \times I(N)}$ be of the form (2) and $\text{Ind}(\mathcal{A}) \leq 1$. Then

$$\mathcal{A}^\oplus = \mathcal{U} *_N \begin{pmatrix} (\Sigma *_N \mathcal{K})^{-1} & \mathcal{O} \\ \mathcal{O} & \mathcal{O} \end{pmatrix} *_N \mathcal{U}^*.$$

Another important generalized inverse is the core-EP inverse.

Definition 1.11. [8, 19] Let $\mathcal{A} \in \mathbb{C}^{I(N) \times I(N)}$ and $\text{Ind}(\mathcal{A}) = k$. A tensor $\mathcal{X} \in \mathbb{C}^{I(N) \times I(N)}$ satisfying

$$\mathcal{X} *_N \mathcal{A}^{k+1} = \mathcal{A}^k; \quad \mathcal{A} *_N \mathcal{X}^2 = \mathcal{X}; \quad (\mathcal{A} *_N \mathcal{X})^* = \mathcal{A} *_N \mathcal{X}$$

is called core-EP inverse of \mathcal{A} and it is denoted as \mathcal{A}^\oplus .

Lemma 1.12. [8, 19] Let $\mathcal{A} \in \mathbb{C}^{I(N) \times I(N)}$ and $\text{Ind}(\mathcal{A}) = k$. There is a Schur form of \mathcal{A} ,

$$\mathcal{A} = \mathcal{U} *_N \begin{pmatrix} \mathcal{T}_1 & \mathcal{T}_2 \\ \mathcal{O} & \mathcal{T}_3 \end{pmatrix} *_N \mathcal{U}^*, \tag{6}$$

where $\mathcal{U} \in \mathbb{C}^{I(N) \times I(N)}$ is a unitary tensor, \mathcal{T}_1 is a upper triangular tensor and \mathcal{T}_3 is a nilpotent tensor with $\text{Ind}(\mathcal{T}_3) = k$.

From Definition 1.11 and (6), we can obtain that

$$\mathcal{A}^\oplus = \mathcal{U} *_N \begin{pmatrix} \mathcal{T}_1^{-1} & \mathcal{O} \\ \mathcal{O} & \mathcal{O} \end{pmatrix} *_N \mathcal{U}^*. \tag{7}$$

Definition 1.13. [15, 22] Let $I_1, \dots, I_M, K_1, \dots, K_N$ be given integers and $\mathfrak{S}, \mathfrak{R}$ are the integers defined as

$$\mathfrak{S} = I_1 I_2 \cdots I_M, \quad \mathfrak{R} = K_1 K_2 \cdots K_N.$$

The reshaping operation

$$\text{rsh} : \mathbb{C}^{I(M) \times K(N)} \mapsto \mathbb{C}^{\mathfrak{S} \times \mathfrak{R}}$$

transforms a tensor $\mathcal{A} \in \mathbb{C}^{I(M) \times K(N)}$ into the matrix $A \in \mathbb{C}^{\mathfrak{S} \times \mathfrak{R}}$ using the Matlab function **reshape** as follows:

$$\text{rsh}(\mathcal{A}) = A = \text{reshape}(\mathcal{A}, \mathfrak{S}, \mathfrak{R}), \quad \mathcal{A} \in \mathbb{C}^{I(M) \times K(N)}, \quad A \in \mathbb{C}^{\mathfrak{S} \times \mathfrak{R}}.$$

The inverse reshaping of $A \in \mathbb{C}^{\mathfrak{S} \times \mathfrak{R}}$ is the tensor $\mathcal{A} \in \mathbb{C}^{I(M) \times K(N)}$ defined by

$$\text{rsh}^{-1}(A) = \mathcal{A} = \text{reshape}(A, I_1, \dots, I_M, K_1, \dots, K_N).$$

Also, an appropriate definition of the tensor rank, arising from the reshaping operation, was proposed in [22].

Definition 1.14. [15, 22] Let $\mathcal{A} \in \mathbb{C}^{I(N) \times K(N)}$ and $A = \text{reshape}(\mathcal{A}, \mathfrak{S}, \mathfrak{R}) = \text{rsh}(\mathcal{A}) \in \mathbb{C}^{\mathfrak{S} \times \mathfrak{R}}$. Then the tensor rank of \mathcal{A} , denoted by $\text{rshrank}(\mathcal{A})$, is defined by $\text{rshrank}(\mathcal{A}) = \text{rank}(A)$.

2. Perturbation for core inverse

In this section, we present the optimal perturbations for the core inverse of tensors via Einstein product under two-sided and one-sided conditions.

Theorem 2.1. Let $\mathcal{A}, \mathcal{E} \in \mathbb{C}^{I(N) \times I(N)}$ be of the form (2) and $\text{Ind}(\mathcal{A}) \leq 1$, $\mathcal{B} = \mathcal{A} + \mathcal{E}$. If the perturbation \mathcal{E} satisfies $\mathcal{A} *_N \mathcal{A}^\oplus *_N \mathcal{E} = \mathcal{E} *_N \mathcal{A} *_N \mathcal{A}^\oplus = \mathcal{E}$ and $\|\mathcal{A}^\oplus *_N \mathcal{E}\|_2 < 1$, then

$$\mathcal{B}^\oplus = (I + \mathcal{A}^\oplus *_N \mathcal{E})^{-1} *_N \mathcal{A}^\oplus = \mathcal{A}^\oplus *_N (I + \mathcal{E} *_N \mathcal{A}^\oplus)^{-1},$$

and

$$\mathcal{B} *_N \mathcal{B}^\oplus = \mathcal{A} *_N \mathcal{A}^\oplus, \quad \mathcal{B}^\oplus *_N \mathcal{B} = \mathcal{A}^\oplus *_N \mathcal{A} + (I + \mathcal{A}^\oplus *_N \mathcal{E})^{-1} *_N \mathcal{A}^\oplus *_N \mathcal{E} *_N (I - \mathcal{A}^\oplus *_N \mathcal{A}).$$

Furthermore,

$$\frac{\|\mathcal{A}^\oplus\|_2}{1 + \|\mathcal{A}^\oplus *_N \mathcal{E}\|_2} \leq \|\mathcal{B}^\oplus\|_2 \leq \frac{\|\mathcal{A}^\oplus\|_2}{1 - \|\mathcal{A}^\oplus *_N \mathcal{E}\|_2}$$

and

$$\frac{\|\mathcal{B}^\oplus *_N \mathcal{B} - \mathcal{A}^\oplus *_N \mathcal{A}\|_2}{\|\mathcal{A}^\oplus *_N \mathcal{A}\|_2} \leq \frac{\|\mathcal{A}^\oplus *_N \mathcal{E}\|_2}{1 - \|\mathcal{A}^\oplus *_N \mathcal{E}\|_2}.$$

Proof. We assume that the perturbation \mathcal{E} is partitioned by

$$\mathcal{E} = \mathcal{U} *_N \begin{pmatrix} \mathcal{E}_{11} & \mathcal{E}_{12} \\ \mathcal{E}_{21} & \mathcal{E}_{22} \end{pmatrix} *_N \mathcal{U}^*.$$

Using the fact that $\mathcal{A} *_N \mathcal{A}^\oplus *_N \mathcal{E} = \mathcal{E} *_N \mathcal{A} *_N \mathcal{A}^\oplus = \mathcal{E}$, together with

$$\mathcal{A} *_N \mathcal{A}^\oplus = \mathcal{U} *_N \begin{pmatrix} I & \mathcal{O} \\ \mathcal{O} & \mathcal{O} \end{pmatrix} *_N \mathcal{U}^*$$

implies $\mathcal{E}_{12} = \mathcal{O}, \mathcal{E}_{21} = \mathcal{O}, \mathcal{E}_{22} = \mathcal{O}$. It is easy to see that the perturbation \mathcal{E} has the form

$$\mathcal{E} = \mathcal{U} *_N \begin{pmatrix} \mathcal{E}_{11} & \mathcal{O} \\ \mathcal{O} & \mathcal{O} \end{pmatrix} *_N \mathcal{U}^*.$$

Furthermore, we obtain

$$\mathcal{B} = \mathcal{A} + \mathcal{E} = \mathcal{U} *_N \begin{pmatrix} \Sigma *_N \mathcal{K} + \mathcal{E}_{11} & \Sigma *_N \mathcal{L} \\ \mathcal{O} & \mathcal{O} \end{pmatrix} *_N \mathcal{U}^*.$$

In view of Lemma 1.6, since $\|\mathcal{A}^\oplus *_N \mathcal{E}\|_2 < 1$, then $\mathcal{I} + \mathcal{A}^\oplus *_N \mathcal{E}$ is invertible and

$$\|(\mathcal{I} + \mathcal{A}^\oplus *_N \mathcal{E})^{-1}\|_2 \leq \frac{1}{1 - \|\mathcal{A}^\oplus *_N \mathcal{E}\|_2}.$$

Moreover,

$$\mathcal{I} + \mathcal{A}^\oplus *_N \mathcal{E} = \mathcal{U} *_N \begin{pmatrix} \mathcal{I} + (\Sigma *_N \mathcal{K})^{-1} *_N \mathcal{E}_{11} & \mathcal{O} \\ \mathcal{O} & \mathcal{I} \end{pmatrix} *_N \mathcal{U}^*,$$

and

$$(\mathcal{I} + (\Sigma *_N \mathcal{K})^{-1} *_N \mathcal{E}_{11})^{-1} = ((\Sigma *_N \mathcal{K})^{-1} *_N (\Sigma *_N \mathcal{K} + \mathcal{E}_{11}))^{-1} = (\Sigma *_N \mathcal{K} + \mathcal{E}_{11})^{-1} *_N \Sigma *_N \mathcal{K},$$

this implies that $(\Sigma *_N \mathcal{K} + \mathcal{E}_{11})^{-1}$ exists.

Then the core inverse of \mathcal{B} exists and has the following expression,

$$\begin{aligned} \mathcal{B}^\oplus &= \mathcal{U} *_N \begin{pmatrix} (\Sigma *_N \mathcal{K} + \mathcal{E}_{11})^{-1} & \mathcal{O} \\ \mathcal{O} & \mathcal{O} \end{pmatrix} *_N \mathcal{U}^* \\ &= \mathcal{U} *_N \begin{pmatrix} (\mathcal{I} + (\Sigma *_N \mathcal{K})^{-1} *_N \mathcal{E}_{11})^{-1} *_N (\Sigma *_N \mathcal{K})^{-1} & \mathcal{O} \\ \mathcal{O} & \mathcal{O} \end{pmatrix} *_N \mathcal{U}^* \\ &= (\mathcal{I} + \mathcal{A}^\oplus *_N \mathcal{E})^{-1} *_N \mathcal{A}^\oplus. \end{aligned}$$

By using (4) of Lemma 1.7, direct computation shows that

$$(\mathcal{I} + \mathcal{A}^\oplus *_N \mathcal{E})^{-1} *_N \mathcal{A}^\oplus = \mathcal{A}^\oplus *_N (\mathcal{I} + \mathcal{E} *_N \mathcal{A}^\oplus)^{-1}.$$

Next, the perturbation bounds of core inverse are estimated. It is easy to verify that

$$\begin{aligned} &\mathcal{B}^\oplus *_N \mathcal{B} - \mathcal{A}^\oplus *_N \mathcal{A} \\ &= \mathcal{U} *_N \begin{pmatrix} \mathcal{O} & (\mathcal{I} + (\Sigma *_N \mathcal{K})^{-1} *_N \mathcal{E}_{11})^{-1} *_N \mathcal{K}^{-1} *_N \mathcal{L} - \mathcal{K}^{-1} *_N \mathcal{L} \\ \mathcal{O} & \mathcal{O} \end{pmatrix} *_N \mathcal{U}^* \\ &= \mathcal{U} *_N \begin{pmatrix} \mathcal{O} & ((\mathcal{I} + (\Sigma *_N \mathcal{K})^{-1} *_N \mathcal{E}_{11})^{-1} - \mathcal{I}) *_N \mathcal{K}^{-1} *_N \mathcal{L} \\ \mathcal{O} & \mathcal{O} \end{pmatrix} *_N \mathcal{U}^* \\ &= \mathcal{U} *_N \begin{pmatrix} \mathcal{O} & (\mathcal{I} + (\Sigma *_N \mathcal{K})^{-1} *_N \mathcal{E}_{11})^{-1} *_N [\mathcal{I} - (\mathcal{I} + (\Sigma *_N \mathcal{K})^{-1} *_N \mathcal{E}_{11})] *_N \mathcal{K}^{-1} *_N \mathcal{L} \\ \mathcal{O} & \mathcal{O} \end{pmatrix} *_N \mathcal{U}^* \\ &= \mathcal{U} *_N \begin{pmatrix} \mathcal{O} & -[(\mathcal{I} + (\Sigma *_N \mathcal{K})^{-1} *_N \mathcal{E}_{11})^{-1} *_N (\Sigma *_N \mathcal{K})^{-1} *_N \mathcal{E}_{11} *_N \mathcal{K}^{-1} *_N \mathcal{L}] \\ \mathcal{O} & \mathcal{O} \end{pmatrix} *_N \mathcal{U}^* \\ &= (\mathcal{I} + \mathcal{A}^\oplus *_N \mathcal{E})^{-1} *_N \mathcal{A}^\oplus *_N \mathcal{E} *_N (\mathcal{A} *_N \mathcal{A}^\oplus - \mathcal{A}^\oplus *_N \mathcal{A}) \\ &= (\mathcal{I} + \mathcal{A}^\oplus *_N \mathcal{E})^{-1} *_N \mathcal{A}^\oplus *_N \mathcal{E} *_N (\mathcal{I} - \mathcal{A}^\oplus *_N \mathcal{A}). \end{aligned}$$

Taking forms of both sides, we obtain

$$\begin{aligned} \|\mathcal{B}^\oplus *_N \mathcal{B} - \mathcal{A}^\oplus *_N \mathcal{A}\|_2 &\leq \|(\mathcal{I} + \mathcal{A}^\oplus *_N \mathcal{E})^{-1}\|_2 \|\mathcal{A}^\oplus *_N \mathcal{E}\|_2 \|\mathcal{I} - \mathcal{A}^\oplus *_N \mathcal{A}\|_2 \\ &= \|(\mathcal{I} + \mathcal{A}^\oplus *_N \mathcal{E})^{-1}\|_2 \|\mathcal{A}^\oplus *_N \mathcal{E}\|_2 \|\mathcal{A}^\oplus *_N \mathcal{A}\|_2. \end{aligned}$$

That is

$$\frac{\|\mathcal{B}^{\oplus} *_N \mathcal{B} - \mathcal{A}^{\oplus} *_N \mathcal{A}\|_2}{\|\mathcal{A}^{\oplus} *_N \mathcal{A}\|_2} \leq \frac{\|\mathcal{A}^{\oplus} *_N \mathcal{E}\|_2}{1 - \|\mathcal{A}^{\oplus} *_N \mathcal{E}\|_2}.$$

The proof is complete. \square

Next, we provide a perturbation bound for the core inverse under one-sided condition.

Theorem 2.2. Let $\mathcal{A}, \mathcal{E} \in \mathbb{C}^{I(N) \times I(N)}$ be of the form (2) and $\text{Ind}(\mathcal{A}) \leq 1$, $\mathcal{B} = \mathcal{A} + \mathcal{E}$. If the perturbation \mathcal{E} satisfies $\mathcal{A} *_N \mathcal{A}^{\oplus} *_N \mathcal{E} = \mathcal{E}$ and $\|\mathcal{A}^{\oplus} *_N \mathcal{E}\|_2 < 1$, then

$$\mathcal{B}^{\oplus} = (I + \mathcal{A}^{\oplus} *_N \mathcal{E})^{-1} *_N \mathcal{A}^{\oplus} = \mathcal{A}^{\oplus} *_N (I + \mathcal{E} *_N \mathcal{A}^{\oplus})^{-1},$$

and

$$\mathcal{B} *_N \mathcal{B}^{\oplus} = \mathcal{A} *_N \mathcal{A}^{\oplus}, \quad \mathcal{B}^{\oplus} *_N \mathcal{B} = \mathcal{A}^{\oplus} *_N \mathcal{A} + (I + \mathcal{A}^{\oplus} *_N \mathcal{E})^{-1} *_N \mathcal{A}^{\oplus} *_N \mathcal{E} *_N (I - \mathcal{A}^{\oplus} *_N \mathcal{A}).$$

Furthermore,

$$\frac{\|\mathcal{A}^{\oplus}\|_2}{1 + \|\mathcal{A}^{\oplus} *_N \mathcal{E}\|_2} \leq \|\mathcal{B}^{\oplus}\|_2 \leq \frac{\|\mathcal{A}^{\oplus}\|_2}{1 - \|\mathcal{A}^{\oplus} *_N \mathcal{E}\|_2}$$

and

$$\frac{\|\mathcal{B}^{\oplus} *_N \mathcal{B} - \mathcal{A}^{\oplus} *_N \mathcal{A}\|_2}{\|\mathcal{A}^{\oplus} *_N \mathcal{A}\|_2} \leq \frac{\|\mathcal{A}^{\oplus} *_N \mathcal{E}\|_2}{1 - \|\mathcal{A}^{\oplus} *_N \mathcal{E}\|_2}.$$

Proof. We assume that the perturbation \mathcal{E} is partitioned by

$$\mathcal{E} = \mathcal{U} *_N \begin{pmatrix} \mathcal{E}_{11} & \mathcal{E}_{12} \\ \mathcal{E}_{21} & \mathcal{E}_{22} \end{pmatrix} *_N \mathcal{U}^*.$$

Using the fact that $\mathcal{A} *_N \mathcal{A}^{\oplus} *_N \mathcal{E} = \mathcal{E}$, it together with

$$\mathcal{A} *_N \mathcal{A}^{\oplus} = \mathcal{U} *_N \begin{pmatrix} I & O \\ O & O \end{pmatrix} *_N \mathcal{U}^*$$

implies $\mathcal{E}_{21} = O, \mathcal{E}_{22} = O$. It is easy to see that the perturbation \mathcal{E} has the form

$$\mathcal{E} = \mathcal{U} *_N \begin{pmatrix} \mathcal{E}_{11} & \mathcal{E}_{12} \\ O & O \end{pmatrix} *_N \mathcal{U}^*.$$

Furthermore, we obtain

$$\mathcal{B} = \mathcal{A} + \mathcal{E} = \mathcal{U} *_N \begin{pmatrix} \Sigma *_N \mathcal{K} + \mathcal{E}_{11} & \Sigma *_N \mathcal{L} + \mathcal{E}_{12} \\ O & O \end{pmatrix} *_N \mathcal{U}^*.$$

Since $\|\mathcal{A}^{\oplus} *_N \mathcal{E}\|_2 < 1$ and $\mathcal{A} *_N \mathcal{A}^{\oplus}$ is an orthogonal projection, we obtain

$$\|\mathcal{A}^{\oplus} *_N \mathcal{E} *_N \mathcal{A} *_N \mathcal{A}^{\oplus}\|_2 \leq \|\mathcal{A}^{\oplus} *_N \mathcal{E}\|_2 \|\mathcal{A} *_N \mathcal{A}^{\oplus}\|_2 = \|\mathcal{A}^{\oplus} *_N \mathcal{E}\|_2 < 1.$$

Then $I + \mathcal{A}^{\oplus} *_N \mathcal{E} *_N \mathcal{A} *_N \mathcal{A}^{\oplus}$ is invertible, so $[I + (\Sigma *_N \mathcal{K})^{-1} *_N \mathcal{E}_{11}]^{-1}$ exists. Then the core inverse of \mathcal{B} exists and has the following expression.

$$\begin{aligned} \mathcal{B}^{\oplus} &= \mathcal{U} *_N \begin{pmatrix} (\Sigma *_N \mathcal{K} + \mathcal{E}_{11})^{-1} & O \\ O & O \end{pmatrix} *_N \mathcal{U}^* \\ &= \mathcal{U} *_N \begin{pmatrix} [I + (\Sigma *_N \mathcal{K})^{-1} *_N \mathcal{E}_{11}]^{-1} & O \\ O & O \end{pmatrix} *_N \mathcal{U}^* \\ &= (I + \mathcal{A}^{\oplus} *_N \mathcal{E} *_N \mathcal{A} *_N \mathcal{A}^{\oplus})^{-1} *_N \mathcal{A}^{\oplus} \\ &= \mathcal{A}^{\oplus} *_N (I + \mathcal{E} *_N \mathcal{A}^{\oplus})^{-1} \\ &= (I + \mathcal{A}^{\oplus} *_N \mathcal{E})^{-1} *_N \mathcal{A}^{\oplus}, \end{aligned}$$

and

$$\begin{aligned} & \mathcal{B}^{\oplus} *_N \mathcal{B} \\ &= \mathcal{U} *_N \begin{pmatrix} I & (\Sigma *_N \mathcal{K} + \mathcal{E}_{11})^{-1} *_N (\Sigma *_N \mathcal{L} + \mathcal{E}_{12}) \\ O & O \end{pmatrix} *_N \mathcal{U}^* \\ &= \mathcal{U} *_N \begin{pmatrix} I & (I + (\Sigma *_N \mathcal{K})^{-1} *_N \mathcal{E}_{11})^{-1} *_N (\Sigma *_N \mathcal{K})^{-1} *_N (\Sigma *_N \mathcal{L} + \mathcal{E}_{12}) \\ O & O \end{pmatrix} *_N \mathcal{U}^* \\ &= \mathcal{U} *_N \begin{pmatrix} I & [I + (\Sigma *_N \mathcal{K})^{-1} *_N \mathcal{E}_{11}]^{-1} *_N [(\Sigma *_N \mathcal{K})^{-1} *_N \mathcal{E}_{12} + \mathcal{K}^{-1} *_N \mathcal{L}] \\ O & O \end{pmatrix} *_N \mathcal{U}^*. \end{aligned}$$

Now we can estimate

$$\begin{aligned} & \mathcal{B}^{\oplus} *_N \mathcal{B} - \mathcal{A}^{\oplus} *_N \mathcal{A} \\ &= \mathcal{U} *_N \begin{pmatrix} O & [I + (\Sigma *_N \mathcal{K})^{-1} *_N \mathcal{E}_{11}]^{-1} *_N [(\Sigma *_N \mathcal{K})^{-1} *_N \mathcal{E}_{12} + \mathcal{K}^{-1} *_N \mathcal{L}] - \mathcal{K}^{-1} *_N \mathcal{L} \\ O & O \end{pmatrix} *_N \mathcal{U}^* \\ &= \mathcal{U} *_N \begin{pmatrix} O & ([I + (\Sigma *_N \mathcal{K})^{-1} *_N \mathcal{E}_{11}]^{-1} - I) *_N \mathcal{K}^{-1} *_N \mathcal{L} \\ O & O \end{pmatrix} *_N \mathcal{U}^* \\ &+ \mathcal{U} *_N \begin{pmatrix} O & [I + (\Sigma *_N \mathcal{K})^{-1} *_N \mathcal{E}_{11}]^{-1} *_N (\Sigma *_N \mathcal{K})^{-1} *_N \mathcal{E}_{12} \\ O & O \end{pmatrix} *_N \mathcal{U}^* \\ &= \mathcal{U} *_N \begin{pmatrix} O & -[I + (\Sigma *_N \mathcal{K})^{-1} *_N \mathcal{E}_{11}]^{-1} *_N (\Sigma *_N \mathcal{K})^{-1} *_N \mathcal{E}_{11} *_N \mathcal{K}^{-1} *_N \mathcal{L} \\ O & O \end{pmatrix} *_N \mathcal{U}^* \\ &+ \mathcal{U} *_N \begin{pmatrix} O & [I + (\Sigma *_N \mathcal{K})^{-1} *_N \mathcal{E}_{11}]^{-1} *_N (\Sigma *_N \mathcal{K})^{-1} *_N \mathcal{E}_{12} \\ O & O \end{pmatrix} *_N \mathcal{U}^* \\ &= \mathcal{U} *_N \begin{pmatrix} [I + (\Sigma *_N \mathcal{K})^{-1} *_N \mathcal{E}_{11}]^{-1} *_N (\Sigma *_N \mathcal{K})^{-1} *_N \mathcal{E}_{11} & O \\ O & O \end{pmatrix} \begin{pmatrix} O & -\mathcal{K}^{-1} *_N \mathcal{L} \\ O & O \end{pmatrix} *_N \mathcal{U}^* \\ &+ \mathcal{U} *_N \begin{pmatrix} O & [I + (\Sigma *_N \mathcal{K})^{-1} *_N \mathcal{E}_{11}]^{-1} *_N (\Sigma *_N \mathcal{K})^{-1} *_N \mathcal{E}_{12} \\ O & O \end{pmatrix} *_N \mathcal{U}^* \\ &= (I + \mathcal{A}^{\oplus} *_N \mathcal{E} *_N \mathcal{A} *_N \mathcal{A}^{\oplus})^{-1} *_N \mathcal{A}^{\oplus} *_N \mathcal{E} *_N \mathcal{A} *_N \mathcal{A}^{\oplus} *_N (\mathcal{A} *_N \mathcal{A}^{\oplus} - \mathcal{A}^{\oplus} *_N \mathcal{A}) \\ &+ (I + \mathcal{A}^{\oplus} *_N \mathcal{E} *_N \mathcal{A} *_N \mathcal{A}^{\oplus})^{-1} *_N \mathcal{A}^{\oplus} *_N \mathcal{E} *_N (I - \mathcal{A} *_N \mathcal{A}^{\oplus}) \\ &= (I + \mathcal{A}^{\oplus} *_N \mathcal{E} *_N \mathcal{A} *_N \mathcal{A}^{\oplus})^{-1} *_N \mathcal{A}^{\oplus} *_N \mathcal{E} *_N (I - \mathcal{A}^{\oplus} *_N \mathcal{A}) \\ &= \mathcal{A}^{\oplus} *_N \mathcal{E} *_N (I + \mathcal{A}^{\oplus} *_N \mathcal{E})^{-1} *_N (I - \mathcal{A}^{\oplus} *_N \mathcal{A}) \\ &= (I + \mathcal{A}^{\oplus} *_N \mathcal{E})^{-1} *_N \mathcal{A}^{\oplus} *_N \mathcal{E} *_N (I - \mathcal{A}^{\oplus} *_N \mathcal{A}). \end{aligned}$$

Taking norms of both sides, we obtain

$$\|\mathcal{B}^{\oplus} *_N \mathcal{B} - \mathcal{A}^{\oplus} *_N \mathcal{A}\|_2 \leq \|(I + \mathcal{A}^{\oplus} *_N \mathcal{E})^{-1}\|_2 \|\mathcal{A}^{\oplus} *_N \mathcal{E}\|_2 \|I - \mathcal{A}^{\oplus} *_N \mathcal{A}\|_2,$$

i.e.,

$$\frac{\|\mathcal{B}^{\oplus} *_N \mathcal{B} - \mathcal{A}^{\oplus} *_N \mathcal{A}\|_2}{\|\mathcal{A}^{\oplus} *_N \mathcal{A}\|_2} \leq \frac{\|\mathcal{A}^{\oplus} *_N \mathcal{E}\|_2}{1 - \|\mathcal{A}^{\oplus} *_N \mathcal{E}\|_2}.$$

This completes the proof of the theorem. \square

In a similar way, we obtain another one-sided perturbation formula.

Theorem 2.3. Let $\mathcal{A}, \mathcal{E} \in \mathbb{C}^{I(N) \times I(N)}$ be of the form (2) and $\text{Ind}(\mathcal{A}) \leq 1$, $\mathcal{B} = \mathcal{A} + \mathcal{E}$. If the perturbation \mathcal{E} satisfies $\mathcal{A}^{\oplus} *_N \mathcal{A} *_N \mathcal{E} = \mathcal{E}$ and $\|\mathcal{A}^{\oplus} *_N \mathcal{E}\|_2 < 1$, then

$$\mathcal{B}^{\oplus} = (I + \mathcal{A}^{\oplus} *_N \mathcal{E})^{-1} *_N \mathcal{A}^{\oplus} = \mathcal{A}^{\oplus} *_N (I + \mathcal{E} *_N \mathcal{A}^{\oplus})^{-1}.$$

and

$$\mathcal{B} *_N \mathcal{B}^\oplus = \mathcal{A} *_N \mathcal{A}^\oplus, \mathcal{B}^\oplus *_N \mathcal{B} = \mathcal{A}^\oplus *_N \mathcal{A} + (I + \mathcal{A}^\oplus *_N \mathcal{E})^{-1} *_N \mathcal{A}^\oplus *_N \mathcal{E} *_N (I - \mathcal{A}^\oplus *_N \mathcal{A}).$$

3. Perturbation for core-EP inverse

In this section, we investigate the optimal perturbations for the core-EP inverse of tensors via Einstein product under one-sided conditions which extends the matrix case [9].

Theorem 3.1. Let $\mathcal{A}, \mathcal{E} \in \mathbb{C}^{I(N) \times I(N)}$ be of the form(6) and $\text{Ind}(\mathcal{A}) = k$, $\mathcal{B} = \mathcal{A} + \mathcal{E} \in \mathbb{C}^{I(N) \times I(N)}$. If the perturbation \mathcal{E} satisfies $\mathcal{A} *_N \mathcal{A}^\oplus *_N \mathcal{E} = \mathcal{E}$ and $\|\mathcal{A}^\oplus *_N \mathcal{E}\|_2 < 1$, then

$$\mathcal{B}^\oplus = (I + \mathcal{A}^\oplus *_N \mathcal{E})^{-1} *_N \mathcal{A}^\oplus = \mathcal{A}^\oplus *_N (I + \mathcal{E} *_N \mathcal{A}^\oplus)^{-1}, \tag{8}$$

and

$$\mathcal{B} *_N \mathcal{B}^\oplus = \mathcal{A} *_N \mathcal{A}^\oplus, \quad \mathcal{B}^\oplus *_N \mathcal{B} = \mathcal{A}^\oplus *_N \mathcal{A} + (I + \mathcal{A}^\oplus *_N \mathcal{E})^{-1} *_N \mathcal{A}^\oplus *_N \mathcal{E} *_N (I - \mathcal{A}^\oplus *_N \mathcal{A}).$$

Furthermore,

$$\frac{\|\mathcal{A}^\oplus\|_2}{1 + \|\mathcal{A}^\oplus *_N \mathcal{E}\|_2} \leq \|\mathcal{B}^\oplus\|_2 \leq \frac{\|\mathcal{A}^\oplus\|_2}{1 - \|\mathcal{A}^\oplus *_N \mathcal{E}\|_2}$$

and

$$\frac{\|\mathcal{B}^\oplus *_N \mathcal{B} - \mathcal{A}^\oplus *_N \mathcal{A}\|_2}{\|\mathcal{A}^\oplus *_N \mathcal{A}\|_2} \leq \frac{\|\mathcal{A}^\oplus *_N \mathcal{E}\|_2}{1 - \|\mathcal{A}^\oplus *_N \mathcal{E}\|_2}.$$

Proof. We assume that the perturbation \mathcal{E} is partitioned by

$$\mathcal{E} = \mathcal{U} *_N \begin{pmatrix} \mathcal{E}_{11} & \mathcal{E}_{12} \\ \mathcal{E}_{21} & \mathcal{E}_{22} \end{pmatrix} *_N \mathcal{U}^*.$$

Since \mathcal{E} satisfies $\mathcal{A} *_N \mathcal{A}^\oplus *_N \mathcal{E} = \mathcal{E}$, together with

$$\mathcal{A} *_N \mathcal{A}^\oplus = \mathcal{U} *_N \begin{pmatrix} I & O \\ O & O \end{pmatrix} *_N \mathcal{U}^*$$

leads to $\mathcal{E}_{21} = O$, $\mathcal{E}_{22} = O$. It is straightforward to see that the perturbation \mathcal{E} has the form

$$\mathcal{E} = \mathcal{U} *_N \begin{pmatrix} \mathcal{E}_{11} & \mathcal{E}_{12} \\ O & O \end{pmatrix} *_N \mathcal{U}^*,$$

and the tensor \mathcal{B} keeps the Schur form

$$\mathcal{B} = \mathcal{A} + \mathcal{E} = \mathcal{U} *_N \begin{pmatrix} \mathcal{T}_1 + \mathcal{E}_{11} & \mathcal{T}_2 + \mathcal{E}_{12} \\ O & \mathcal{T}_3 \end{pmatrix} *_N \mathcal{U}^*.$$

Since $\|\mathcal{A}^\oplus *_N \mathcal{E}\|_2 < 1$, and $\mathcal{A} *_N \mathcal{A}^\oplus$ is an orthogonal projection, we obtain

$$\|\mathcal{A}^\oplus *_N \mathcal{E} *_N \mathcal{A} *_N \mathcal{A}^\oplus\|_2 \leq \|\mathcal{A}^\oplus *_N \mathcal{E}\|_F \|\mathcal{A} *_N \mathcal{A}^\oplus\|_2 = \|\mathcal{A}^\oplus *_N \mathcal{E}\|_2 < 1.$$

Then $I + \mathcal{A}^\oplus *_N \mathcal{E} *_N \mathcal{A} *_N \mathcal{A}^\oplus$ is invertible, so $(I + \mathcal{T}_1^{-1} *_N \mathcal{E}_{11})^{-1}$ exists.

Then the core-EP inverse of \mathcal{B} exists, and it has the form as follows

$$\begin{aligned} \mathcal{B}^\oplus &= \mathcal{U} *_N \begin{pmatrix} (\mathcal{T}_1 + \mathcal{E}_{11})^{-1} & \mathcal{O} \\ \mathcal{O} & \mathcal{O} \end{pmatrix} *_N \mathcal{U}^* \\ &= \mathcal{U} *_N \begin{pmatrix} (I + \mathcal{T}_1^{-1} *_N \mathcal{E}_{11})^{-1} *_N \mathcal{T}_1^{-1} & \mathcal{O} \\ \mathcal{O} & \mathcal{O} \end{pmatrix} *_N \mathcal{U}^* \\ &= (I + \mathcal{A}^\oplus *_N \mathcal{E} *_N \mathcal{A} *_N \mathcal{A}^\oplus)^{-1} *_N \mathcal{A}^\oplus \\ &= \mathcal{A}^\oplus *_N (I + \mathcal{E} *_N \mathcal{A}^\oplus)^{-1} \\ &= (I + \mathcal{A}^\oplus *_N \mathcal{E})^{-1} *_N \mathcal{A}^\oplus, \end{aligned}$$

and $\mathcal{B}^\oplus *_N \mathcal{B}$ possesses the following representation

$$\mathcal{B}^\oplus *_N \mathcal{B} = \mathcal{U} *_N \begin{pmatrix} I & (\mathcal{T}_1 + \mathcal{E}_{11})^{-1} *_N (\mathcal{T}_2 + \mathcal{E}_{12}) \\ \mathcal{O} & \mathcal{O} \end{pmatrix} *_N \mathcal{U}^*.$$

Further,

$$\mathcal{A}^\oplus *_N \mathcal{A} = \mathcal{U} *_N \begin{pmatrix} I & \mathcal{T}_1^{-1} *_N \mathcal{T}_2 \\ \mathcal{O} & \mathcal{O} \end{pmatrix} *_N \mathcal{U}^*.$$

Now we can estimate

$$\begin{aligned} &\mathcal{B}^\oplus *_N \mathcal{B} - \mathcal{A}^\oplus *_N \mathcal{A} \\ &= \mathcal{U} *_N \begin{pmatrix} \mathcal{O} & [(\mathcal{T}_1 + \mathcal{E}_{11})^{-1} - \mathcal{T}_1^{-1}] *_N \mathcal{T}_2 + (\mathcal{T}_1 + \mathcal{E}_{11})^{-1} *_N \mathcal{E}_{12} \\ \mathcal{O} & \mathcal{O} \end{pmatrix} *_N \mathcal{U}^* \\ &= \mathcal{U} *_N \begin{pmatrix} \mathcal{O} & -(\mathcal{T}_1 + \mathcal{E}_{11})^{-1} *_N \mathcal{E}_{11} *_N \mathcal{T}_1^{-1} *_N \mathcal{T}_2 + (I + \mathcal{T}_1^{-1} *_N \mathcal{E}_{11})^{-1} *_N \mathcal{T}_1^{-1} *_N \mathcal{E}_{12} \\ \mathcal{O} & \mathcal{O} \end{pmatrix} *_N \mathcal{U}^* \\ &= \mathcal{U} *_N \begin{pmatrix} \mathcal{O} & -(I + \mathcal{T}_1^{-1} *_N \mathcal{E}_{11})^{-1} *_N \mathcal{T}_1^{-1} *_N \mathcal{E}_{11} *_N \mathcal{T}_1^{-1} *_N \mathcal{T}_2 + (I + \mathcal{T}_1^{-1} *_N \mathcal{E}_{11})^{-1} *_N \mathcal{T}_1^{-1} *_N \mathcal{E}_{12} \\ \mathcal{O} & \mathcal{O} \end{pmatrix} *_N \mathcal{U}^* \\ &= -(I + \mathcal{A}^\oplus *_N \mathcal{E} *_N \mathcal{A} *_N \mathcal{A}^\oplus)^{-1} *_N \mathcal{A}^\oplus *_N \mathcal{E} *_N \mathcal{A} *_N \mathcal{A}^\oplus *_N (\mathcal{A}^\oplus *_N \mathcal{A} - I) \\ &\quad + (I + \mathcal{A}^\oplus *_N \mathcal{E} *_N \mathcal{A} *_N \mathcal{A}^\oplus)^{-1} *_N \mathcal{A}^\oplus *_N \mathcal{A} *_N \mathcal{A}^\oplus *_N \mathcal{E} *_N (I - \mathcal{A} *_N \mathcal{A}^\oplus) \\ &= (I + \mathcal{A}^\oplus *_N \mathcal{E} *_N \mathcal{A} *_N \mathcal{A}^\oplus)^{-1} *_N \mathcal{A}^\oplus *_N \mathcal{E} *_N (\mathcal{A} *_N \mathcal{A}^\oplus - \mathcal{A}^\oplus *_N \mathcal{A}) \\ &\quad + (I + \mathcal{A}^\oplus *_N \mathcal{E} *_N \mathcal{A} *_N \mathcal{A}^\oplus)^{-1} *_N \mathcal{A}^\oplus *_N \mathcal{E} *_N (I - \mathcal{A} *_N \mathcal{A}^\oplus) \\ &= (I + \mathcal{A}^\oplus *_N \mathcal{E})^{-1} *_N \mathcal{A}^\oplus *_N \mathcal{E} *_N (I - \mathcal{A}^\oplus *_N \mathcal{A}). \end{aligned}$$

The proof is complete. \square

In the same way, we obtain the similar perturbation formula.

Theorem 3.2. Let $\mathcal{A}, \mathcal{E} \in \mathbb{C}^{I(N) \times I(N)}$ be of the form(6) and $\text{Ind}(\mathcal{A}) = k, \mathcal{B} = \mathcal{A} + \mathcal{E} \in \mathbb{C}^{I(N) \times I(N)}$. If the perturbation \mathcal{E} satisfies $\mathcal{A}^\oplus *_N \mathcal{A} *_N \mathcal{E} = \mathcal{E}$ and $\|\mathcal{A}^\oplus *_N \mathcal{E}\|_2 < 1$, then

$$\mathcal{B}^\oplus = (I + \mathcal{A}^\oplus *_N \mathcal{E})^{-1} *_N \mathcal{A}^\oplus = \mathcal{A}^\oplus *_N (I + \mathcal{E} *_N \mathcal{A}^\oplus)^{-1},$$

$$\mathcal{B} *_N \mathcal{B}^\oplus = \mathcal{A} *_N \mathcal{A}^\oplus.$$

Now we consider another perturbation formula with the weaker condition $(I - \mathcal{A} *_N \mathcal{A}^\oplus) *_N \mathcal{E} *_N \mathcal{A} *_N \mathcal{A}^\oplus = \mathcal{O}$.

Theorem 3.3. Let $\mathcal{A}, \mathcal{E} \in \mathbb{C}^{I(N) \times I(N)}$ be of the form (6) and $\text{Ind}(\mathcal{A}) = k$, $\mathcal{B} = \mathcal{A} + \mathcal{E} \in \mathbb{C}^{I(N) \times I(N)}$. If the perturbation \mathcal{E} satisfies $(I - \mathcal{A} *_N \mathcal{A}^\oplus) *_N \mathcal{E} *_N \mathcal{A} *_N \mathcal{A}^\oplus = O$ and $\text{rank}(\mathcal{A}^k) = \text{rank}(\mathcal{B}^k)$ with $\|\mathcal{A}^\oplus *_N \mathcal{E}\|_2 < 1$, then

$$\mathcal{B}^\oplus = (I + \mathcal{A}^\oplus *_N \mathcal{E})^{-1} *_N \mathcal{A}^\oplus = \mathcal{A}^\oplus *_N (I + \mathcal{E} *_N \mathcal{A}^\oplus)^{-1},$$

$$\mathcal{B} *_N \mathcal{B}^\oplus = \mathcal{A} *_N \mathcal{A}^\oplus.$$

Proof. We assume that the perturbation \mathcal{E} is partitioned by

$$\mathcal{E} = \mathcal{U} *_N \begin{pmatrix} \mathcal{E}_{11} & \mathcal{E}_{12} \\ \mathcal{E}_{21} & \mathcal{E}_{22} \end{pmatrix} *_N \mathcal{U}^*.$$

Since \mathcal{E} satisfies $(I - \mathcal{A} *_N \mathcal{A}^\oplus) *_N \mathcal{E} *_N \mathcal{A} *_N \mathcal{A}^\oplus = O$, together with

$$\mathcal{A} *_N \mathcal{A}^\oplus = \mathcal{U} *_N \begin{pmatrix} I & O \\ O & O \end{pmatrix} *_N \mathcal{U}^*$$

implies $\mathcal{E}_{21} = O$, and then \mathcal{B} has the following expression

$$\mathcal{B} = \mathcal{A} + \mathcal{E} = \mathcal{U} *_N \begin{pmatrix} \mathcal{T}_1 + \mathcal{E}_{11} & \mathcal{T}_2 + \mathcal{E}_{12} \\ O & \mathcal{T}_3 + \mathcal{E}_{22} \end{pmatrix} *_N \mathcal{U}^*.$$

Now, from $\|\mathcal{A}^\oplus *_N \mathcal{E}\|_2 < 1$ and $\text{rank}(\mathcal{A}^k) = \text{rank}(\mathcal{B}^k)$, we can obtain that $\mathcal{T}_1 + \mathcal{E}_{11}$ is invertible and $\text{rank}[(\mathcal{T}_3 + \mathcal{E}_{22})^k] = O$, $(\mathcal{T}_2 + \mathcal{E}_{12})^k = O$. Moreover,

$$\mathcal{B}^\oplus = \mathcal{U} *_N \begin{pmatrix} (\mathcal{T}_1 + \mathcal{E}_{11})^{-1} & O \\ O & O \end{pmatrix} *_N \mathcal{U}^*.$$

Similar to the proof of Theorem 3.1, we obtain

$$\mathcal{B}^\oplus = (I + \mathcal{A}^\oplus *_N \mathcal{E})^{-1} *_N \mathcal{A}^\oplus = \mathcal{A}^\oplus *_N (I + \mathcal{E} *_N \mathcal{A}^\oplus)^{-1},$$

and

$$\mathcal{B} *_N \mathcal{B}^\oplus = \mathcal{A} *_N \mathcal{A}^\oplus.$$

The proof is complete. \square

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