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# *S*-paracompactness and *S*<sub>2</sub>-paracompactness

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**Abstract.** A topological space *X* is an *S*-paracompact if there exists a bijective function *f* from *X* onto a paracompact space *Y* such that for every separable subspace *A* of *X* the restriction map  $f|_A$  from *A* onto f(A) is a homeomorphism. Moreover, if *Y* is Hausdorff, then *X* is called *S*<sub>2</sub>-paracompact. We investigate these two properties.

#### 1. Introduction

In this paper, we introduce two new properties in topological spaces which are *S*-paracompactness and  $S_2$ -paracompactness and our purpose is to investigate these properties. It is useful to introduce the following notations. The order pair will be denoted by  $\langle x, y \rangle$ . The sets of positive numbers, rational numbers, irrational numbers and real numbers will be denoted by  $\mathbb{N}$ ,  $\mathbb{Q}$ ,  $\mathbb{P}$  and  $\mathbb{R}$  respectively. The closure and the interior of the subset A of X will be denoted respectively by  $\overline{A}$  and int(A). Throughout this paper, a  $T_1$  normal space is called  $T_4$  and a  $T_1$  completely regular space is called Tychonoff space ( $T_{3\frac{1}{2}}$ ). In the definitions of compactness, countable compactness, paracompactness, and local compactness we do not assume  $T_2$ . Moreover, in the definitions of Lindelöfness we do not assume regularity. Also, the ordinal  $\gamma$  is the set of all ordinal  $\alpha$  such that  $\alpha < \gamma$ . We denote the first infinite ordinal by  $\omega$ , the first uncountable ordinal by  $\omega_1$ , and the successor cardinal of  $\omega_1$  by  $\omega_2$ .

The following definition of the notions of *C-paracompactness* and *C*<sub>2</sub>-*paracompactness* were introduced by A. V. Arhangel'skiĭ (see [8]).

**Definition 1.1.** A topological space X is C-paracompact if there exists a bijective function f from X onto a paracompact space Y such that for every compact subspace A of X the restriction map  $f|_A$  from A onto f(A) is a homeomorphism. Furthermore, if Y is Hausdorff, then X is called C<sub>2</sub>-paracompact.

### 2. S-paracompactness and S<sub>2</sub>-paracompactness

We introduce the notions of *S*-paracompactness and  $S_2$ -paracompactness inspired by Definition 1.1 as the following.

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**Definition 2.1.** A topological space X is an S-paracompact if there exists a bijective function f from X onto a paracompact space Y such that for every separable subspace A of X the restriction function  $f|_A$  from A onto f(A) is a homeomorphism. Moreover, if Y is Hausdorff, then X is called S<sub>2</sub>-paracompact.

From the definition it is clear that any  $S_2$ -paracompact is *S*-paracompact. The next theorem will be used to show that the converse is not necessarily true.

**Theorem 2.2.** If X is separable but not Hausdorff, then X cannot be an  $S_2$ -paracompact.

*Proof.* Let *X* be any separable non-Hausdorff space. Suppose that *X* is *S*<sub>2</sub>-paracompact. Then there exist a Hausdorff paracompact space *Y* and a bijective function  $f : X \longrightarrow Y$  such that  $f \mid_A : A \longrightarrow f(A)$  is a homeomorphism for all separable subspaces  $A \subseteq X$ . Since *X* is separable, then  $f : X \longrightarrow Y$  is a homeomorphism. But *Y* is *T*<sub>2</sub>, then *X* is *T*<sub>2</sub> which is a contradiction.  $\Box$ 

The following example is an application of Theorem 2.2.

**Example 2.3.** Consider the finite complement topology defined on the real numbers,  $(\mathbb{R}, C\mathcal{F})$  (see [9, Example 19]). Since  $(\mathbb{R}, C\mathcal{F})$  is paracompact being compact, then the identity function id :  $(\mathbb{R}, C\mathcal{F}) \rightarrow (\mathbb{R}, C\mathcal{F})$  shows that it is S-paracompact but not S<sub>2</sub>-paracompact being separable but not Hausdorff space.

From Example 2.3, we conclude that any paracompact ( $T_2$  paracompact) space is S-paracompact ( $S_2$ -paracompact). For the converse, we have the following counterexample.

**Example 2.4.**  $\omega_1$  is an  $S_2$ -paracompact space which is not paracompact. First, we show that any separable subspace of  $\omega_1$  is countable. Suppose that  $A \subset \omega_1$  is uncountable which implies that A is unbounded in  $\omega_1$ . If D is any countable subset of A, then there exists  $\alpha < \omega_1$  such that  $\alpha = \sup D$ . Thus, there exists  $\eta \in A$  such that  $\alpha < \eta$ . The set  $((\alpha, \eta] \cap A)$  is a nonempty open subset of A with  $((\alpha, \eta] \cap A) \cap D = \emptyset$ . Thus, A cannot be separable implying that any separable subspace of  $\omega_1$  is countable. Since  $\omega_1$  is  $T_2$  locally compact, then there exists a one to one continuous function, say f, onto a Hausdorff compact space Y (see [7]). Let  $A \subset \omega_1$  be any separable subspace. Since the closure of any countable set is compact in  $\omega_1$ , then we get that  $f|_{\overline{A}} : \overline{A} \longrightarrow f(\overline{A})$  is a homeomorphism (see [3, 3.1.13]) implying that  $f|_A : A \longrightarrow f(A)$  is a homeomorphism.

Here is another example of a Tychonoff space  $S_2$ -paracompact that is not paracompact being Hausdorff not normal space.

Example 2.5. Recall the modified Dieudonné plank

$$X = ((\omega_2 + 1) \times (\omega + 1)) \setminus \{\langle \omega_2, \omega \rangle\}.$$

Define  $\tau$  as the unique topology on X generated by the following neighborhood system: For each  $\alpha < \omega_2$  and  $n < \omega$ , let  $\mathcal{B}(\langle \alpha, n \rangle) = \{\{\langle \alpha, n \rangle\}\}$ . Let  $\mathcal{B}(\langle \alpha, \omega \rangle) = \{\{\alpha\} \times (n, \omega] : n < \omega\}$  for every  $\alpha < \omega_2$ . For each  $n < \omega$ , let  $\mathcal{B}(\langle \omega_2, n \rangle) = \{(\alpha, \omega_2] \times \{n\} : \alpha < \omega_2\}$ .

As mentioned in [5, Example 2], if we define a new topology on X by making each element of the form  $\langle \omega_2, n \rangle$  with  $n < \omega$  isolated, the modified Dieudonné plank will be a Tychonoff S<sub>2</sub>-paracompact space which is not paracompact space.

The same technique of the proof of Theorem 2.2 could be used to prove the following theorem.

**Theorem 2.6.** If X is separable but not paracompact, then X cannot be an S-paracompact.

Recall that a space  $(X, \mathcal{T})$  is *S*-normal if there exist a normal space Y and a function f such that  $f : X \longrightarrow Y$  is a bijection and  $f \mid_B : B \longrightarrow f(B)$  is a homeomorphism for every separable subspaces  $B \subseteq X$  (see [5]). Since any  $T_2$  paracompact space is normal, then any  $S_2$ -paracompact space is *S*-normal. However, this relation is not reversible as shown in the following example.

**Example 2.7.** Consider the left ray topology defined on  $\mathbb{R}$ ,  $(\mathbb{R}, \mathcal{L})$ , such that  $\mathcal{L} = \{\emptyset, \mathbb{R}\} \cup \{(-\infty, a) : a \in \mathbb{R}\}$ . It is an example of S-normal space by being a normal space which is not  $S_2$ -paracompact space since it is separable and not  $T_2$  space. In fact, it is not even an S-paracompact because it is separable not a paracompact space.

The following theorem presents a relation between the  $S_2$ -paracompactness and compactness.

**Theorem 2.8.** Every T<sub>2</sub> countably compact separable S-paracompact space is compact.

*Proof.* Let *X* be any  $T_2$  countably compact separable *S*-paracompact space. Then *X* is paracompact because the witness function of *S*-paracompactness is a homeomorphism. Since any countably compact  $T_2$  paracompact space is compact (see [3, 5.1.20]), we get that *X* is compact.  $\Box$ 

**Remark 2.9.** It is clear that any separable  $S_2$ -paracompact is  $T_2$  paracompact, hence  $T_4$ . Thus, the Niemtyzki plane  $\mathbb{L}$  (see [9, Example 82]), the Sorgenfrey line square ( $\mathbb{R}^2$ , S) [9, Example 84], and the rational sequence topology ( $\mathbb{R}$ ,  $\mathcal{RS}$ ) (see [9, Example 65]) are examples of Tychonoff separable spaces which are not paracompact because they are Hausdorff non-normal spaces. Hence, they are not S-paracompact spaces. Also, the particular point topology defined on  $\mathbb{R}$ , ( $\mathbb{R}$ ,  $\mathcal{T}_{\sqrt{2}}$ ), is not S-paracompact space by being separable not paracompact space (see [9, Example 10]). Note that since any submetrizable is C<sub>2</sub>-paracompact, ( $\mathbb{R}^2$ , S) is an example of a C<sub>2</sub>-paracompact space which is not S<sub>2</sub>-paracompact (see [8]).

A function  $f : X \to Y$  witnessing *S*-paracompactness (*S*<sub>2</sub>-paracompactness) of *X* need not be continuous, see Example 2.18. But it will be continuous if *X* is Fréchet. Recall that a space *X* is called *Fréchet* if for every subset  $A \subseteq X$  and for any  $x \in \overline{A}$ , there exists a sequence  $(a_n)_{n \in \mathbb{N}}$  such that  $a_n \in A$  for every  $n \in \mathbb{N}$  and  $a_n \longrightarrow x$  (see [3]).

**Theorem 2.10.** If X is an S-paracompact (S<sub>2</sub>-paracompact) space and Fréchet such that  $f : X \longrightarrow Y$  is a witness of S-paracompactness (S<sub>2</sub>-paracompactness) of X, then f is continuous.

*Proof.* Assume that *X* is *S*-paracompact and Fréchet. Let  $f : X \to Y$  witnesses *S*-paracompactness of *X*. Let  $A \subseteq X$  and pick  $y \in f(\overline{A})$  implying that there exists a unique  $x \in X$  such that f(x) = y and  $x \in \overline{A}$ . Since *X* is Fréchet, then there exists a sequence  $(a_n) \subseteq A$  such that  $a_n \to x$ . The subspace  $B = \{x, a_n : n \in \mathbb{N}\}$  of *X* is separable by being countable, thus  $f \mid_B : B \to f(B)$  is a homeomorphism. Now, let  $W \subseteq Y$  be any open neighborhood of *y*. Then  $W \cap f(B)$  is open in the subspace f(B) containing *y*. Since  $f(\{a_n : n \in \mathbb{N}\}) \subseteq f(B) \cap f(A)$  and  $W \cap f(B) \neq \emptyset$ ,  $W \cap f(A) \neq \emptyset$ . Hence  $y \in \overline{f(A)}$ , thus  $f(\overline{A}) \subseteq \overline{f(A)}$ . Therefore, *f* is continuous.  $\Box$ 

**Theorem 2.11.** *S*-paracompactness (*S*<sub>2</sub>-paracompactness) is a topological property.

*Proof.* Let *X* be an *S*-paracompact space and let *Z* be any topological space such that *X* is homeomorphic to *Z*. Let *f* be the function witnessing *S*-paracompactness of *X* onto a paracompact space *Y* and  $g: X \longrightarrow Z$  be a homeomorphism. Then  $f \circ g^{-1}: Z \longrightarrow Y$  will be the witness of *S*-paracompactness of *Z*.  $\Box$ 

Taking a compactification of the first three Tychonoff spaces mentioned in Remark 2.9 will show that *S*-paracompactness ( $S_2$ -paracompactness) is not hereditary. Moreover, as shown in Example 2.12 below, *S*-paracompactness ( $S_2$ -paracompactness) is not a multiplicative property.

**Example 2.12.** The Sorgenfrey line  $(\mathbb{R}, S)$  is an  $S_2$ -paracompact by being a  $T_2$  paracompact space. However, as mentioned in Remark 2.9,  $(\mathbb{R}^2, S)$  is not an  $S_2$ -paracompact.

Here is a case when a product of two  $S_2$ -paracompact spaces will be an  $S_2$ -paracompact.

**Theorem 2.13.** The Cartesian product of two  $S_2$ -paracompact spaces is  $S_2$ -paracompact in case that at least one of them is countably compact and Fréchet.

*Proof.* Let *X* and *Z* be *S*<sub>2</sub>-paracompact such that *X* is countably compact and Fréchet. Let *Y* and *f* : *X*  $\longrightarrow$  *Y* be witnesses of *S*<sub>2</sub>-paracompactness of *X*. Then *f* is continuous by Theorem 2.10 implying that *Y* is countably compact. Hence, *Y* is compact. Let *Y*' and *f*' : *Z*  $\longrightarrow$  *Y*' be witnesses of *S*<sub>2</sub>-paracompactness of *Z*. Consider the function *g* := *f* × *f*' : *X* × *Z*  $\longrightarrow$  *Y* × *Y*'. Observe that *Y* × *Y*' is *T*<sub>2</sub> paracompact (see [3, 5.1.36]). Now, let *D* be any separable subspace of *X* × *Z*. Therefore, *p*<sub>1</sub>(*D*)  $\subseteq$  *X* and *p*<sub>2</sub>(*D*)  $\subseteq$  *Z* are both separable subspaces of *X* and *Z* respectively being continuous images of a separable subspace *D*  $\subseteq$  *X* × *Z*. Then using the fact that countable product of separable spaces is separable, *p*<sub>1</sub>(*D*) × *p*<sub>2</sub>(*D*) is separable in *X* × *Z*. Thus, as  $D \subseteq p_1(D) \times p_2(D)$ , we get that *g* |<sub>D</sub>:  $D \longrightarrow g(D)$  is a homeomorphism.  $\Box$ 

As an application of Theorem 2.13, consider the following topological space:  $\omega_1 \times I^{\kappa}$ , where  $\kappa$  is an uncountable ordinal (see [9, Example 106]). Observe that  $\omega_1$  is an  $S_2$ -paracompact, Fréchet, and countably compact. Moreover,  $I^{\kappa}$  is  $S_2$ -paracompact by being  $T_2$  compact. By Theorem 2.13, we get that  $\omega_1 \times I^{\kappa}$  is an  $S_2$ -paracompact. Observe that  $\omega_1 \times I^{\kappa}$  is not paracompact because it is  $T_2$  non-normal since  $I^{\kappa}$  is not of countable tightness.

#### **Theorem 2.14.** *S*-paracompactness (S<sub>2</sub>-paracompactness) is an additive property.

*Proof.* Let  $\{X_{\alpha} : \alpha \in \Lambda\}$  be a family of  $S_2$ -paracompact spaces. Hence, for each  $\alpha \in \Lambda$  there exist a paracompact space  $Y_{\alpha}$  and a bijection  $f_{\alpha} : X_{\alpha} \longrightarrow Y_{\alpha}$  such that  $f_{\alpha} \mid_{A_{\alpha}} : A_{\alpha} \longrightarrow f_{\alpha}(A_{\alpha})$  is a homeomorphism for each separable subspace  $A_{\alpha}$  of  $X_{\alpha}$ . Since paracompactness is an additive property (see [3, 5.1.30.]),  $\bigoplus_{\alpha \in \Lambda} Y_{\alpha}$  is paracompact. Define  $f : \bigoplus_{\alpha \in \Lambda} X_{\alpha} \longrightarrow \bigoplus_{\alpha \in \Lambda} Y_{\alpha}$  as follows: for each  $x \in \bigoplus_{\alpha \in \Lambda} X_{\alpha}$ , there exists a unique  $\gamma \in \Lambda$  such that  $x \in X_{\gamma}$  then  $f(x) = f_{\gamma}(x)$ . Let A be any separable subspace of  $\bigoplus_{\alpha \in \Lambda} X_{\alpha}$ . Write  $A = \bigcup_{\alpha \in \Lambda^*} (A \cap X_{\alpha})$  where  $\Lambda^* = \{\alpha \in \Lambda : A \cap X_{\alpha} \neq \emptyset\}$ . Since A is separable, then  $\Lambda^*$  is countable and  $A \cap X_{\alpha}$  is separable in  $X_{\alpha}$  for all  $\alpha \in \Lambda^*$ . Therefore,  $f_{\alpha} \mid_{A \cap X_{\alpha}} : A \cap X_{\alpha} \longrightarrow f_{\alpha}(A \cap X_{\alpha})$  is a homeomorphism for each  $\alpha \in \Lambda^*$  implying that  $f \mid_A : A \longrightarrow f(A)$  is a homeomorphism.  $\Box$ 

The following result gives a relation between  $S_2$ -paracompactness and metrizability.

#### **Theorem 2.15.** *Every second countable S*<sub>2</sub>*-paracompact space is metrizable.*

*Proof.* Let  $(X, \mathcal{T})$  be an  $S_2$ -paracompact second countable space which yields that X is separable  $S_2$ -paracompact. Then X is  $T_4$  implying that X is regular. Since any second countable  $T_3$  space is metrizable [3, 4.2.9], we get that X is metrizable.  $\Box$ 

#### **Corollary 2.16.** *Every T*<sup>2</sup> *second countable S-paracompact space is metrizable.*

The converse of Theorem 2.15 is not true in general. For example, the discrete topology defined on  $\mathbb{R}$  is metrizable and  $S_2$ -paracompact but not second countable.

Recall that a topological space *X* is called *P*-space if *X* is  $T_1$  and every  $G_{\delta}$ -set is open (see [6]). In the following theorem, we will show that the class of *P*-spaces is  $S_2$ -paracompact.

#### **Theorem 2.17.** *Every P*-space is *S*<sub>2</sub>-paracompact.

*Proof.* Let  $(X, \mathcal{T})$  be a *P*-space. If *X* is countable, then it is discrete [6] implying that *X* is  $S_2$ -paracompact. Assume now that *X* is uncountable. Let  $A \subseteq X$  be an arbitrary uncountable subset of *X* and let  $D \subset A$  be any countable subset of A. Then *D* is closed set in *A* and  $A \setminus D$  is a non-empty open set in *A* with  $(A \setminus D) \cap D = \emptyset$ . Hence, *D* cannot be dense in *A* implying that *A* cannot be separable. Thus, we conclude that any separable subspace of *X* must be countable and hence any separable subspace of *X* is discrete. Take the identity map id :  $(X, \mathcal{T}) \longrightarrow (X, \mathcal{D})$ . Then id  $|_A: A \longrightarrow f(A)$  is a homeomorphism for all separable subspaces *A*. Therefore,  $(X, \mathcal{T})$  is  $S_2$ -paracompact.  $\Box$ 

Note that ( $\mathbb{R}$ ,  $\mathcal{U}$ ),  $\omega_1$ , and the modified Dieudonné plank are examples of  $S_2$ -paracompact spaces which are not *P*-space.

**Example 2.18.** An application of Theorem 2.17, consider ( $\mathbb{R}$ , *CC*), where *CC* is the countable complement topology defined on  $\mathbb{R}$  (see [9, Example 20]). Since ( $\mathbb{R}$ , *CC*) is *P*-space, then by Theorem 2.17, ( $\mathbb{R}$ , *CC*) is *S*<sub>2</sub>-paracompact. Note that the function witnessing the *S*<sub>2</sub>-paracompactness here is the identity taken from *CC* to the discrete topology defined on  $\mathbb{R}$ , write ( $\mathbb{R}$ ,  $\mathcal{D}$ ). However, id : ( $\mathbb{R}$ , *CC*)  $\rightarrow$  ( $\mathbb{R}$ ,  $\mathcal{D}$ ) is not continuous.

Recall that X is *locally separable* if each element has a separable open neighborhood (see [3, 4.4.F]). Next theorem describes the relation between  $S_2$ -paracompactness, locally separability and the Lindelöfness property. Theorem 2.2 and Theorem 2.6 give the following statement.

**Theorem 2.19.** If X is Lindelöf, locally separable and  $S_2$ -paracompact, then X is  $T_2$  paracompact, and hence is  $T_4$ .

Note that  $S_2$ -paracompactness is essential in Theorem 2.19. For example ( $\mathbb{R}, C\mathcal{F}$ ) is locally separable and Lindelöf but neither  $T_2$  nor normal. Observe that ( $\mathbb{R}, C\mathcal{F}$ ) is not an  $S_2$ -paracompact.

Let *X* be a topological space. Recall that the  $G_{\delta}$ -extension  $X_{\delta}$  of *X* is the topology on the same underlying set *X* generated by the family of all  $G_{\delta}$ -subsets of *X* (see [2]). If (*X*, $\mathcal{T}$ ) is  $T_1$  first countable space, then any singleton is a  $G_{\delta}$ -set. Therefore, the  $G_{\delta}$ -extension of any  $T_1$  first countable space is  $S_2$ -paracompact being a discrete space. The converse is not true in general. As an example, consider the three Tychonoff spaces mentioned in 2.9.

#### 3. Invariance

In this section we will discuss the invariance of *S*-paracompactness ( $S_2$ -paracompactness) under different mappings. The following examples will prove that *S*-paracompactness ( $S_2$ -paracompactness) is neither invariant, inverse invariant nor open invariant.

**Example 3.1.** The identity function  $id : (\mathbb{R}, \mathcal{U}) \longrightarrow (\mathbb{R}, \mathcal{L})$  is a continuous bijective function. As shown in *Example 2.7*,  $(\mathbb{R}, \mathcal{L})$  is not S-paracompact unlikely to  $(\mathbb{R}, \mathcal{U})$  which is  $T_2$  paracompact. Hence, S-paracompactness (S<sub>2</sub>-paracompactness) is not invariant.

On the other hand, the identity function  $id : (\mathbb{R}^2, S) \longrightarrow (\mathbb{R}^2, \mathcal{U})$  is a bijective continuous function. Since  $(\mathbb{R}^2, S)$  is not S-paracompact, we get that S-paracompactness is not inverse invariant. In addition,  $p : \mathbb{L} \longrightarrow (\mathbb{R}, \mathcal{U})$  such that  $p(\langle x, y \rangle) = x$  is an example showing that S-paracompactness (S<sub>2</sub>-paracompactness) is not inverse open invariant.

S-paracompactness ( $S_2$ -paracompactness) is not open invariant as shown in the following example.

**Example 3.2.** Consider ( $\mathbb{R}$ ,  $\mathcal{U}$ ), the usual topology defined on the set of real numbers. Then the Alexandroff Duplicate of the usual topology is defined as follows:

$$A(\mathbb{R}) = \mathbb{R} \cup \mathbb{R}',$$

where  $\mathbb{R}' = \mathbb{R} \times \{1\} = \{\langle y, 1 \rangle = y' : y \in \mathbb{R}\}$  such that the basic open neighborhood for every  $x \in \mathbb{R}$  has the form  $U \cup (U' \setminus \{x'\})$  where  $x \in U \in \mathcal{U}$  and  $U' = \{\langle y, 1 \rangle : y \in U\}$  and the basic open set for every  $x' \in \mathbb{R}'$  is  $\{x'\}$ . The space  $A(\mathbb{R})$  is  $S_2$ -paracompact begin  $T_2$  paracompact (see [1, 4]). Now let  $i = \sqrt{-1} \notin \mathbb{R}$ . Consider the closed extension  $(X, \tau)$  of  $(\mathbb{R}, \mathcal{U})$  where  $X = \mathbb{R} \cup \{i\}$  and  $\tau \subseteq \mathcal{P}(X)$  is defined as follows:

$$\tau = \{\emptyset\} \cup \{W \cup \{i\} : W \in \mathcal{U}\}.$$

*The space*  $(X,\tau)$  *is not*  $S_2$ -*paracompact since it is neither*  $T_2$  *nor paracompact but separable as*  $\{i\}$  *is a countable dense subset of* X. *Define*  $f : A(\mathbb{R}) \longrightarrow X$  *by:* 

 $f(x) = \begin{cases} x & ; x \in \mathbb{R} \\ i & ; x \in \mathbb{R}' \end{cases}$ 

*Then f is continuous, open, and surjective.* 

Since any continuous open surjective function is a quotient, we conclude the following:

**Corollary 3.3.** *S*-paracompactness (*S*<sub>2</sub>-paracompactness) is not preserved under quotient maps.

We do not have any result yet regarding the closed invariant. We also do not have an answer to the following problem.

**Problem 3.4.** If X is S-paracompact ( $S_2$ -paracompact), is then its Alexandroff duplicate A(X) S-paracompact ( $S_2$ -paracompact)?

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