



On Douglas-Shapiro-Shields Factorizations

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Abstract. In this note we consider the kernels of vectorial Hankel operators and examine a question which functions are admitted to canonical ‘pseudo’-Douglas-Shapiro-Shields factorizations.

1. Introduction

Let \mathbb{T} be the unit circle in the complex plane \mathbb{C} . For a separable complex Hilbert space E , let L_E^2 be the set of all strongly measurable functions $f : \mathbb{T} \rightarrow E$ such that

$$\|f\|_2 := \left(\int_{\mathbb{T}} \|f(z)\|_E^2 dm(z) \right)^{\frac{1}{2}} < \infty.$$

For $f \in L_E^2$, the n -th Fourier coefficient of f , denoted by $\widehat{f}(n)$, is defined by

$$\widehat{f}(n) := \int_{\mathbb{T}} \bar{z}^n f(z) dm(z) \quad (n \in \mathbb{Z}).$$

Then H_E^2 denotes the corresponding E -valued Hardy space, i.e., the set of $f \in L_E^2$ with $\widehat{f}(n) = 0$ for $n < 0$. Let $\mathcal{B}(D, E)$ denote the set of all bounded linear operators between separable complex Hilbert spaces D and E , and abbreviate $\mathcal{B}(E, E)$ to $\mathcal{B}(E)$. A function $\Phi : \mathbb{T} \rightarrow \mathcal{B}(D, E)$ is called WOT measurable if $z \mapsto \Phi(z)x$ is weakly measurable for every $x \in D$. Let $L^\infty(\mathcal{B}(D, E))$ denote the set of all bounded WOT measurable $\mathcal{B}(D, E)$ -valued functions on \mathbb{T} . Define $H^\infty(\mathcal{B}(D, E))$ by the set of functions $\Phi \in L^\infty(\mathcal{B}(D, E))$ whose Fourier coefficients $\widehat{\Phi}(n) = 0$ for $n < 0$. A function $\Delta \in H^\infty(\mathcal{B}(D, E))$ is called an *inner* function if $\Delta^* \Delta = I_D$ a.e. on \mathbb{T} and is called *two-sided inner* function if Δ is inner and $\Delta \Delta^* = I_E$ a.e. on \mathbb{T} . For a function $\Phi \in H^\infty(\mathcal{B}(D, E))$, an inner function Δ with values in $\mathcal{B}(D', E)$ is called a *left inner divisor* of Φ if $\Phi = \Delta A$ for $A \in H^\infty(\mathcal{B}(D, D'))$. For $\Phi \in H^\infty(\mathcal{B}(D_1, E))$ and $\Psi \in H^\infty(\mathcal{B}(D_2, E))$, we say that Φ and Ψ are *left coprime* if the only common left

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inner divisor of both Φ and Ψ is a unitary operator. Also, for $\Phi \in H^\infty(\mathcal{B}(E, D_1))$ and $\Psi \in H^\infty(\mathcal{B}(E, D_2))$, we say that Φ and Ψ are *right coprime* if $\widetilde{\Phi}$ and $\widetilde{\Psi}$ are left coprime, where $\widetilde{\Phi}(z) := \Phi(\bar{z})^*$.

A Hankel operator with symbol $\Phi \in L^\infty(\mathcal{B}(D, E))$ is an operator $H_\Phi : H_D^2 \rightarrow H_E^2$ defined by

$$H_\Phi f := JP^\perp(\Phi f) \quad \text{for } f \in H_D^2,$$

where P^\perp is the orthogonal projection of L_E^2 onto $(H_E^2)^\perp$ and J denotes the unitary operator from L_E^2 onto L_E^2 given by $J(f)(z) := \bar{z}f(\bar{z})$ for $f \in L_E^2$. A *shift operator* S_E on H_E^2 is defined by

$$(S_E f)(z) := zf(z) \quad \text{for each } f \in H_E^2.$$

We can see that the kernel of a Hankel operator H_{Φ^*} is an invariant subspace of the shift operator on H_E^2 . Thus by the Beurling-Lax-Halmos Theorem (cf. [2], [15], [14], [17]),

$$\ker H_{\Phi^*} = \Delta H_E^2 \tag{1}$$

for some inner function $\Delta \in H^\infty(\mathcal{B}(E', E))$. Some kernels of products of Hankel operators with scalar symbols are also invariant subspaces of the shift operator on H^2 (cf. [11] [8], [9]).

Related to this is the notion of Douglas-Shapiro-Shields (DSS) factorization. For a function $\Phi \in L^\infty(\mathcal{B}(E', E))$, the *Douglas-Shapiro-Shields (briefly, DSS) factorization* of Φ is (cf. [4], [6], [7], [12]):

$$\Phi = \Delta A^*, \tag{2}$$

where $\Delta \in H^\infty(\mathcal{B}(E))$ is two-sided inner and $A \in H^\infty(\mathcal{B}(E, E'))$. It is known (cf. [4], [7], [12]) that if $\Phi \in L^\infty(\mathcal{B}(E', E))$ admits a DSS factorization of the form (2), then Δ can be obtained from the equation

$$\ker H_{\Phi^*} = \Delta H_E^2 : \tag{3}$$

in this case, Δ and A are right coprime. The DSS factorization satisfying (3) is called *canonical*. Consequently, each function that admits a DSS factorization can be arranged in a canonical form.

We recall (cf. [1], [16]) that for a scalar function φ defined on \mathbb{T} , φ is said to be of bounded type if

$$\varphi = h_1/h_2 \quad \text{a.e. on } \mathbb{T}$$

for some $h_1, h_2 \in H^\infty$. If Φ is a matrix-valued L^∞ -function then Φ is said to be of bounded type if each entry of Φ is of bounded type. It is also known that if Φ is a matrix-valued function then (cf. [3], [12])

$$\Phi^* \text{ is of bounded type} \iff \Phi \text{ admits a (canonical) DSS factorization.} \tag{4}$$

If the condition “ Δ is two-sided” is dropped in (2), what can we say about a DSS factorization? More concretely, we would like to ask:

Question 1.1. *If $\Phi \in L^\infty(\mathcal{B}(E', E))$ is expressed as*

$$\Phi = \Delta A^*, \tag{5}$$

where $\Delta \in H^\infty(\mathcal{B}(D, E))$ is inner and $A \in H^\infty(\mathcal{B}(D, E'))$, does it follow that Δ can be obtained from the equation $\ker H_{\Phi^} = \Delta H_E^2$?*

In this note we consider Question 1.1.

We remark that the kernels of Hankel operators with operator-valued symbols are studied recently in [4] where the degree of cyclicity of the set obtained by the analytic part of the symbol is shown to be connected with the size of the inner matrix Δ as in (5) (the case of matrix-valued symbol is studied in [13] where an index of the adjoint of the symbol is also connected with the same thing). We will use the degree of cyclicity to give a more explicit answer to Question 1.1 for matrix-valued symbols. The following inverse question is investigated in [10]: Given an (nonsquare) inner matrix Δ , find all matrix-valued Φ in $L^\infty(\mathcal{B}(D, E))$ such that $\ker H_{\Phi^*} = \Delta H_E^2$. A complete answer to this inverse question is given in the case Δ is a 2×1 inner matrix or Δ is an inner matrix such that Δ^* is of bounded type.

2. The main results

For an inner function $\Delta \in H^\infty(\mathcal{B}(D, E))$, $\mathcal{H}(\Delta)$ denotes the orthogonal complement of the subspace ΔH_D^2 in H_E^2 , i.e.,

$$\mathcal{H}(\Delta) := H_E^2 \ominus \Delta H_D^2.$$

For a function $\Phi : \mathbb{T} \rightarrow \mathcal{B}(D, E)$, write $\check{\Phi}(z) := \Phi(\bar{z})$.

We now answer Question 1.1 affirmatively.

Theorem 2.1. *If $\Phi \in L^\infty(\mathcal{B}(E', E))$ is expressed as*

$$\Phi = \Delta A^*, \tag{6}$$

where $\Delta \in H^\infty(\mathcal{B}(D, E))$ is inner and $A \in H^\infty(\mathcal{B}(D, E'))$, then we can write

$$\Phi = \Delta_A B_0^*, \tag{7}$$

where $B_0 \in H^\infty(\mathcal{B}(E_0, E'))$ and $\Delta_A \in H^\infty(\mathcal{B}(E_0, E))$ is an inner function which comes from the equation

$$\ker H_{\Phi^*} = \Delta_A H_{E_0}^2 \tag{8}$$

for some Hilbert space E_0 . Moreover, in the factorization (7), Δ_A and B_0 are right coprime.

Proof. Suppose that $\Phi \in L^\infty(\mathcal{B}(E', E))$ can be written as

$$\Phi = \Delta A^*, \tag{9}$$

where $\Delta \in H^\infty(\mathcal{B}(D, E))$ is inner and $A \in H^\infty(\mathcal{B}(D, E'))$. Define

$$\Delta_A := \text{left-g.c.d.} \left\{ \Theta : \Phi = \Theta B^* \text{ with } \Theta \in H^\infty(\mathcal{B}(D, E)) \text{ inner and } B \in H^\infty(D, E') \right\}, \tag{10}$$

where left-g.c.d. means the greatest common left inner divisor. If $\Phi = \Theta B^*$ for some inner function $\Theta \in H^\infty(D, E)$ and $B \in H^\infty(D, E')$. Then $\Theta H_D^2 \subseteq \ker H_{\Phi^*}$. We thus have

$$\Delta_A H_{E_0}^2 \subseteq \ker H_{\Phi^*} \quad \text{for some Hilbert space } E_0. \tag{11}$$

For the reverse inclusion, suppose $\ker H_{\Phi^*} \neq \{0\}$. Then in view of the Beurling-Lax-Halmos Theorem that $\ker H_{\Phi^*} = \Delta_1 H_{E_1}^2$ for some nonzero inner function Δ_1 with values in $\mathcal{B}(E_1, E)$. Thus we have $\Delta H_D^2 \subseteq \Delta_1 H_{E_1}^2$, which implies that Δ_1 is a left inner divisor of Δ . Write

$$\Delta = \Delta_1 \Omega,$$

where Ω is inner function with values in $\mathcal{B}(D, E_1)$. Since $\ker H_{\Phi^*} = \Delta_1 H_{E_1}^2$, it follows that for all $f \in H_{E_1}^2$,

$$A \Omega^* f = \Phi^* \Delta_1 f \in H_{E'}^2. \tag{12}$$

Put $B := A \Omega^*$. Then $B \in L^\infty(\mathcal{B}(E_1, E'))$. It thus follows from (12) that for all $x \in E_1$ and $n = 1, 2, 3, \dots$,

$$\widehat{B}(-n)x = \int_{\mathbb{T}} z^n B(z)x dm(z) = 0.$$

Thus B belongs to $H^\infty(\mathcal{B}(E_1, E'))$. Since $\Delta_1 B^* = \Phi$, it follows that $\Delta_1 H_{E_1}^2 \subseteq \Delta_A H_{E_0}^2$, which together with (11) gives $\Delta_1 H_{E_1}^2 = \Delta_A H_{E_0}^2$. Thus $\Delta_1 = \Delta_A U$ for some unitary operator $U \in \mathcal{B}(E_1, E_0)$. Put $B_0 := B U^* \in H^\infty(\mathcal{B}(E_0, E'))$. Then

$$\Phi = \Delta_A B_0^* \quad \text{and} \quad \ker H_{\Phi^*} = \Delta_A H_{E_0}^2. \tag{13}$$

We now claim that Δ_A and B_0 are right coprime. To see this we assume that Ω is a common left inner divisor of $\widetilde{\Delta}_A$ and \widetilde{B}_0 . Then we can write

$$\widetilde{\Delta}_A = \Omega\Delta_2 \quad \text{and} \quad \widetilde{B}_0 = \Omega B_2,$$

where $\Delta_2 \in H^\infty(\mathcal{B}(E, E_1))$ and $B_2 \in H^\infty(\mathcal{B}(E', E_1))$. Then $\widetilde{\Delta}_2$ is a left inner divisor of Δ_A , and we have that

$$\Phi = \Delta_A B_0^* = \widetilde{\Delta}_2 \widetilde{\Omega} \widetilde{\Omega}^* \widetilde{B}_2^* = \widetilde{\Delta}_2 \widetilde{B}_2^*.$$

Thus

$$\widetilde{\Delta}_2 H_{E_1}^2 \subseteq \ker H_{\Phi^*} = \Delta_A H_{E_0}^2$$

which implies that Δ_A is a left inner divisor of $\widetilde{\Delta}_2$. It thus follows that $\widetilde{\Omega}$ is a unitary operator and so is Ω . Therefore Δ_A and B_0 are right coprime. This completes the proof. \square

Remark 2.2. *The expression (6) will be called a pseudo-DSS factorization and the expression (7) will be called a canonical pseudo-DSS factorization. Thus Theorem 2.1 says that if a function $\Phi \in L^\infty(\mathcal{B}(E', E))$ admits a pseudo-DSS factorization then we can always arrange the pseudo-DSS factorization of Φ in a canonical form.*

For an inner function $\Delta \in H^\infty(\mathcal{B}(D, E))$, define the kernel of Δ^* by

$$\ker \Delta^* := \{f \in H_E^2 : \Delta^*(z)f(z) = 0 \text{ for almost all } z \in \mathbb{T}\}.$$

Since $\ker \Delta^*$ is an invariant subspace for the shift operator S_D , it follows from the Beurling-Lax-Halmos Theorem that $\ker \Delta^* = \Omega H_{D'}^2$, for some inner function $\Omega \in H^\infty(D', E)$.

The following lemma gives a concrete description for the kernel of Δ^* .

Lemma 2.3. [4] [10] *Let Δ be an inner function with values in $\mathcal{B}(D, E)$. Then we may write $\ker \Delta^* = \Omega H_{D'}^2$, for some inner function $\Omega \in H^\infty(D', E)$. Put*

$$\Delta_c := \text{left-g.c.d.}\{[g]^i : g \in \ker \Delta^*\}, \tag{14}$$

where $[g] : \mathbb{T} \rightarrow \mathcal{B}(\mathbb{C}, E)$ is defined by $[g](z)\alpha := \alpha g(z)$ ($\alpha \in \mathbb{C}$) and $[g]^i$ denotes the inner part of $[g]$. Then,

- (a) $\Omega = \Delta_c$;
- (b) $[\Delta, \Delta_c]$ is an inner function with values in $\mathcal{B}(D \oplus D', E)$;
- (c) $\ker H_{\Delta^*} = [\Delta, \Delta_c] H_{D \oplus D'}^2 \equiv \Delta H_D^2 \oplus \Delta_c H_{D'}^2$.

Definition 2.4. Δ_c is called the complementary factor of an inner function Δ .

We then have:

Corollary 2.5. *Suppose Δ is an inner function with values in $H^\infty(\mathcal{B}(D, E))$ and $A \in H^\infty(\mathcal{B}(D, E'))$. If Δ admits a DSS factorization then*

$$\ker H_{A\Delta^*} = \Theta H_{E'}^2,$$

where $\Theta \equiv [\Delta, \Delta_c] \widetilde{\Omega}$ is two-sided inner with

$$\Omega := \text{left-g.c.d.}([\widetilde{\Delta}, \widetilde{\Delta}_c], [\widetilde{A}, \widetilde{0}]) \quad (\text{where } [A, 0] \in H^\infty(\mathcal{B}(D \oplus D', E'))).$$

Proof. Let

$$\Omega := \text{left-g.c.d.}([\widetilde{\Delta}, \widetilde{\Delta}_c], [A, 0]).$$

Since Δ admits a DSS factorization, it follows from Lemma 2.3 that $[\Delta, \Delta_c]$ is two-sided inner, and so is $[\widetilde{\Delta}, \widetilde{\Delta}_c]$. Thus Ω is two-sided inner, and hence we may write

$$[\Delta, \Delta_c] = \Theta \widetilde{\Omega} \quad \text{and} \quad [A, 0] = B \widetilde{\Omega} \quad (\Theta \in H^\infty(\mathcal{B}(E)), B \in H^\infty(\mathcal{B}(E, E'))),$$

where Θ and B are right coprime. Thus we have that

$$\Delta A^* = [\Delta, \Delta_c][A, 0]^* = \Theta B^*.$$

But since $\widetilde{\Omega}$ is two-sided inner, so is Θ , and hence $\ker H_{\Delta A^*} = \Theta H_E^2$. This completes the proof. \square

The following example shows that Corollary 2.5 may fail if the condition “ Δ admits a DSS factorization” is dropped.

Example 2.6. Let $h(z) := e^{\frac{1}{z-3}} \in H^\infty$. Put

$$f(z) := \frac{h(z)}{\sqrt{2}\|h\|_\infty}.$$

Clearly, \bar{f} is not of bounded type. Let $h_1(z) := \sqrt{1 - |f(z)|^2}$. Then $h_1 \in L^\infty$ and $|h_1| \geq \frac{1}{\sqrt{2}}$. Thus there exists an outer function g such that $|h_1| = |g|$ a.e. on \mathbb{T} (cf. [5, Corollary 6.25], [4]). Let

$$\Delta := \begin{bmatrix} f & 0 \\ g & 0 \\ 0 & \frac{z}{\sqrt{2}} \\ 0 & \frac{\bar{z}}{\sqrt{2}} \end{bmatrix} \quad \text{and} \quad A := \begin{bmatrix} 0 & 1 \end{bmatrix}.$$

Then Δ is inner and Δ^* is not of bounded type, so that by (4), Δ does not admit a DSS factorization. Write

$$\Delta_1 := \begin{bmatrix} f \\ g \end{bmatrix} \quad \text{and} \quad \Delta_2 := \frac{1}{\sqrt{2}} \begin{bmatrix} z \\ \bar{z} \end{bmatrix}.$$

Then it follows from Lemma 2.3 that

$$\ker H_{\Delta^*} = \ker H_{\Delta_1^*} \oplus \ker H_{\Delta_2^*} = \Delta_1 H^2 \oplus \begin{bmatrix} \frac{z}{\sqrt{2}} & \frac{1}{\sqrt{2}} \\ \frac{\bar{z}}{\sqrt{2}} & -\frac{1}{\sqrt{2}} \end{bmatrix} H_{\mathbb{C}^2}^2$$

and hence,

$$[\Delta, \Delta_c] = \begin{bmatrix} f & 0 & 0 \\ g & 0 & 0 \\ 0 & \frac{z}{\sqrt{2}} & \frac{1}{\sqrt{2}} \\ 0 & \frac{\bar{z}}{\sqrt{2}} & \frac{-1}{\sqrt{2}} \end{bmatrix}.$$

Since $\ker H_{\Delta A^*} = H^2 \oplus H^2 \oplus \ker H_{\Delta_2^*}$, it follows that

$$\ker H_{\Delta A^*} = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & \frac{z}{\sqrt{2}} & \frac{1}{\sqrt{2}} \\ 0 & 0 & \frac{\bar{z}}{\sqrt{2}} & -\frac{1}{\sqrt{2}} \end{bmatrix} H_{\mathbb{C}^4}^2 \equiv \Theta H_{\mathbb{C}^4}^2.$$

Since \widetilde{f} is invertible in H^∞ , it follows that A and Δ are right coprime. On the other hand, since

$$[\widetilde{\Delta}, \widetilde{\Delta}_c]H_{\mathbb{C}^4}^2 \vee [A, 0]H^2 = \begin{bmatrix} \widetilde{f} & \widetilde{g} & 0 & 0 \\ 0 & 0 & \frac{z}{\sqrt{2}} & \frac{z}{\sqrt{2}} \\ 0 & 0 & \frac{1}{\sqrt{2}} & \frac{-1}{\sqrt{2}} \end{bmatrix} H_{\mathbb{C}^4}^2 \vee \begin{bmatrix} 0 \\ 1 \\ 0 \end{bmatrix} H^2 = H_{\mathbb{C}^3}^2,$$

it follows that

$$\Omega \equiv \text{left-g.c.d.}([\widetilde{\Delta}, \widetilde{\Delta}_c], [A, 0]) = I_3.$$

We thus have $\Theta \neq [\Delta, \Delta_c]\check{\Omega}$.

Let $M_{n \times m}$ denote the set of all $n \times m$ complex matrices and write $M_n \equiv M_{n \times n}$.

Remark 2.7. It is clear that if $\Phi \in L^\infty(\mathcal{B}(E', E))$ is such that $\ker H_{\Phi^*} = \{0\}$ then, by Theorem 2.1, Φ does not admit a pseudo-DSS factorization. We next give a less trivial example in the sense that $\ker H_{\Phi^*} \neq \{0\}$, but Φ still does not admit a pseudo-DSS factorization. Suppose that θ_1 and θ_2 are coprime inner functions. Consider

$$\Phi := \begin{bmatrix} \theta_1 & 0 & 0 \\ 0 & \theta_2 & 0 \\ 0 & 0 & a \end{bmatrix} \in H_{M_{3 \times 3}}^\infty,$$

where $a \in H^\infty$ is such that \bar{a} is not of bounded type. Then a direct calculation shows that

$$\ker H_{\Phi^*} = \begin{bmatrix} \theta_1 & 0 \\ 0 & \theta_2 \\ 0 & 0 \end{bmatrix} H_{\mathbb{C}^2}^2 \equiv \Theta H_{\mathbb{C}^2}^2.$$

Assume that Φ admits a pseudo-DSS factorization. Then, by Theorem 2.1, we may write

$$\Phi = \Theta B^*$$

for some $B \in H_{M_{3 \times 2}}^\infty$. However, for any $B \in H_{M_{3 \times 2}}^\infty$,

$$\Phi = \Theta B^* = \begin{bmatrix} \theta_1 & 0 \\ 0 & \theta_2 \\ 0 & 0 \end{bmatrix} B^* = \begin{bmatrix} * & * & * \\ * & * & * \\ 0 & 0 & 0 \end{bmatrix},$$

a contradiction.

3. When Φ is a matrix-valued symbol

Theorem 2.1 gives a satisfactory answer to Question 1.1, however as we have seen in a previous example, it is not a simple matter to find the canonical pseudo-DSS factorization of Φ . Equivalently, we need to find $\ker H_{\Phi^*}$. In the case when Δ admits a DSS factorization, Corollary 2.5 gives a practical way of finding $\ker H_{\Phi^*}$. Here we extend Corollary 2.5 to more general situations when Φ is a matrix-valued symbol. Since Corollary 2.5 covers the case when $\Phi \equiv \Delta A^*$ admits a DSS factorization, here we will assume Φ does not admit a DSS factorization.

Proposition 3.1. Suppose $\Phi \in L_{M_{n \times m}}^\infty$ does not admit a DSS factorization. Let

$$\Phi = \Delta A^* \quad (\text{pseudo-DSS factorization}).$$

If $[\Delta, \Delta_c]$ is in $H_{M_{n \times (n-1)}}^\infty$ and $\Omega \equiv \text{left-g.c.d.}([\widetilde{\Delta}, \widetilde{\Delta}_c], [A, 0])$ is two-sided inner, then $\Phi = \Theta B^*$ is a canonical pseudo-DSS factorization for some $B \in H_{M_{m \times (n-1)}}^\infty$, where

$$\Theta = [\Delta, \Delta_c]\check{\Omega}. \tag{15}$$

Proof. By Theorem 2.1, we need to show Δ_A given by (8) is the same as the Θ given by (15). By the definition of Ω ,

$$[\Delta, \Delta_c] = \Theta \widetilde{\Omega} \quad \text{and} \quad [A, 0] = B \widetilde{\Omega}$$

for some $B \in H_{M_{m \times (n-1)}}^\infty$ and Θ and B are right coprime. Since

$$\Phi = \Delta A^* = [\Delta, \Delta_c][A, 0]^* = \Theta \widetilde{\Omega} \widetilde{\Omega}^* B^* = \Theta B^*,$$

it follows that $\Theta H_{\mathbb{C}^{n-1}}^2 \subseteq \ker H_{\Phi^*} \equiv \Delta_A H_{\mathbb{C}^r}^2$. Thus $\Theta = \Delta_A \Gamma$ for some inner function $\Gamma \in H_{M_{r \times (n-1)}}^\infty$. Since $\Phi \in L_{M_{n \times m}}^\infty$ does not admit a DSS factorization, it follows that $r < n$, and hence $r = n - 1$. It thus follows from Theorem 2.1 that

$$\Delta_A \Gamma B^* = \Theta B^* = \Phi = \Delta_A B_0^* \quad \text{for some } B_0 \in H_{M_{m \times (n-1)}}.$$

Thus $\Gamma B^* = B_0^*$, and hence $B = B_0 \Gamma$. Hence, the fact that Θ and B are right coprime implies that Γ is a unitary constant, and therefore $\Theta = \Delta_A$. \square

We give an example to illustrate the above proposition.

Example 3.2. We use the same notation as in Example 2.6. Let

$$A := [1, 1].$$

Then $\Phi = \Delta A^* = [f \ g \ \frac{z}{\sqrt{2}} \ \frac{z}{\sqrt{2}}]^t$ does not admit a DSS factorization. Note that

$$\Omega = \text{left-g.c.d}([\widetilde{\Delta}, \widetilde{\Delta}_c], [\widetilde{A}, \widetilde{0}]) = I_3.$$

It follows from the above proposition that $\ker H_{\Phi^*} = [\Delta, \Delta_c] H_{\mathbb{C}^3}^2$.

Next we extend the above proposition by using the notion of degree of cyclicity due to V.I. Vasyunin and N.K. Nikolskii [18] (or [16]): If $F \subseteq H_{\mathbb{C}^n}^2$, then the *degree of cyclicity*, denoted by $\text{dc}(F)$, of F is defined by the number

$$\text{dc}(F) := n - \max_{\zeta \in \mathbb{D}} \dim \{g(\zeta) : g \in H_{\mathbb{C}^n}^2 \ominus E_F^*\},$$

where E_F^* denotes the smallest S_E^* -invariant subspace containing F , i.e., $E_F^* = \bigvee \{S_E^{*n} F : n \geq 0\}$. It is known from [4, Lemma 2.13] that if $\Phi \equiv [\Phi_1, \dots, \Phi_n]$ ($\Phi_j \in L_{\mathbb{C}^m}^\infty$) is an $m \times n$ matrix-valued function then

$$\ker H_{\Phi^*} = \Theta H_{\mathbb{C}^r}^2 \iff \text{dc}\{\Phi_+\} = n - r, \tag{16}$$

where Θ is an $m \times r$ inner matrix function and $\{\Phi_+\} := \{(\Phi_1)_+, \dots, (\Phi_n)_+\} \subseteq H_{\mathbb{C}^m}^\infty$ (where $(\Phi_j)_+$ denotes the analytic part of Φ_j).

Remark 3.3. Suppose $\Phi \in L_{M_{n \times m}}^\infty$ does not admit a DSS factorization. Let

$$\Phi = \Delta A^* \quad (\text{pseudo-DSS factorization}).$$

Suppose that $[\Delta, \Delta_c] \in H_{M_{n \times s}}^\infty$, $\Omega \equiv \text{left-g.c.d}([\widetilde{\Delta}, \widetilde{\Delta}_c], [\widetilde{A}, \widetilde{0}])$ is two-sided inner and $\text{dc}\{\Phi_+\} = n - s$. Then by the same argument as the proof of Proposition 3.1, we have that $\Theta = \Delta_A \Gamma$ for some inner function Γ . By Theorem 2.1, $\ker H_{\Phi^*} = \Delta_A H_{\mathbb{C}^r}^2$ for some $r \leq n$. By the assumption, $\text{dc}\{\Phi_+\} = n - s$ and by (16), $r = s$. Therefore $\Theta = \Delta_A \Gamma$ implies that Γ is a $s \times s$ two-sided inner matrix. Thus by the same argument as the proof of Proposition 3.1, we have that

$$\Phi = \Theta B^* \quad (\text{canonical pseudo-DSS factorization}),$$

where $\Theta = [\Delta, \Delta_c] \widetilde{\Omega}$.

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