



## Some Norm Inequalities for Upper Sector Matrices

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**Abstract.** We generalize some norm inequalities for  $2 \times 2$  block accretive-dissipative matrices and positive semi-definite matrices that compare the diagonal blocks with the off-diagonal blocks. Moreover, we partially extend a norm inequality of  $n \times n$  block accretive-dissipative matrices.

### 1. Introduction

Let  $\mathbb{M}_n(\mathbb{C})$  be the set of all  $n \times n$  complex matrices and  $I_n$  be the identity matrix in  $\mathbb{M}_n(\mathbb{C})$ . For any  $T \in \mathbb{M}_n(\mathbb{C})$ ,  $T^*$  stands for the conjugate transpose of  $T$ . Every matrix  $T$  has the Cartesian (or Toeplitz) decomposition,

$$T = A + iB, \quad (1)$$

in which  $A = \frac{1}{2}(T + T^*)$ ,  $B = \frac{1}{2i}(T - T^*)$  are Hermitian. We say that  $T$  is called accretive-dissipative if  $A, B$  are positive semidefinite. In this paper, we will always represent the decomposition (1) as follows,

$$\begin{pmatrix} T_{11} & T_{12} \\ T_{21} & T_{22} \end{pmatrix} = \begin{pmatrix} A_{11} & A_{12} \\ A_{21} & A_{22} \end{pmatrix} + i \begin{pmatrix} B_{11} & B_{12} \\ B_{21} & B_{22} \end{pmatrix}, \quad (2)$$

where  $T_{jk} \in \mathbb{M}_n(\mathbb{C})$ ,  $j, k=1, 2$ .

Recall that a norm  $\|\cdot\|$  on  $\mathbb{M}_n$  is unitarily invariant if  $\|UAV\| = \|A\|$  for any  $A \in \mathbb{M}_n(\mathbb{C})$  and unitarily matrices  $U, V \in \mathbb{M}_n(\mathbb{C})$ . For  $p \geq 1$  and  $A \in \mathbb{M}_n(\mathbb{C})$ , let  $\|A\|_p = (\sum_{j=1}^n s_j^p(A))^{\frac{1}{p}}$ , where  $s_1(A) \geq s_2(A) \geq \dots \geq s_n(A)$  are the singular values of  $A$ . This is the Schatten  $p$ -norm of  $A$ . If  $A$  is Hermitian, then all eigenvalues of  $A$  are real and ordered as  $\lambda_1(A) \geq \lambda_2(A) \geq \dots \geq \lambda_n(A)$ . We denote  $s(A) = (s_1(A), s_2(A), \dots, s_n(A))$  and  $\lambda(A) = (\lambda_1(A), \lambda_2(A), \dots, \lambda_n(A))$ .

Let  $x = (x_1, \dots, x_n)$ ,  $y = (y_1, \dots, y_n) \in \mathbb{R}^n$ . We rearrange the components of  $x$  and  $y$  in nonincreasing order:  $x_1^\downarrow \geq \dots \geq x_n^\downarrow$ ,  $y_1^\downarrow \geq \dots \geq y_n^\downarrow$ . If  $\sum_{i=1}^k x_i^\downarrow \leq \sum_{i=1}^k y_i^\downarrow$  ( $\prod_{i=1}^k x_i^\downarrow \leq \prod_{i=1}^k y_i^\downarrow$ ),  $k = 1, \dots, n$ . We say that  $x$  is

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weakly (log) majorized by  $y$ , denoted by  $x <_{\omega} y$  ( $x <_{\omega \log} y$ ). If, in addition, the last inequality is an equality, i.e.  $\sum_{i=1}^n x_i^{\downarrow} = \sum_{i=1}^n y_i^{\downarrow}$  ( $\prod_{i=1}^n x_i^{\downarrow} = \prod_{i=1}^n y_i^{\downarrow}$ ), we say that  $x$  is (log) majorized by  $y$ , written as  $x < y$  ( $x <_{\log} y$ ). Let  $A = (a_{ij})$  and  $B = (b_{ij})$  be  $m \times n$  matrices, the Hadamard product of  $A, B$  is the entry-wise product:  $A \circ B = (a_{ij}b_{ij})$ .

The numerical range of  $A \in \mathbb{M}_n(\mathbb{C})$  is defined by

$$W(A) = \{x^*Ax | x \in \mathbb{C}^n, x^*x = 1\}.$$

For  $\alpha \in [0, \frac{\pi}{2})$ ,  $S_{\alpha}$  denotes the sector in the complex plane given by

$$S_{\alpha} = \{z \in \mathbb{C} | \Re z \geq 0, |\Im z| \leq (\Re z) \tan(\alpha)\}$$

and let

$$S'_{\alpha} = \{z \in \mathbb{C} | \Re z \geq 0, \Im z \geq 0, \Im z \leq (\Re z) \tan(\alpha)\}.$$

Clearly,  $A$  is positive definite if and only if  $W(A) \subseteq S_0$ , and if  $W(A), W(B) \subseteq S_{\alpha}$  for some  $\alpha \in [0, \frac{\pi}{2})$ , then  $W(A + B) \subseteq S_{\alpha}$ . As  $0 \notin S_{\alpha}$ , then  $A$  is nonsingular. Some recent studies of sector matrices can be found in [6, 12, 14–17].

Recent research interest in this class of matrices starts with a resolution of a problem from numerical analysis [3].

Lin and Zhou [13, Theorem 3.3, Theorem 3.11] proved the following unitarily invariant norm inequalities:

**Theorem 1.1.** [13, Theorem 3.3] Let  $T \in \mathcal{B}(\mathcal{H})$  be accretive-dissipative and partitioned as in (2). Then

$$\|T_{12}\| \|T_{21}\| \leq \max\{\|T_{12}\|^2, \|T_{21}\|^2\} \leq 4\|T_{11}\| \|T_{22}\| \tag{3}$$

for any unitarily invariant norm  $\|\cdot\|$ .

**Theorem 1.2.** [13, Theorem 3.11] Let  $T \in \mathcal{B}(\mathcal{H})$  be accretive-dissipative and partitioned as in (2). Then

$$\|T\| \leq \sqrt{2}\|T_{11}\| + \|T_{22}\| \tag{4}$$

for any unitarily invariant norm  $\|\cdot\|$ . Furthermore, if  $T_{12} = T_{21}$ , then

$$\|T\| \leq \sqrt{2}\|T_{11} + T_{22}\|. \tag{5}$$

Gumus et al. [7, Theorem 4.2] proved the following Schatten  $p$ -norm and quasinorm inequalities.

**Theorem 1.3.** [7, Theorem 4.2] Let  $T \in \mathbb{M}_n(\mathbb{C})$  be accretive-dissipative partitioned as in (2). Then

$$\|T_{12}\|_p^p + \|T_{21}\|_p^p \leq 2^{p-1} \|T_{11}\|_p^{p/2} \|T_{22}\|_p^{p/2}, \quad \text{for } p \geq 2$$

and

$$\|T_{12}\|_p^p + \|T_{21}\|_p^p \leq 2^{3-p} \|T_{11}\|_p^{p/2} \|T_{22}\|_p^{p/2}, \quad \text{for } 0 < p \leq 2.$$

Basing on the above theorem, Kittaneh and Sakkijha [10, Theorem 2.4] presented the following norm inequalities, which compares the Schatten  $p$ -norms and the quasinorms of the off diagonal blocks and those of the diagonal blocks, respectively.

**Theorem 1.4.** [10, Theorem 2.4] For  $i, j = 1, 2, \dots, n$ , let  $T_{ij}$  be square matrices of the same size such that the block matrix

$$T = \begin{pmatrix} T_{11} & T_{12} & \cdots & T_{1n} \\ T_{21} & T_{22} & \cdots & T_{2n} \\ \vdots & \vdots & \cdots & \vdots \\ T_{n1} & T_{n2} & \cdots & T_{nn} \end{pmatrix} \tag{6}$$

is accretive-dissipative. Then

$$\sum_{i \neq j} \|T_{ij}\|_p^p \leq (n-1)2^{p-2} \sum_{i=1}^n \|T_{ii}\|_p^p, \quad (p \geq 0).$$

Lin and Fu [14, Theorem 2.9] extended the above Theorem 1.4 to the sector matrices.

**Theorem 1.5.** [14, Theorem 2.9] Suppose that  $T$  is a sector matrix represented as in (6). Then

$$\sum_{i \neq j} \|T_{ij}\|_p^p \leq (n-1) \sec^p(\alpha) \sum_{i=1}^n \|T_{ii}\|_p^p \quad \text{for } p > 0.$$

Gumus et al. [7, Definition 3.1] introduced the special class  $C$  of all nonnegative increasing functions  $h$  on  $[0, \infty)$  satisfying the following condition: If  $x = (x_1, x_2, \dots, x_n)$  and  $y = (y_1, y_2, \dots, y_n)$  are two decreasing sequences of nonnegative real numbers such that  $\prod_{j=1}^k x_j \leq \prod_{j=1}^k y_j$  ( $k = 1, 2, \dots, n$ ), then  $\prod_{j=1}^k h(x_j) \leq \prod_{j=1}^k h(y_j)$  ( $k = 1, 2, \dots, n$ ).

Afraz et al.[1, Theorem 17] extended Theorem 1.4 to the sector matrices involving the functions of class  $C$ .

**Theorem 1.6.** Suppose that  $T$  is a sector matrix represented as in (6),  $h \in C$  is submultiplicative and  $\alpha \in [0, \frac{\pi}{2})$ . If  $p$  is positive real number, then

$$\sum_{i \neq j} \left\| h\left(|T_{ij}|^2\right) \right\|^p \leq (n-1) \sum_{i=1}^n \left\| h^2(\sec(\alpha) |T_{ii}|) \right\|^p$$

for every unitarily invariant norm  $\|\cdot\|$ . In particular, we have

$$\sum_{i \neq j} \left\| h\left(|T_{ij}|^2\right) \right\|_p^p \leq (n-1) \sum_{i=1}^n \left\| h^2(\sec(\alpha) |T_{ii}|) \right\|_p^p.$$

At last, Lee [11, Theorem 2.1] proved the following result which is considered as an extension of the classical Rotfel'd theorem.

**Theorem 1.7.** [11, Theorem 2.1] Let  $f(t)$  be a non-negative concave function on  $[0, \infty)$ . Then, given an arbitrary partitioned positive semi-definite matrix,

$$\left\| f\left(\begin{pmatrix} A & X \\ X^* & B \end{pmatrix}\right) \right\| \leq \|f(A)\| + \|f(B)\|. \tag{7}$$

for all unitarily invariant norms.

What are we interested in the above theorem is whether the right-hand side of the inequality (7) can be placed in one norm. And we give a result under some conditions.

Besides, in this paper, we will extend inequalities (3), (4) and (5) to a larger class matrices, i.e. the upper sector matrices. And on the basis of the extension of (3), we partially generalize Theorem 1.4.

## 2. Main result

We begin this section with some lemmas which are useful to establish our main results.

**Lemma 2.1.** [2, p. 54] Let  $x = (x_1, x_2, \dots)$ ,  $y = (y_1, y_2, \dots)$ ,  $\alpha = (\alpha_1, \alpha_2, \dots)$  be sequence of real numbers with entries arranged in decreasing order. Moreover, we assume the entries of  $\alpha$  are nonnegative. If  $\sum_{j=1}^k x_j \leq \sum_{j=1}^k y_j$  for all  $k = 1, 2, \dots$ , then

$$\sum_{j=1}^k \alpha_j x_j \leq \sum_{j=1}^k \alpha_j y_j$$

for all  $k = 1, 2, \dots$ .

**Lemma 2.2.** Let  $A, B \in \mathbb{M}_n$ ,  $W(A + iB) \subseteq S'_\alpha$  for some  $\alpha \in [0, \frac{\pi}{2})$  and  $A + iB$  be the Cartesian decomposition of the full matrix like (1). Then

$$s_j(B) \leq \sin \alpha s_j(A + iB). \tag{8}$$

*Proof.* First, when  $\alpha = 0$ , inequality (8) is trivial. Label the eigenvectors of  $B$  as  $e_1, \dots, e_n$  in such a way that

$$s_j(B) = |\langle e_j, B e_j \rangle|.$$

For  $W(A + iB) \subseteq S_\alpha$ , we get

$$B \leq A \tan(\alpha). \tag{9}$$

$$\begin{aligned} \csc \alpha s_j(B) &= \csc \alpha |\langle e_j, B e_j \rangle| = \sqrt{1 + \cot^2 \alpha} |\langle e_j, B e_j \rangle| \\ &= |\langle e_j, (\cot \alpha B + iB) e_j \rangle| \\ &\leq |\langle e_j, (A + iB) e_j \rangle| \quad \text{by (9)} \\ &\leq \|e_j\| \|(A + iB) e_j\|. \end{aligned}$$

Since  $s_j(A) = \max_{\substack{\dim(M)=j \\ x \in M}} \min_{\|x\|=1} \|Ax\|$  (see, e. g. [2, p.75]), where  $M$  represent a subspace of  $\mathbb{C}^n$  for  $A \in \mathbb{M}_n$ , we deduce the inequality (8).  $\square$

**Lemma 2.3.** [18, p. 352] Let  $A, B, C$  be  $n \times n$  complex matrices such that  $\begin{pmatrix} A & B \\ B^* & C \end{pmatrix} \geq 0$ . Then

$$s(B) <_{\omega \log} \lambda^{\frac{1}{2}}(A) \circ \lambda^{\frac{1}{2}}(C).$$

**Lemma 2.4.** [4, 9] Let  $A, B \in \mathbb{M}_n^+$  and  $W(A + iB) \subseteq S'_\alpha$  for some  $\alpha \in [0, \frac{\pi}{2})$ . Then for any unitarily invariant norm  $\|\cdot\|$ ,

$$\|A + iB\| \leq \|A + B\| \leq a \|A + iB\|,$$

where  $a = \min\{1 + \tan(\alpha), \sqrt{2}\}$ .

The first main result can be stated as follows.

**Theorem 2.5.** Let  $T \in \mathbb{M}_{2n}(\mathbb{C})$  be partitioned as in (2) and assume  $W(T) \subseteq S'_\alpha$  for some  $\alpha \in [0, \frac{\pi}{2})$ . Then

$$\max\{\|T_{12}\|^2, \|T_{21}\|^2\} \leq (1 + \sin \alpha)^2 \|T_{11}\| \|T_{22}\|, \tag{10}$$

for any unitarily invariant norm  $\|\cdot\|$ .

*Proof.* Let  $v = (v_1, v_2, \dots, v_n)$  be a sequence with nonnegative entries and  $v_1 \geq v_2 \geq \dots \geq v_n$ . Define  $\|X\|_v = \sum_{k=1}^n v_j s_j(X)$  for  $X \in \mathbb{M}_n$ .

$$\begin{aligned} \|T_{12}\|_v &= \left\| \sum_{k=1}^n v_j s_j(T_{12}) \right\| \\ &= \sum_{k=1}^n v_j s_j(A_{12} + iB_{12}) \\ &\leq \sum_{k=1}^n v_j [s_j(A_{12}) + s_j(B_{12})] \quad (\text{by Lemma 2.1}) \\ &\leq \sum_{k=1}^n v_j [s_j(A_{11})^{1/2} s_j(A_{22})^{1/2} + s_j(B_{11})^{1/2} s_j(B_{22})^{1/2}] \quad (\text{by Lemma 2.3}) \\ &\leq \sum_{k=1}^n v_j [s_j(A_{11}) + s_j(B_{11})]^{1/2} [s_j(A_{22}) + s_j(B_{22})]^{1/2} \quad (\text{by Cauchy – Schwarz}) \\ &\leq \sum_{k=1}^n v_j [(1 + \sin \alpha) s_j(A_{11} + iB_{11})]^{1/2} [(1 + \sin \alpha) s_j(A_{22} + iB_{22})]^{1/2} \quad (\text{by [2, Proposition III.5.1] and Lemma 2.2}) \\ &= (1 + \sin \alpha) \sum_{k=1}^n v_j s_j(T_{11})^{1/2} s_j(T_{22})^{1/2} \\ &\leq (1 + \sin \alpha) \left( \sum_{k=1}^n v_j s_j(T_{11}) \right)^{1/2} \left( \sum_{k=1}^n v_j s_j(T_{22}) \right)^{1/2} \quad (\text{by Cauchy – Schwarz}) \\ &= (1 + \sin \alpha) \|T_{11}\|_v^{1/2} \|T_{22}\|_v^{1/2}. \end{aligned}$$

Similarly, we can get

$$\|T_{21}\|_v \leq (1 + \sin \alpha) \|T_{11}\|_v^{1/2} \|T_{22}\|_v^{1/2}.$$

As  $v$  is arbitrarily chosen, the alleged inequality follows from [8, Corollary 3.5.9].  $\square$

Seeing this result, we naturally want to make a comparison between the result of the above Theorem 2.5 and that of Lemma 2.6 in [14] (i.e. [17, Theorem 3.2]). Whether  $(1 + \sin \alpha)^2$  can be less than  $\sec^2 \alpha$ ? when  $(1 + \sin \alpha)^2$  is less than  $\sec^2 \alpha$ ? Now we define a function

$$f(\alpha) = \cos \alpha (1 + \sin \alpha) - 1 \quad \alpha \in (0, \frac{\pi}{2}),$$

so

$$(1 + \sin \alpha)^2 \leq \sec^2 \alpha \Leftrightarrow f(\alpha) \leq 0, \tag{11}$$

By the calculation of matlab, we get  $f(\alpha) \leq 0$  on  $(0.9960, \frac{\pi}{2})$ , i.e.  $1 + \sin \alpha \leq \sec \alpha$ ,  $\alpha \in (0.9960, \frac{\pi}{2})$  and  $1 + \sin \alpha > \sec \alpha$ ,  $\alpha \in (0, 0.9960)$ .

For  $p \geq 1$ , since the Schatten  $p$ -norms are the examples of the unitarily invariant norms, we could get the following two results.

**Corollary 2.6.** *Let  $T \in \mathbb{M}_{2n}(\mathbb{C})$  be partitioned as in (2) and assume  $W(T) \subseteq S'_\alpha$ . Then*

$$\max\{\|T_{12}\|_p^p, \|T_{21}\|_p^p\} \leq (1 + \sin \alpha)^p \|T_{11}\|_p^{p/2} \|T_{22}\|_p^{p/2}, \quad \text{for } p \geq 1. \tag{12}$$

**Theorem 2.7.** Let  $T \in \mathbb{M}_{2n}(\mathbb{C})$  be partitioned as in (2) and  $W(T) \subseteq S'_\alpha$ . Then

$$\|T_{12}\|_p^p + \|T_{21}\|_p^p \leq 2(1 + \sin \alpha)^p \|T_{11}\|_p^{p/2} \|T_{22}\|_p^{p/2}, \quad \text{for } p \geq 1. \tag{13}$$

*Proof.*

$$\begin{aligned} \|T_{12}\|_p^p + \|T_{21}\|_p^p &\leq (1 + \sin \alpha)^p \|T_{11}\|_p^{p/2} \|T_{22}\|_p^{p/2} + (1 + \sin \alpha)^p \|T_{11}\|_p^{p/2} \|T_{22}\|_p^{p/2} \tag{12} \\ &= 2(1 + \sin \alpha)^p \|T_{11}\|_p^{p/2} \|T_{22}\|_p^{p/2}. \end{aligned}$$

□

In view of the above results, we give a generalization of the Theorem 1.4 in the case  $p \geq 1$ .

**Theorem 2.8.** For  $i, j = 1, 2, \dots, n$ , let  $T_{ij}$  be square matrices of the same size such that

$$T = \begin{pmatrix} T_{11} & T_{12} & \cdots & T_{1n} \\ T_{21} & T_{22} & \cdots & T_{2n} \\ \vdots & \vdots & \cdots & \vdots \\ T_{n1} & T_{n2} & \cdots & T_{nn} \end{pmatrix}$$

and assume  $W(T) \subseteq S'_\alpha$ . Then

$$\sum_{i \neq j} \|T_{ij}\|_p^p \leq (n - 1)(1 + \sin \alpha)^p \sum_{i=1}^n \|T_{ii}\|_p^p \quad \text{for } p \geq 1.$$

*Proof.* It is easy to obtain that a principal submatrix  $\begin{pmatrix} T_{ii} & T_{ij} \\ T_{ji} & T_{jj} \end{pmatrix}$  of  $T$  is also accretive-dissipative and its numerical range is contained in  $S'_\alpha$ . Now, applying (13) to  $\begin{pmatrix} T_{ii} & T_{ij} \\ T_{ji} & T_{jj} \end{pmatrix}$ , we get

$$\|T_{ij}\|_p^p + \|T_{ji}\|_p^p \leq 2(1 + \sin \alpha)^p \|T_{ii}\|_p^{p/2} \|T_{jj}\|_p^{p/2}$$

for  $i \neq j$  and  $p \geq 1$ .

Consequently, using the arithmetic-geometric mean inequality, we have

$$\|T_{ij}\|_p^p + \|T_{ji}\|_p^p \leq (1 + \sin \alpha)^p (\|T_{ii}\|_p^p + \|T_{jj}\|_p^p)$$

for  $i \neq j$  and  $p \geq 1$ .

Adding up the previous inequalities for  $i, j = 1, 2, \dots, n$ , we get

$$\sum_{i \neq j} \|T_{ij}\|_p^p \leq (n - 1)(1 + \sin \alpha)^p \sum_{i=1}^n \|T_{ii}\|_p^p,$$

which proves the inequality. □

**Remark 2.9.** From inequality (11), we know that the results of Theorem 2.7 and Theorem 2.8 are tighter than that of [14, Theorem 2.8, 2.9], correspondingly, when  $\alpha \in (0.9960, \frac{\pi}{2})$ , for  $p \geq 1$ .

Next, we extend Theorem 1.2 to the upper sector matrices.

**Theorem 2.10.** Let  $T \in M_{2n}(\mathbb{C})$  be partitioned as in (2) and assume  $W(T) \subseteq S'_\alpha$ . Then

$$\|T\| \leq a(\|T_{11}\| + \|T_{22}\|), \tag{14}$$

for any unitarily invariant norm  $\|\cdot\|$ . Furthermore, if the off diagonal blocks of  $\Re T$  and  $\Im T$  are Hermitian or skew-Hermitian, then

$$\|T\| \leq a(\|T_{11} + T_{22}\|), \tag{15}$$

where  $a = \min\{1 + \tan(\alpha), \sqrt{2}\}$ .

*Proof.* Consider the Cartesian decomposition  $T = A + iB$ , where  $A$  and  $B$  are positive semi-definite. Compute

$$\begin{aligned} \|T\| &= \|A + iB\| \\ &\leq \|A + B\| \quad (\text{by Lemma 2.4}) \\ &\leq \|A_{11} + B_{11}\| + \|A_{22} + B_{22}\| \quad (\text{by (7)}) \\ &\leq a(\|A_{11} + iB_{11}\| + \|A_{22} + iB_{22}\|) \quad (\text{by Lemma 2.4}) \\ &= a(\|T_{11}\| + \|T_{22}\|), \end{aligned}$$

which prove the first inequality.

Now we prove the second inequality. we assume that  $A + B = \begin{pmatrix} A_{11} + B_{11} & A_{12} + B_{12} \\ A_{21} + B_{21} & A_{22} + B_{22} \end{pmatrix}$  is positive with Hermitian off diagonal blocks and using the simple fact that  $T^*T \cong TT^*$  (unitarily congruent) we then deduce

$$A + B \cong J(A + B)J^* = \begin{pmatrix} \frac{A_{11}+B_{11}+A_{22}+B_{22}}{2} & \star \\ \star & \frac{A_{11}+B_{11}+A_{22}+B_{22}}{2} \end{pmatrix},$$

where  $J = \frac{1}{\sqrt{2}} \begin{pmatrix} iI & -I \\ iI & I \end{pmatrix}$  is a unitary matrix,  $I$  is an identity matrix in  $I_n$  and  $\star$  stands for the unspecified matrices. Then

$$\begin{aligned} \|T\| &= \|A + iB\| \\ &\leq \|A + B\| \quad (\text{by Lemma 2.4}) \\ &= \left\| \begin{pmatrix} \frac{A_{11}+B_{11}+A_{22}+B_{22}}{2} & \star \\ \star & \frac{A_{11}+B_{11}+A_{22}+B_{22}}{2} \end{pmatrix} \right\| \\ &\leq \left\| \frac{A_{11} + B_{11} + A_{22} + B_{22}}{2} \right\| + \left\| \frac{A_{11} + B_{11} + A_{22} + B_{22}}{2} \right\| \quad (\text{by (7)}) \\ &= \|A_{11} + B_{11} + A_{22} + B_{22}\| \\ &\leq a\|A_{11} + A_{22} + i(B_{11} + B_{22})\| \quad (\text{by Lemma 2.4}) \\ &= a\|A_{11} + iB_{11} + A_{22} + iB_{22}\| \\ &= a\|T_{11} + T_{22}\|. \end{aligned}$$

Similarly, if  $A + B = \begin{pmatrix} A_{11} + B_{11} & A_{12} + B_{12} \\ A_{21} + B_{21} & A_{22} + B_{22} \end{pmatrix}$  is positive with skew Hermitian off diagonal blocks and still using

the simple fact that  $T^*T \cong TT^*$  (unitarily congruent),  $T = \frac{1}{\sqrt{2}} \begin{pmatrix} I & -I \\ I & I \end{pmatrix}$  we then deduce the same result.  $\square$

**Remark 2.11.** It is clear, when  $a \leq \sqrt{2}$ , i.e.  $0 \leq \alpha \leq \arctan(\sqrt{2} - 1)$ , the result in Theorem 2.10 is tighter than that of Theorem 1.2.

For example, we take  $\alpha = 15^\circ$ , i.e.  $W(T) \subseteq S'_{15^\circ}$ , we can get

$$\|T\| \leq 1.268(\|T_{11}\| + \|T_{22}\|).$$

Inequality (15) correspondingly becomes

$$\|T\| \leq 1.268(\|T_{11} + T_{22}\|).$$

At the end, we generalize the Theorem 1.7, as follows.

**Theorem 2.12.** Let  $A, B \in \mathbb{M}_n$ , and  $\begin{pmatrix} A & X \\ X^* & B \end{pmatrix} \geq 0$  with Hermitian or skew-Hermitian off diagonal blocks. If  $f(t)$  is a non-negative concave function on  $[0, \infty)$ , then

$$\|f\left(\begin{pmatrix} A & X \\ X^* & B \end{pmatrix}\right)\| \leq 2\|f\left(\frac{1}{2}A\right) + f\left(\frac{1}{2}B\right)\|. \quad (16)$$

for all unitarily invariant norm.

*Proof.* If  $X = X^*$

$$\begin{pmatrix} A & X \\ X^* & B \end{pmatrix} \cong J \begin{pmatrix} A & X \\ X^* & B \end{pmatrix} J^* = \begin{pmatrix} \frac{A+B}{2} & \star \\ \star & \frac{A+B}{2} \end{pmatrix}$$

where  $J = \frac{1}{\sqrt{2}} \begin{pmatrix} iI & -I \\ iI & I \end{pmatrix}$  is a unitary matrix,  $I$  is an identity matrix in  $I_n$  and  $\star$  stands for the unspecified matrices. Then

$$\begin{aligned} \|f\left(\begin{pmatrix} A & X \\ X^* & B \end{pmatrix}\right)\| &= f\left(\begin{pmatrix} A & X \\ X^* & B \end{pmatrix}\right) J^* \\ &\leq 2\|f\left(\frac{A+B}{2}\right)\| \quad \text{by (7)} \\ &\leq 2\|f\left(\frac{1}{2}A\right) + f\left(\frac{1}{2}B\right)\|. \end{aligned}$$

The last inequality is by [5, theorem 1.1]. Similarly, if  $X^* = -X$ , let  $T = \frac{1}{\sqrt{2}} \begin{pmatrix} I & -I \\ I & I \end{pmatrix}$ , we still deduce the same result.  $\square$

**Corollary 2.13.** Let  $A, B \in \mathbb{M}_n$ , and  $\begin{pmatrix} A & X \\ X^* & B \end{pmatrix} \geq 0$  with Hermitian or skew-Hermitian off diagonal blocks. Then for all unitarily invariant norm  $\|\cdot\|$

$$\left\| \begin{pmatrix} A & X \\ X^* & B \end{pmatrix} \right\|^p \leq 2^{1-p} \|A^p + B^p\| \quad (0 < p \leq 1),$$

$$\left\| \log\left(I + \begin{pmatrix} A & X \\ X^* & B \end{pmatrix}\right) \right\| \leq 2\|\log(I + A/2) + \log(I + B/2)\|.$$

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