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(1)

Generalized Buzano inequality

Tamara Bottazzi^a, Cristian Conde^b

 ^a Universidad Nacional de Río Negro. Centro Interdisciplinario de Telecomunicaciones, Electrónica, Computación y Ciencia Aplicada (CITECCA), Sede Andina (8400) S.C. de Bariloche and Consejo Nacional de Investigaciones Científicas y Técnicas, (1425) Buenos Aires, Argentina.
 ^bInstituto de Ciencias, Universidad Nacional de Gral. Sarmiento, J. M. Gutierrez 1150, (B1613GSX) Los Polvorines and Consejo Nacional de Investigaciones Científicas y Técnicas, (1425) Buenos Aires, Argentina.

Abstract. If P is an orthogonal projection defined on an inner product space H, then the inequality

$$|\langle Px, y \rangle| \le \frac{1}{2} [||x||||y|| + |\langle x, y \rangle|]$$

fulfills for any $x, y \in \mathcal{H}$ (see [10]). In particular, when *P* is the identity operator, then it recovers the famous Buzano inequality. We obtain generalizations of such classical inequality, which hold for certain families of bounded linear operators defined on \mathcal{H} . In addition, several new inequalities involving the norm and numerical radius of an operator are established.

1. Introduction

Let $(\mathcal{H}, \langle \cdot, \cdot \rangle)$ be an inner product space over the real or complex numbers field \mathbb{K} . The following inequality is well known in literature as the Cauchy-Schwarz inequality

$$|\langle x, y \rangle| \le ||x|| ||y||,$$

for any $x, y \in \mathcal{H}$. The equality in (1) holds if and only if there exists a constant $\alpha \in \mathbb{K}$ such that $x = \alpha y$.

In [6], Maria Luisa Buzano gave the following extension of the celebrated Cauchy– Schwarz inequality in ${\cal H}$

$$|\langle x, z \rangle \langle z, y \rangle| \le \frac{1}{2} (|\langle x, y \rangle| + ||x||||y||) ||z||^2,$$

$$\tag{2}$$

for any $x, y, z \in \mathcal{H}$. Last inequality is called Buzano inequality.

The original proof of Buzano has it difficulty since it requires some facts about orthogonal decomposition of a complete inner product space.

In [8], Dragomir established a refinement of (1) which implies the Buzano inequality. Moreover, Fuji and Kubo [13] gave a simpler proof of (2) by using an orthogonal projection on a subspace of \mathcal{H} and (1). Furthermore, they characterized when the equality holds.

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Email addresses: tbottazzi@unrn.edu.ar (Tamara Bottazzi), cconde@campus.ungs.edu.ar (Cristian Conde)

This paper aims to present new generalizations of Buzano inequality and it is organized as follows. Section 2 contains some definitions and usual results about bounded linear operators defined on a Hilbert space. In Section 3, we present and prove the $\frac{1}{\alpha}$ -Buzano inequality (if $\alpha = 2$ gives the classical Buzano inequality) and it is devoted to describing different families of operators which fulfill such inequality for different values of the parameter α . Finally, in Section 4 relates the distinct inequalities previously obtained with the numerical radius, improving new bounds for the last one.

2. Preliminaries

As any pre-Hilbert space can be completed to a Hilbert space, from now on, we suppose that \mathcal{H} is a Hilbert space. Let $\mathcal{B}(\mathcal{H})$ denote the *C*^{*}-algebra of all bounded linear operators acting on a separable non trivial complex Hilbert space \mathcal{H} with an inner product $\langle \cdot, \cdot \rangle$ and the corresponding norm $\|\cdot\|$. The symbol *I* stands for the identity operator and $\mathcal{GL}(\mathcal{H})$ denotes the group of invertible operators on \mathcal{H} .

The range of every operator is denoted by $\mathcal{R}(T)$, its null space by $\mathcal{N}(T)$. If $T \in \mathcal{B}(\mathcal{H})$, we say that T is a positive operator, $T \ge 0$, whenever $\langle Tx, x \rangle \ge 0$ for all $x \in \mathcal{H}$ and we denote by $\mathcal{B}(\mathcal{H})^+$, the subset of all positive bounded linear operators definded on \mathcal{H} . The definition of positivity induces the order $T \ge S$ for self-adjoint operators if and only if $T - S \ge 0$. For any $T \in \mathcal{B}(\mathcal{H})^+$, there exists a unique positive $T^{1/2} \in \mathcal{B}(\mathcal{H})$ such that $T = (T^{1/2})^2$. Let T^* be the adjoint of T and $|T| = (T^*T)^{1/2}$.

The polar decomposition theorem asserts that for every operator $T \in \mathcal{B}(\mathcal{H})$ there is a partial isometry $V \in \mathcal{B}(\mathcal{H})$ such that can be written as the product T = V|T|. In particular, V satisfying $\mathcal{N}(V) = \mathcal{N}(T)$ exists and is uniquely determined.

For any $T \in \mathcal{B}(\mathcal{H})$, we denote by $\sigma(T)$ its spectrum and by $\sigma_{app}(T)$ its approximate point spectrum, that is

$$\sigma_{app}(T) = \{\lambda \in \mathbb{C} : \exists \{x_n\}_{n \in \mathbb{N}}, \|x_n\| = 1 \text{ and } \lim_{n \to \infty} \|Tx_n - \lambda x_n\| = 0\}.$$

For any $T \in \mathcal{B}(\mathcal{H})$, we define $m(T) = \inf\{||Tx|| : x \in \mathcal{H}, ||x|| = 1\}$. Clearly, $m(T) \ge 0$ and m(T) > 0 if and only if $0 \notin \sigma_{app}(T)$ ([16]).

For a linear operator *T* on a Hilbert space \mathcal{H} , the numerical range *W*(*T*) is the image of the unit sphere of \mathcal{H} under the quadratic form $x \to \langle Tx, x \rangle$. More precisely,

$$W(T) = \{ \langle Tx, x \rangle : x \in \mathcal{H}, ||x|| = 1 \}.$$

The numerical range of an operator is a convex subset of the complex plane ([14]). Then, for any *T* in $\mathcal{B}(\mathcal{H})$ we define the numerical radius of *T*,

$$\omega(T) = \sup\{|\lambda| : \lambda \in W(T)\}.$$

It is well-known that $\omega(\cdot)$ defines a norm on $\mathcal{B}(\mathcal{H})$, and we have for all $T \in \mathcal{B}(\mathcal{H})$,

$$\frac{1}{2}\|T\| \le \omega(T) \le \|T\|.$$
(3)

Thus, the usual operator norm and the numerical radius are equivalent. Inequalities in (3) are sharp if $T^2 = 0$, then the first inequality becomes equality, while the second inequality becomes an equality if *T* is normal.

For any compact operator $T \in \mathcal{B}(\mathcal{H})$ and $j \in \mathbb{N}$, let $s_j(T) = \lambda_j(|T|)$, be the *j*-th singular value of *T*, i.e. the *j*-th eigenvalue of |T| in decreasing order and repeated according to multiplicity. Let tr(·) be the trace functional,

$$\operatorname{tr}(T) = \sum_{j=1}^{\infty} \langle Te_j, e_j \rangle,$$

where $\{e_j\}_{j=1}^{\infty}$ is an orthonormal basis of \mathcal{H} . Note that this coincides with the usual definition of the trace if \mathcal{H} is finite-dimensional.

Let $T = x \otimes y$ be a rank one operator defined by $T(z) = \langle z, y \rangle x$ with $x, y, z \in \mathcal{H}$. Then, by Lemma 2.1 in [7] and using the well-known fact that $tr(x \otimes y) = \langle x, y \rangle$, we obtain

$$\omega(x \otimes y) = \frac{1}{2} \left(|\operatorname{tr}(x \otimes y)| + ||x \otimes y|| \right) = \frac{1}{2} \left(|\langle x, y \rangle| + ||x||||y|| \right).$$

We remark that the numerical radius of the rank one operator $T = x \otimes y$ coincides with the upper bound of Buzano inequality. From this fact, we are able to give a new proof of inequality (2) using this fact. If ||z|| = 1, then $\langle Tz, z \rangle = \langle z, y \rangle \langle x, z \rangle \in W(T)$ and

$$|\langle x, z \rangle \langle z, y \rangle| = |\langle Tz, z \rangle| \le \omega(T) = \frac{1}{2} \left(|\langle x, y \rangle| + ||x|| ||y|| \right)$$

For $T \in \mathcal{B}(\mathcal{H})$, we have, by definition,

$$dist(I, \mathbb{C}T) := \inf_{\gamma \in \mathbb{C}} ||\gamma T - I|| \text{ and } dist(T, \mathbb{C}I) := \inf_{\beta \in \mathbb{C}} ||T - \beta I||.$$

Evidently there is at least one complex number $\gamma_0 \in \mathbb{C}$ such that $dist(I, \mathbb{C}T) = ||\gamma_0 T - I||$ and in addition, if m(T) > 0 then the value γ_0 is unique. Following Stampfli [19], we call such scalar as the center of mass of T and we denote by c(T). For $A, T \in \mathcal{B}(\mathcal{H})$ such that m(T) > 0 we consider

$$M_T(A) = \sup_{\|x\|=1} \left[\|Ax\|^2 - \frac{|\langle Ax, Tx \rangle|^2}{\|Tx\|^2} \right]^{1/2}.$$
(4)

In [17], Paul proved that $M_T(A) = dist(A, \mathbb{C}T)$.

Given $T, S \in \mathcal{B}(\mathcal{H})$ we said that T is Birkhoff-James orthogonal to S if and only if $||T|| \le ||T - \lambda S||$ for every $\lambda \in \mathbb{C}$.

3. $\frac{1}{\alpha}$ -Buzano inequality

In the last decades, several mathematicians presented different proofs of Buzano inequality. We start by presenting a new and simple proof of such inequality using a rank one operator.

Given $z \in \mathcal{H}$ with ||z|| = 1 and $\alpha \in \mathbb{C}$, we consider the rank one operator $T = z \otimes z$. Then, for any $u \in \mathcal{H}$, it holds

$$||(\alpha T - I)u||^{2} = ||\alpha Tu - u||^{2} = (|\alpha - 1|^{2} - 1)|\langle z, u \rangle|^{2} + ||u||^{2} \le \max\{1, |\alpha - 1|^{2}\}||u||^{2}.$$

Hence $||\alpha T - I|| \le \max\{1, |\alpha - 1|\}$ and for any $x, y \in \mathcal{H}$ we get

 $|\langle (\alpha T - I) x, y \rangle| \le ||T - I||||x||||y|| \le \max\{1, |\alpha - 1|\}||x||||y||.$

In conclusion, we have

$$|\alpha\langle x, z\rangle\langle z, y\rangle - \langle x, y\rangle| \le \max\{1, |\alpha - 1|\} ||x||||y||, \tag{5}$$

for any $x, y, z \in \mathcal{H}$ with ||z|| = 1 and $\alpha \in \mathbb{C}$. If $\alpha \in \mathbb{C} - \{0\}$, then (5) is equivalent to

$$\left|\langle x,z\rangle\langle z,y\rangle - \frac{1}{\alpha}\langle x,y\rangle\right| \le \frac{1}{|\alpha|}\max\{1,|\alpha-1|\}||x||||y||.$$

From the continuity property of modulus for complex numbers, we obtain

$$|\langle x, z \rangle \langle z, y \rangle| \leq \frac{1}{|\alpha|} (|\langle x, y \rangle| + \max\{1, |\alpha - 1|\} ||x||||y||),$$

for any $x, y, z \in \mathcal{H}$ with ||z|| = 1. The value $\alpha = 2$ gives Buzano inequality.

We note that the inequality (5) was previously obtained by Moslehian et al. ([15], Corollary 2.5) using properties of singular values.

The main idea in the previous proof was to obtain a bound for the distance between a rank one operator and the identity operator. On the other hand, Fujii and Kubo in [13] based their proof of Buzano inequality on the fact that $||2P - I|| \le 1$ where *P* is an orthogonal projection. Because of the above, we are in a position to prove our first result in this paper, which generalizes these previous ideas.

Proposition 3.1. Let $T \in \mathcal{B}(\mathcal{H})$ and $\alpha \in \mathbb{C} - \{0\}$, with $||\alpha T - I|| \le 1$. Then, for any $x, y \in \mathcal{H}$

$$\left|\langle Tx, y \rangle - \frac{1}{\alpha} \langle x, y \rangle \right| \le \frac{1}{|\alpha|} ||x|| ||y||,$$

and

$$|\langle Tx, y \rangle| \le \left| \langle Tx, y \rangle - \frac{1}{\alpha} \langle x, y \rangle \right| + \frac{1}{|\alpha|} |\langle x, y \rangle| \le \frac{1}{|\alpha|} (|\langle x, y \rangle| + ||x|| ||y||).$$
(6)

On the other, if T fulfills

$$|\langle Tx, y \rangle| \le \frac{1}{|\alpha|} (|\langle x, y \rangle| + ||x||||y||), \tag{7}$$

for any $x, y \in \mathcal{H}$ and for some $\alpha \in \mathbb{C} - \{0\}$. Then, $dist(\alpha T, \mathbb{C}I) \leq 1$.

Proof. Let $x, y \in \mathcal{H}$ and $\alpha \in \mathbb{C} - \{0\}$, with $||\alpha T - I|| \le 1$. By (1), we have

$$\begin{split} \left| \langle Tx, y \rangle - \frac{1}{\alpha} \langle x, y \rangle \right| &= \left| \left| \left(\left(T - \frac{1}{\alpha} I \right) x, y \right) \right| \le \frac{1}{|\alpha|} \|\alpha T - I\| \|x\| \|y\| \\ &\le \frac{1}{|\alpha|} \|x\| \|y\|. \end{split}$$

Therefore, we obtain

$$|\langle Tx, y \rangle| \le \left| \langle Tx, y \rangle - \frac{1}{\alpha} \langle x, y \rangle \right| + \frac{1}{|\alpha|} |\langle x, y \rangle| \le \frac{1}{|\alpha|} (|\langle x, y \rangle| + ||x||||y||)$$

If *T* satisfies (7) and we recall the formula which express the distance from *T* to the one-dimensional subspace $\mathbb{C}I$ (see [2]),

 $dist(T, \mathbb{C}I) = \sup\{|\langle Tx, y \rangle| : ||x|| = ||y|| = 1, \langle x, y \rangle = 0\},\$

then there exists $\beta \in \mathbb{C} - \{0\}$ such that $\|\alpha T - \beta I\| \leq 1$. \Box

The next example shows that not all bounded linear operator satisfies the hypothesis of Proposition 3.1.

Example 3.2. Consider the unilateral shift operator, $T : l^2(\mathbb{N}) \to l^2(\mathbb{N})$, defined by $T(x_1, x_2, x_3, \dots,) = (0, x_1, x_2, x_3, \dots)$. Let $e_1 = (1, 0, 0, \dots) \in l^2(\mathbb{N})$, then $||e_1|| = 1$ and $\langle -Te_1, e_1 \rangle = 0$, by Theorem 2.1 in [1], we have that for any $\alpha \in \mathbb{C} - \{0\}$ it holds

$$||I||^{2} + |\alpha|^{2}m^{2}(-T) \le ||I - \alpha T||^{2}$$

As -T is a left invertible operator, then m(-T) > 0 and in consequence

$$1 < ||I||^2 + |\alpha|^2 m^2 (-T) \le ||I - \alpha T||^2.$$

For convenience, for any $\alpha \in \mathbb{C} - \{0\}$, we denote by

$$\mathcal{A}_{\alpha} = \{T \in \mathcal{B}(\mathcal{H}) : ||\alpha T - I|| \le 1\},\$$

the set of bounded operators that fulfills the hypothesis of Proposition 3.1. Since we have proved that each operator belonging to the set \mathcal{A}_{α} satisfies a $\frac{1}{\alpha}$ Buzano-type inequality, we will call to such set the $\frac{1}{\alpha}$ Buzano set.

Next, we collect some properties of \mathcal{A}_{α} .

Proposition 3.3. Let $\alpha \in \mathbb{C} - \{0\}$, then

1. \mathcal{A}_{α} *is a non-empty convex and closed set.*

For any $T \in \mathcal{A}_{\alpha}$ *, then*

- 2. $||T|| \le \frac{2}{|\alpha|}$.
- 3. $T^* \in \mathcal{A}_{\overline{\alpha}}$.
- 4. If $S \in \mathcal{A}_{\alpha}$, then $T + S \in \mathcal{A}_{\frac{\alpha}{2}}$.
- 5. If $||\alpha T I|| < 1$, then $T \in \mathcal{GL}(\mathcal{H})$.
- 6. If T is self-adjoint, then $T \ge 0$ or $-T \ge 0$.
- 7. If $T = h \otimes h$, $h \in \mathcal{H}$ and $h \neq 0$, then $\alpha = \frac{te^{i\theta} + 1}{||h||^2}$ for every $\theta \in [0, 2\pi]$ and $t \in [-1, 1]$.
- 8. $d(T, \mathbb{C}I) \leq \frac{1}{|\alpha|}$ and for every $P \geq 0$ with tr(P) = 1

$$tr(|T|^2 P) - |tr(TP)|^2 \le \frac{1}{|\alpha|}.$$

Proof. (1) Let $T, S \in \mathcal{A}_{\alpha}$ and $\lambda \in [0, 1]$, then

$$\begin{aligned} \|\alpha(\lambda T + (1 - \lambda)S) - I\| &\leq \|\alpha\lambda T - \lambda I\| + \|\alpha(1 - \lambda)S - (1 - \lambda)I\| \\ &= \lambda \|\alpha T - I\| + (1 - \lambda)\|\alpha S - I\| \\ &\leq \lambda + 1 - \lambda = 1. \end{aligned}$$

This shows that $\lambda T + (1 - \lambda)S \in \mathcal{A}_{\alpha}$ and therefore, \mathcal{A}_{α} is convex.

Now, let $\{T_n\}$ be a sequence in \mathcal{A}_{α} such that converges to $T \in \mathcal{B}(\mathcal{H})$. We must show that $T \in \mathcal{A}_{\alpha}$. Then, we have for any $n \in \mathbb{N}$ that it hold

$$||\alpha T - I|| = ||\alpha T - \alpha T_n + \alpha T_n - I|| \le |\alpha|||T_n - T|| + 1$$

Taking limit when *n* tends to infinity we obtain $||\alpha T - I|| \le 1$, i.e. $T \in \mathcal{A}_{\alpha}$.

The proof of items (2), (3), (4), and (5) are trivial.

(6) If *T* is normal, then $(\alpha T - I)^*(\alpha T - I) = (\alpha T - I)(\alpha T - I)^*$, for every $\alpha \in \mathbb{C}$. Therefore, $\alpha T - I$ is normal and

$$r(\alpha T - I) = \omega(\alpha T - I) = ||\alpha T - I||,$$

where $r(\alpha T - I) = \sup\{|\beta| : \beta \in \sigma(\alpha T - I)\}$ is the spectral radius. By the spectral theorem

$$||\alpha T - I|| = r(\alpha T - I) = \sup\{|\alpha \lambda - 1| : \lambda \in \sigma(T)\}$$

Thus, if *T* is selfadjoint and $T \in \mathcal{A}_{\alpha}$

$$|\alpha\lambda - 1| \le 1,$$

for all $\lambda \in \sigma(T)$. Therefore, each $\alpha \lambda$ must lie in the unit disk in the complex plane centered in z = 1. Also,

$$\lambda \in \mathbb{R} \text{ for every } \lambda \in \sigma(T) \Rightarrow \begin{cases} Re(\lambda \alpha) = \lambda Re(\alpha) \in [0, 2] \\ Im(\lambda \alpha) = \lambda Im(\alpha) \in [-1, 1] \end{cases}$$

Suppose there exist λ_i , $\lambda_k \in \sigma(T)$ such that $\lambda_i < 0$ and $\lambda_k > 0$, then

$$Re(\lambda_j \alpha) \in [0, 2] \Rightarrow 0 \ge Re(\alpha) \ge \frac{2}{\lambda_j}$$

and

$$Re(\lambda_k \alpha) \in [0, 2] \Rightarrow 0 \le Re(\alpha) \le \frac{2}{\lambda_k}$$

Thus, $Re(\alpha) = 0$, which means that $\lambda_j \alpha$ is pure imaginary and $\alpha = 0$ (because the unit disk in the complex plane centered in z = 1 intersects the imaginary axis only in z = 0). This is a contradiction, so λ_j and λ_k have the same sign.

(7) For any non-zero $h \in \mathcal{H}$, $T = h \otimes h$ is a compact, positive operator and its spectrum has only two eigenvalues, $||h||^2$ and 0. Then, by the proof of item (6)

$$|\alpha||h||^2 - 1| \le 1$$

if and only if $\alpha ||h||^2 = te^{i\theta} + 1$, with $|t| \le 1$ and $\theta \in [0, 2\pi]$.

(8) $1 \ge ||\alpha T - I|| = |\alpha|||T - \frac{1}{\alpha}I|| \ge |\alpha|d(T, \mathbb{C}I) \Rightarrow \frac{1}{|\alpha|} \ge d(T, \mathbb{C}I)$ and using Proposition 3.1 in [4] we obtain that

$$tr(|T|^2 P) - |tr(TP)|^2 \le \frac{1}{|\alpha|}$$

for every $P \ge 0$ with tr(P) = 1. \Box

Next, we enumerate other properties of \mathcal{A}_{α} related to *dist*(*I*, CT) and the center of mass of *T*.

Lemma 3.4. Let $T \in \mathcal{B}(\mathcal{H})$.

- 1. If m(T) > 0 and $c(T) \neq 0$, then $T \in \mathcal{A}_{c(T)}$.
- 2. If m(T) > 0 and c(T) = 0, then I is Birkhoff-James orthogonal to T. We deduce that $1 < ||\alpha T I||$ and $T \notin \mathcal{A}_{\alpha}$, for every $\alpha \in \mathbb{C} \{0\}$.
- 3. If $dist(I, \mathbb{C}T) = 1$ and there exists $\alpha_0 \in \mathbb{C} \{0\}$ such that

$$1 = ||\alpha_0 T - I|| < ||\alpha T - I||, \text{ for all } \alpha \in \mathbb{C} - \{\alpha_0, 0\},\$$

then $0 \in \sigma_{app}(T)$ and $T \in \mathcal{A}_{\alpha_0}$.

Proposition 3.5. Let $T \in \mathcal{B}(\mathcal{H})$ such that m(T) > 0, $c(T) \neq 0$ and $dist(T, \mathbb{C}I) = ||T||$, then ||I - c(T)T|| = 1 and, in particular, $T \in \mathcal{A}_{c(T)}$.

Proof. Let $x \in \mathcal{H}$ such that ||x|| = 1, then $|\langle Tx, x \rangle| \ge ||Tx|| \inf_{||y||=1} \frac{|\langle Ty, y \rangle|}{||Ty||}$ and

$$\left[||Tx||^2 - |\langle Tx, x \rangle|^2 \right]^{1/2} \le \left(1 - \inf_{||y||=1} \frac{|\langle Ty, y \rangle|^2}{||Ty||^2} \right)^{1/2} ||Tx||.$$

Calculating the supremum of both sides, and using the equality (4), we get

$$||T|| = dist(T, \mathbb{C}I) \le dist(I, \mathbb{C}T)||T||$$

Then $1 \le dist(I, \mathbb{C}T) \le ||I|| = 1$, i.e. $dist(I, \mathbb{C}T) = ||I - c(T)T|| = 1$. This completes the proof. \Box

As we have shown in Proposition 3.3, if $T \in \mathcal{A}_{\alpha}$ with $||\alpha T - I|| < 1$, then $T \in \mathcal{GL}(\mathcal{H})$ and T verifies Proposition 3.1. Now, we obtain a generalization of such statement for any invertible operator. In order to prove it, we need the following result.

Lemma 3.6 (Corollary 3.7, [5]). If $T \in \mathcal{GL}(\mathcal{H})$, then there exists a unitary operator $U \in \mathcal{B}(\mathcal{H})$ and a non-zero complex number β such that $\|\beta T^{-1} - U^*\| < 1$.

Observe that in the value β in Lemma 3.6 satisfies that $|\beta| < \frac{2}{\|T^{-1}\|}$.

Theorem 3.7. Let $T \in \mathcal{GL}(\mathcal{H})$, then there exists a unitary operator $U \in \mathcal{B}(\mathcal{H})$ and a non-zero complex number β as in Lemma 3.6 such that for any $x, y \in \mathcal{H}$

$$\left|\langle T^{-1}x,y\rangle - \frac{1}{\beta}\langle U^*x,y\rangle\right| \le \frac{1}{|\beta|}||x||||y||,$$

and

$$|\langle T^{-1}x,y\rangle| \le \left|\langle T^{-1}x,y\rangle - \frac{1}{\beta}\langle U^*x,y\rangle\right| + \frac{1}{|\beta|}|\langle U^*x,y\rangle| \le \frac{1}{|\beta|}(|\langle U^*x,y\rangle| + ||x||||y||).$$

Proof. It is analogous to the proof of Proposition 3.1, so we omit it. \Box

3.1. Bounded Linear operators which belong to \mathcal{A}_{α}

We begin this subsection showing when a normal operator belongs to \mathcal{A}_{α} .

Theorem 3.8. Let T be a normal operator in $\mathcal{B}(\mathcal{H}) - \{0\}$, such that $\sigma(T)$ is fully included into an arc of the disk of radius ||T|| and centered in the origin, with central angle less than π . Then, $T \in \mathcal{A}_{\alpha}$ for every $\alpha \in \mathbb{C}$ such that

$$\arg(\alpha) + \arg(\lambda) \in \left(-\frac{\pi}{2}, \frac{\pi}{2}\right), \text{ for all } \lambda \in \sigma(T)$$
(8)

and

$$|\alpha| \le \frac{2}{\|T\|} \min_{\lambda \in \sigma(T)} \cos(\arg(\alpha) + \arg(\lambda)).$$
(9)

Proof. Since *T* is normal, $\alpha T - I$ is a normal operator, then

$$||\alpha T - I|| = r(\alpha T - I) = \sup\{|\alpha \lambda - 1| : \lambda \in \sigma(T)\} \le 1$$

if and only if $|\alpha \lambda - 1| \le 1$ for every $\lambda \in \sigma(T)$. This is equivalent to find if there exists $\alpha \in \mathbb{C}$ such that

$$|\alpha\lambda - 1|^2 \le 1 \text{ for every } \lambda \in \sigma(T).$$
(10)

Taking $\alpha = |\alpha|e^{i\theta}$ and $\lambda = |\lambda|e^{i\varphi_{\lambda}}$, with $|\lambda| \le ||T||$,

$$\begin{aligned} \left| |\alpha||\lambda|e^{i(\theta+\varphi_{\lambda})} - 1 \right|^2 &= \left(|\alpha||\lambda|\cos(\theta+\varphi_{\lambda}) - 1 \right)^2 + \left(|\alpha||\lambda|\sin(\theta+\varphi_{\lambda}) \right)^2 \\ &= |\alpha|^2 |\lambda|^2 - 2|\alpha||\lambda|\cos(\theta+\varphi_{\lambda}) + 1 \end{aligned}$$

and we can rewrite (10) as follows

 $|\alpha||\lambda| \left(|\alpha||\lambda| - 2\cos(\theta + \varphi_{\lambda}) \right) \leq 0.$

Then, we arrive to the following condition

$$|\alpha||\lambda| - 2\cos(\theta + \varphi_{\lambda}) \le 0. \tag{11}$$

Take an $\alpha \in \mathbb{C}$ that satisfies (8) and (9). For $\lambda = 0$ it is immediate that α satisfies condition (11). Consider $\lambda \neq 0$, then $\cos(\arg(\alpha) + \varphi_{\lambda}) > 0$ for every $\lambda \in \sigma(T)$ and

$$|\alpha| \leq \frac{2}{\|T\|} \min_{\lambda \in \sigma(T)} \cos(\arg(\alpha) + \arg(\lambda)) \leq \frac{2}{|\lambda|} \cos(\arg(\alpha) + \arg(\lambda)), \lambda \neq 0.$$

Therefore, α fulfills the condition (11) for every $\lambda \in \sigma(T)$ and we conclude that $||\alpha T - I|| \le 1$ ($T \in \mathcal{A}_{\alpha}$). \Box

In order to fulfill (11) and $\cos(\arg(\alpha) + \varphi_{\lambda}) \ge 0$, it is a necessary condition that the spectrum of *T* lies in into an arc of the disk of radius ||T|| and centered in the origin, with central angle less than π . Otherwise, it is not possible to fix any α such that the property holds. Additionally, we exclude $\arg(\alpha) + \varphi_{\lambda} = \pm \frac{\pi}{2}$, since $|\alpha||\lambda| = 0$ if and only if $\lambda = 0$ or $\alpha = 0$.

For example, if *T* is Hermitian $\varphi_{\lambda} \in \{0, \pi\}$ it can be seen that there is no α that (11) holds, unless $\lambda \ge 0$ for every $\lambda \in \sigma(T)$ ($\varphi_{\lambda} = 0$), or $\lambda \le 0$ for every $\lambda \in \sigma(T)$ ($\varphi_{\lambda} = \pi$). Thus, the unique Hermitian operators *T* that can reach (11) are semidefinite positive or semidefinite negative, as we show in item 6 of Proposition 3.3.

In particular, for positive operators, we arrive to the following result.

Corollary 3.9. If $T \in \mathcal{B}(\mathcal{H})^+$, then $T \in \mathcal{A}_{\alpha}$ for every $\alpha \in \mathbb{C}$ such that $|\alpha| \leq \frac{a}{\|T\|} \leq \frac{2}{\|T\|}$ and $\cos(\arg(\alpha)) \geq \frac{a}{2}$.

Proof. As we mention before, in this case $\varphi_{\lambda} = 0$ for every $\lambda \in \sigma(T)$. Then,

$$|\alpha||\lambda| - 2\cos(\arg(\alpha)) \le \frac{a}{\|T\|}|\lambda| - 2\cos(\arg(\alpha)) \le a - 2\cos(\arg(\alpha)) \le 0.$$

The next result is a generalization of Buzano inequality for any bounded linear operator.

Theorem 3.10. Let $T \in \mathcal{B}(\mathcal{H}) - \{0\}$. Then, for any $x, y \in \mathcal{H}$

$$\left|\langle Tx, Ty \rangle - \frac{||T||^2}{2} \langle x, y \rangle \right| \le \frac{||T||^2}{2} ||x|| ||y||,$$

and

$$\left|\langle Tx, Ty \rangle\right| \le \left|\langle Tx, Ty \rangle - \frac{\|T\|^2}{2} \langle x, y \rangle\right| + \frac{\|T\|^2}{2} |\langle x, y \rangle| \le \frac{\|T\|^2}{2} (|\langle x, y \rangle| + ||x|| ||y||).$$
(12)

Proof. By Corollary 3.9, if $S \in \mathcal{B}(\mathcal{H})^+ - \{0\}$, then $S \in \mathcal{A}_{\frac{2}{\|S\|}}$. In particular, if we consider the positive operator $S = T^*T$, then we conclude that $T^*T \in \mathcal{A}_{\frac{2}{\|T\|^2}}$ and the proof is complete as a consequence of Proposition 3.1 \Box

The constant $\frac{\|T\|^2}{2}$ is best possible in (12). Now, if we assume that (12) holds with a constant C > 0, i.e.

$$\left| \langle Tx, Ty \rangle \right| \le C(\left| \langle x, y \rangle\right| + \left\| x \right\| \left\| y \right\|)$$

for any $T \in \mathcal{B}(\mathcal{H})$. So, if we choose x = y, then $||Tx||^2 \le 2C||x||^2$ and we deduce that $2C \ge ||T||^2$. Thus, (12) is an improvement and refinement of

$$\left|\langle Tx,Ty\rangle\right| \leq \frac{\|T\|^2}{2}(|\langle x,y\rangle| + \|x\|\|y\|),$$

which was obtained in a different way earlier by Dragomir in [11] using a non-negative Hermitian form on a Hilbert space.

From the polar decomposition of any bounded linear operator and the main idea used in the proof of Theorem 3.10, we have the following statement.

Corollary 3.11. Let $T \in \mathcal{B}(\mathcal{H})$ and $x, y \in \mathcal{H}$. Then,

$$\begin{aligned} |\langle Tx, y \rangle| &= |\langle |T|x, V^*y \rangle| \le \left| \langle Tx, y \rangle - \frac{||T||}{2} \langle x, V^*y \rangle \right| + \frac{||T||}{2} |\langle x, V^*y \rangle| \\ &\le \frac{||T||}{2} (|\langle x, V^*y \rangle| + ||x||||V^*y||) \\ &\le \frac{||T||}{2} (|\langle x, V^*y \rangle| + ||x||||y||). \end{aligned}$$

$$(13)$$

where T = V|T| is the polar decomposition of T.

Remark 3.12. *Inequality* (13) *is an improvement and refinement of a result recently obtained by Sababheh et al.* (see [18, Remark 3.1]).

Recall that *T* is called a positive contraction if $0 \le T \le I$. As a consequence of Corollary 3.9, we conclude that $T \in \mathcal{A}_{\alpha}$ for every $\alpha \in [0, 2]$.

Now, we obtain a refinement of the classical Cauchy-Schwarz inequality, using positive contractions. The idea of the proof is based in [11, Theorem 2.1]. Recently, in [18] the same result was obtained with a different proof.

Theorem 3.13. Let $T \in \mathcal{B}(\mathcal{H})$ be a positive contraction and $x, y \in \mathcal{H}$, then

$$|\langle x, y \rangle| + \langle Tx, x \rangle^{1/2} \langle Ty, y \rangle^{1/2} - |\langle Tx, y \rangle| \le ||x||||y||.$$

Proof. For any $x, y \in \mathcal{H}$ and the elementary inequality $(ac - bd)^2 \ge (a^2 - b^2)(c^2 - d^2)$, which holds for any real numbers a, b, c, d, we have

$$\left(||x||||y|| - \langle Tx, x \rangle^{1/2} \langle Ty, y \rangle^{1/2} \right)^2 \geq (||x||^2 - \langle Tx, x \rangle) (||y||^2 - \langle Ty, y \rangle)$$

= $\langle (I - T)x, x \rangle \langle (I - T)y, y \rangle.$ (14)

As *T* is a positive contraction, then $I - T \in \mathcal{B}(\mathcal{H})^+$. By Cauchy-Schwarz inequality for positive operators

$$\langle (I-T)x, x \rangle \langle (I-T)y, y \rangle \ge |\langle (I-T)x, y \rangle|^2 = |\langle x, y \rangle - \langle Tx, y \rangle|^2.$$
(15)

Now, by (14) and (15),

$$\left(||x||||y|| - \langle Tx, x \rangle^{1/2} \langle Ty, y \rangle^{1/2}\right)^2 \ge |\langle x, y \rangle - \langle Tx, y \rangle|^2, \tag{16}$$

for any $x, y \in \mathcal{H}$. Since $||x|| \ge \langle Tx, x \rangle^{1/2}$ and $||y|| \ge \langle Ty, y \rangle^{1/2}$, by taking the square root, (16) is equivalent to

$$||x||||y|| - \langle Tx, x \rangle^{1/2} \langle Ty, y \rangle^{1/2} \ge |\langle x, y \rangle - \langle Tx, y \rangle|.$$
(17)

On making use of the triangle inequality for the modulus, we have

$$\begin{aligned} ||x||||y|| - \langle Tx, x \rangle^{1/2} \langle Ty, y \rangle^{1/2} &\geq |\langle x, y \rangle - \langle Tx, y \rangle| \\ &\geq |\langle x, y \rangle| - |\langle Tx, y \rangle|, \end{aligned}$$
(18)

and this completes the proof. $\hfill\square$

Remark 3.14. *Recall that any orthogonal projection* $P = P^2 = P^*$ *is a positive contraction with* ||P|| = 1 *and* $P \in \mathcal{A}_2$. *Then, for any* $x, y \in \mathcal{H}$

$$|\langle Px, y\rangle| \le \left|\langle Px, y\rangle - \frac{1}{2}\langle x, y\rangle\right| + \frac{1}{2}|\langle x, y\rangle| \le \frac{1}{2}(|\langle x, y\rangle| + ||x||||y||),\tag{19}$$

and

$$\left|\langle Px, y \rangle - \langle x, y \rangle\right| \le \frac{1}{2} (|\langle x, y \rangle| + ||x||||y||).$$

$$\tag{20}$$

In (19) and (20) we reach, with a new proof, an improvement and refinement of different statements previously obtained by Dragomir in [10].

Motivated by the previous inequalities valid for orthogonal projections, we establish some vector inequalities for particular projections. Let $T = z \otimes z$, with $z \in \mathcal{H}$ and ||z|| = 1, as T is an orthogonal projection then by using inequality (6) we get

$$|\langle x,z\rangle\langle z,y\rangle| \le \left|\langle x,z\rangle\langle z,y\rangle - \frac{1}{2}\langle x,y\rangle\right| + \frac{1}{2}|\langle x,y\rangle| \le \frac{1}{2}(|\langle x,y\rangle| + ||x||||y||),$$

for any $x, y \in \mathcal{H}$. This inequality refines the classical Buzano inequality.

On the other hand, using inequality (17)

$$|\langle x, y \rangle| \le |\langle x, y \rangle - \langle x, z \rangle \langle z, y \rangle| + |\langle x, z \rangle \langle z, y \rangle| \le ||x|| ||y||,$$

for any $x, y \in \mathcal{H}$. This refinement of (1) was also obtained in [8].

(21)

Now we are in position to obtain a Buzano type inequality for the sum of two orthogonal projections. It is well-known that given two orthogonal projections on \mathcal{H} , P and Q, then

$$||P + Q|| = 1 + ||PQ||.$$

This is usually called Duncan-Taylor equality, and its proof can be found in [12].

Proposition 3.15. Let P, Q be orthogonal projections on \mathcal{H} . Then, $P + Q \in \mathcal{A}_{\alpha}$ for $|\alpha| \leq \frac{2}{1 + \|PO\|}$.

Proof. Note that $P + Q \in \mathcal{B}(\mathcal{H})^+$ and by (21), ||P + Q|| = 1 + ||PQ||. Thus, using Corollary 3.9, the proof is complete. \Box

Throughout, S and T denote two closed subspaces of H. The mininal angle or angle of Dixmier between S and T is the angle $\theta_0(S,T) \in [0, \frac{\pi}{2}]$ whose cosine is defined by

 $c_0(\mathcal{S},\mathcal{T}) = \sup\{|\langle x, y \rangle| : x \in \mathcal{S}, y \in \mathcal{T}; ||x||, ||y|| \le 1\}.$

A linear operator defined on \mathcal{H} , such that $Q^2 = Q$ is called a projection. Such operators are not necessarily bounded, since on every infinite-dimensional Hilbert space there exist unbounded examples of projections (see [3]). The operator $Q_{\mathcal{M}//\mathcal{N}}$ is an oblique projection along (or parallel to) its null space $\mathcal{N} = \mathcal{N}(Q)$ onto its range $\mathcal{M} = \mathcal{R}(Q)$.

Theorem 3.16. Let *H* be a Hilbert space such that is the direct sum of closed subspaces \mathcal{M} and \mathcal{N} . Let $Q_{\mathcal{M}//\mathcal{N}}$, be the bounded projection with range \mathcal{M} and null space \mathcal{N} , and $\theta_0(\mathcal{M}, \mathcal{N})$, be the minimal angle between \mathcal{M} and \mathcal{N} . Then, for any $x, y \in \mathcal{H}$

$$\left|\langle Qx, y\rangle - \frac{1}{2}\langle x, y\rangle\right| \le \frac{\cot(\alpha_0)}{2} ||x||||y||,$$

and

$$|\langle Qx, y\rangle| \le \frac{\cot(\alpha_0)}{2} (|\langle x, y\rangle| + ||x||||y||),$$

where $\alpha_0 = \frac{\theta_0(\mathcal{M}, \mathcal{N})}{2}$.

Proof. By Theorem 2 in [3] we have that $||Q|| = \csc(\theta_0(\mathcal{M}, \mathcal{N}))$ and $||2Q - I|| = \cot(\alpha_0)$. From the boundness of Q we can assert that $0 < \theta_0(\mathcal{M}, \mathcal{N}) \le \frac{\pi}{2}$ and $\cot(\alpha_0) \ge 1$. From these facts and mimicking the proof of Proposition 3.1, we have that for any $x, y \in \mathcal{H}$

$$\left|\langle Qx,y\rangle-\frac{1}{2}\langle x,y\rangle\right|\leq \frac{\cot(\alpha_0)}{2}||x||||y||,$$

and

$$\begin{aligned} |\langle Qx, y \rangle| &\leq \left| \langle Qx, y \rangle - \frac{1}{2} \langle x, y \rangle \right| + \frac{1}{2} |\langle x, y \rangle| \\ &\leq \frac{\cot(\alpha_0)}{2} ||x|| ||y|| + \frac{1}{2} |\langle x, y \rangle| \\ &\leq \frac{\cot(\alpha_0)}{2} (|\langle x, y \rangle| + ||x|| ||y||). \end{aligned}$$

This completes the proof. \Box

We finish this section by showing that any operator whose real part is greater than *sI* for some s > 0, is invertible and its inverse belongs to \mathcal{A}_{2s} .

Theorem 3.17. Let $T \in \mathcal{B}(\mathcal{H}) - \{0\}$ with $Re(T) = \frac{T+T^*}{2} \ge sI$ for some s > 0. Then, $T^{-1} \in \mathcal{A}_{2s}$.

Proof. First, we show that *T* is invertible. The hypothesis $Re(T) \ge sI$ implies that

$$W(T) \subseteq \{z \in \mathbb{C} : Re(z) \ge s\}$$

since if $z \in W(T)$ then

$$Re(z) = \frac{z + \overline{z}}{2} = \frac{\langle Tx, x \rangle + \overline{\langle Tx, x \rangle}}{2} = \frac{\langle Tx, x \rangle + \langle T^*x, x \rangle}{2}$$
$$= \langle Re(T)x, x \rangle \ge s.$$

Thus $\sigma(T) \subseteq \overline{W(T)} \subseteq \{z \in \mathbb{C} : Re(z) \ge s\}$ and, in particular, we have that $0 \notin \sigma(T)$, which means $T \in \mathcal{GL}(\mathcal{H})$. If $T + T^* \ge 2sI$ and $T \in \mathcal{GL}(\mathcal{H})$, then $2sT^{-1}(T + T^* - 2sI)(T^*)^{-1} \ge 0$ and

$$I \ge I - 2sT^{-1} \left(T + T^* - 2sI\right) \left(T^*\right)^{-1} = (I - 2sT^{-1})(I - 2sT^{-1})^*,$$

which is equivalent to $||2sT^{-1} - I|| \le 1$. Hence, $T^{-1} \in \mathcal{A}_{2s}$ and the result follows. \Box

4. Bounds for the numerical radius using Buzano inequality

In this section, we use (13) to obtain a refinement of the classical inequality $\omega(T) \le ||T||$ and an upper bound for $\omega(T) - \frac{1}{2}||T||$.

Proposition 4.1. Let $T \in \mathcal{B}(\mathcal{H})$ with polar decomposition T = V|T|. Then,

$$\omega(T) \le \frac{\|T\|}{2} (1 + \omega(V)) \le \|T\|$$
(22)

and

$$\omega(T) - \frac{\|T\|}{2} \le \frac{\|T\|}{2} \omega(V).$$
(23)

Proof. Taking x = y in (13), and the supremum over all $x \in \mathcal{H}$ with ||x|| = 1, we obtain $\omega(T) \leq \frac{||T||}{2} (1 + \omega(V))$ and this completes the proof.

It is important to note that inequalities (22) and (23) are not trivial, since $\omega(V)$ may be less than one, depending on the partial isometry *V*. For instance, let

$$T = \begin{bmatrix} 0 & 0\\ \sqrt{2} & 0 \end{bmatrix} = \begin{bmatrix} 0 & 0\\ 1 & 0 \end{bmatrix} \begin{bmatrix} \sqrt{2} & 0\\ 0 & 0 \end{bmatrix} = V|T|,$$

where *V* is a partial isometry in \mathbb{C}^2 with ker(*T*) = ker(*V*) = span{(0,1)} and ker(*V*)^{\perp} = span{(1,0)}. Then, for any $x = (x_1, x_2) \in \mathbb{C}$ with $||x|| = \sqrt{|x_1|^2 + |x_2|^2} = 1$, we have as a consequence of the arithmetic-geometric mean inequality

$$|\langle Vx, x \rangle| = |x_1\overline{x_2}| = |x_1||x_2| \le \frac{|x_1|^2 + |x_2|^2}{2} = \frac{1}{2}.$$

Therefore, $W(V) = \{z \in \mathbb{C} : |z| \le \frac{1}{2}\}$ and $\omega(V) = \frac{1}{2} < 1$.

Proposition 4.2. Let $T \in \mathcal{B}(\mathcal{H}) - \{0\}$ with polar decomposition T = V|T| such that $\omega(T) = ||T||$, then $\omega(V) = ||V|| = 1$.

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Proof. From inequality (22), we get

$$\omega(T) \le \frac{\|T\|}{2} (1 + \omega(V)) \le \|T\|$$

Thus, if $\omega(T) = ||T||$, then $1 + \omega(V) = 2$, and hence $\omega(V) = 1$. As *V* is a nonzero partial isometry, therefore ||V|| = 1 and thus $\omega(V) = ||V|| = 1$, as required. \Box

It should also be mentioned here that the converse of Proposition 4.2 is not true. To see this, consider

$$T = \begin{bmatrix} 0 & 0 & 0 \\ 1 & 0 & 0 \\ 0 & 1 & 0 \end{bmatrix} = \begin{bmatrix} 0 & 0 & 0 \\ 1 & 0 & 0 \\ 0 & 1 & 0 \end{bmatrix} \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 0 \end{bmatrix} = V|T|.$$

where V|T| is a polar decomposition of *T*. Then, $\omega(V) = ||V|| = 1$, but $\omega(T) = \frac{1}{\sqrt{2}} < 1 = ||T||$.

In order to estimate how close the numerical radius is from the operator norm, the following reverse inequalities have been obtained under appropriate conditions for the involved operator $T \in \mathcal{B}(\mathcal{H})$. If $T \in \mathcal{A}_{\alpha}$, then by (3) and Proposition 3.3 we have that

$$0 \le ||T|| - \omega(T) \le ||T|| - \frac{||T||}{2} \le \frac{1}{|\alpha|}.$$

Motivated by the above inequality, we establish a new upper bound for the non-negative quantity $||T|| - \omega(T)$.

Theorem 4.3. Let $T \in \mathcal{A}_{\alpha}$. Then,

$$||T|| - \omega(T) \le \frac{1}{2|\alpha|}.$$

Proof. For $x \in \mathcal{H}$ with ||x|| = 1, we have

$$\|\alpha Tx - x\|^{2} = |\alpha|^{2} \|Tx\|^{2} - 2Re(\alpha \langle Tx, x \rangle) + 1 \le 1$$

giving

$$|\alpha|^2 ||Tx||^2 + 1 \le 1 + 2Re(\alpha \langle Tx, x \rangle) \le 2|\alpha|| \langle Tx, x \rangle| + 1.$$

By arithmetic-geometric mean inequality, we deduce

$$2|\alpha|||Tx|| \le |\alpha|^2 ||Tx||^2 + 1 \le 1 + 2Re(\alpha \langle Tx, x \rangle) \le 2|\alpha|| \langle Tx, x \rangle| + 1.$$
(24)

Now, taking the supremum over $x \in \mathcal{H}$, ||x|| = 1 in (24), we obtain

$$2|\alpha|||T|| - 2|\alpha|\omega(T) \le 1.$$

Now, we derive upper bounds for the numerical radius of products of bounded linear operators. **Theorem 4.4.** Let $R, S, T \in \mathcal{B}(\mathcal{H})$ such that $T \in \mathcal{A}_{\alpha}$. Then,

$$\omega(STR) \le \frac{1}{|\alpha|} \left(||R|| ||S|| + \omega(SR) \right). \tag{25}$$

Proof. From inequality (6), we have

$$|\langle STRx, y \rangle| = |\langle TRx, S^*y \rangle| \le \frac{1}{|\alpha|} (|\langle Rx, S^*y \rangle| + ||Rx||||S^*y||).$$

Taking y = x and the supremum over $x \in \mathcal{H}$ with ||x|| = 1, yields the desired inequality.

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We note that the previous result is a generalization of Theorem 3.6 in [11]. In particular, for the sum of two orthogonal projections, we obtain the following result.

Corollary 4.5. Let $P, Q, R, S \in \mathcal{B}(\mathcal{H})$ with P, Q be orthogonal projections. Then,

$$\omega(R(P+Q)S) \le \frac{1 + \|PQ\|}{2} (\|S\|\|R\| + \omega(RS))$$

Proof. As we have already mentioned, $P + Q \in \mathcal{A}_{\frac{2}{1+||PQ||}}$. Then, the statement is a consequence of Theorem 4.4. \Box

On the other hand, in [9], Dragomir obtained, utilizing Buzano's inequality, the following inequality for the numerical radius

$$\omega(S)^2 \le \frac{1}{2} \left(||S||^2 + \omega(S^2) \right),$$

combining with the following power inequality for the numerical radius, $w(S^n) \le w(S)^n$ for any natural number *n*, we have

$$w(S^2) \le \frac{1}{2} \left(||S||^2 + \omega(S^2) \right).$$
(26)

The following corollary, which is an immediate consequence of Theorem 4.4 considering R = S, gives a generalization of (26).

Corollary 4.6. Let $S, T \in \mathcal{B}(\mathcal{H})$ with $T \in \mathcal{A}_{\alpha}$. Then,

$$\omega(STS) \le \frac{1}{|\alpha|} \left(||S||^2 + \omega(S^2) \right).$$

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