



## Coefficient bounds and Fekete-Szegő inequality for a certain family of holomorphic and bi-univalent functions defined by (M,N)-Lucas polynomials

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**Abstract.** In the current work, we use the (M,N)-Lucas Polynomials to introduce a new family of holomorphic and bi-univalent functions which involve a linear combination between Bazilevič functions and  $\beta$ -pseudo-starlike function defined in the unit disk  $\mathbb{D}$  and establish upper bounds for the second and third coefficients of functions belongs to this new family. Also, we discuss Fekete-Szegő problem in this new family.

### 1. Introduction

The Lucas Polynomials plays an important role in a diversity of disciplines as the mathematical, statistical, physical and engineering sciences (see, for example [10, 14, 38]).

Let  $\mathcal{A}$  stands for the collection of functions  $f$  that are holomorphic in the unit disk  $\mathbb{D} = \{z \in \mathbb{C} : |z| < 1\}$  that have the form:

$$f(z) = z + \sum_{n=2}^{\infty} a_n z^n. \quad (1)$$

Further, let  $S$  indicate the sub-collection of the set  $\mathcal{A}$  containing functions from  $\mathbb{D}$  satisfying (1) which are univalent in  $\mathbb{D}$ . According to the Koebe one-quarter theorem (see [9]), every function  $f \in S$  has an inverse  $f^{-1}$  defined by  $f^{-1}(f(z)) = z$ , ( $z \in \mathbb{D}$ ) and  $f(f^{-1}(w)) = w$ , ( $|w| < r_0(f)$ ,  $r_0(f) \geq \frac{1}{4}$ ), where

$$f^{-1}(w) = w - a_2 w^2 + (2a_2^2 - a_3) w^3 - (5a_2^3 - 5a_2 a_3 + a_4) w^4 + \dots. \quad (2)$$

A function  $f \in \mathcal{A}$  is said to be bi-univalent in  $\mathbb{D}$  if both  $f$  and  $f^{-1}$  are univalent in  $\mathbb{D}$ , let we name by the notation  $\Sigma$  the set of bi-univalent functions in  $\mathbb{D}$  satisfying (1). In fact, Srivastava et al. [28] have

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actually revived the study of holomorphic and bi-univalent functions in recent years, recalling the following examples of functions in the class  $\Sigma$ :

$$\frac{z}{1-z}, \quad -\log(1-z), \quad \frac{1}{2} \log\left(\frac{1+z}{1-z}\right).$$

The Koebe function is not a member of the bi-univalent function class  $\Sigma$ , same as other common examples of functions in  $S$  such as:

$$z - \frac{z^2}{2}, \quad \frac{z}{1-z^2}.$$

Their work was followed by such articles as those by Frasin and Aouf [11], Altinkaya and Yalçın [2], Srivastava and Wanas [29], Srivastava et al. [26] and others (see, for example [8, 17, 18, 20–25, 30, 32–37]).

More pioneering work was made by Srivastava et al. in [27] where they studied coefficients of meromorphic bi-univalent functions.

Lewin [13] was the first to investigate the class of bi-univalent functions, showing that the first coefficient of the Taylor series expansion of a bi-univalent function satisfies  $|a_2| < 1.51$ .

Brannan and Clunie [6] conjectured that  $|a_2| \leq \sqrt{2}$  for  $f \in \Sigma$  and Netanyahu [16] showed that  $\max |a_2| = \frac{4}{3}$ . The coefficient estimate problem for each of the coefficients  $|a_n|$  ( $n \in \mathbb{N} \setminus \{1, 2\}$ ) is still an open problem. A function  $f \in \mathcal{A}$  is called Bazilevič function in  $\mathbb{D}$  if (see [19])

$$\operatorname{Re} \left\{ \frac{z^{1-\alpha} f'(z)}{(f(z))^{1-\alpha}} \right\} > 0, \quad (z \in \mathbb{D}, \alpha \geq 0).$$

A function  $f \in \mathcal{A}$  is called  $\beta$ -pseudo-starlike function in  $\mathbb{D}$  if (see [5])

$$\operatorname{Re} \left\{ \frac{z (f'(z))^\beta}{f(z)} \right\} > 0, \quad (z \in \mathbb{D}, \beta \geq 1).$$

We use the definition of subordination between holomorphic functions: let the functions  $f$  and  $g$  be holomorphic in  $\mathbb{D}$ , we say that the function  $f$  is subordinate to  $g$ , if there exists a Schwarz function  $\omega$  holomorphic in  $\mathbb{D}$  with  $\omega(0) = 0$  and  $|\omega(z)| < 1$  ( $z \in \mathbb{D}$ ) such that  $f(z) = g(\omega(z))$ . This subordination is indicated by  $f < g$  or  $f(z) < g(z)$  ( $z \in \mathbb{D}$ ) (see [15]).

For the polynomials  $M(x)$  and  $N(x)$  with real coefficients, the (M,N)-Lucas Polynomials  $L_{M,N,k}(x)$  are defined by the following recurrence relation (see [12]):

$$L_{M,N,k}(x) = M(x)L_{M,N,k-1}(x) + N(x)L_{M,N,k-2}(x) \quad (k \geq 2),$$

with

$$L_{M,N,0}(x) = 2, \quad L_{M,N,1}(x) = M(x),$$

$$L_{M,N,2}(x) = M^2(x) + 2N(x), \quad L_{M,N,3}(x) = M^3(x) + 3M(x)N(x). \tag{3}$$

The generating function of the (M,N)-Lucas Polynomial  $L_{M,N,k}(x)$  (see [14]) is given by

$$T_L(M, N; x, z) = \sum_{k=2}^{\infty} L_{M,N,k}(x)z^k = \frac{2 - M(x)z}{1 - M(x)z - N(x)z^2}.$$

Note that for particular values of M and N, the (M, N)-polynomial  $L_n(x)$  leads to various polynomials, among those, we list few cases here (see, for more details [3]):

- (i) For  $M(x) = x$  and  $N(x) = 1$ , we obtain the Lucas polynomials  $L_n(x)$ .
- (ii) For  $M(x) = 2x$  and  $N(x) = 1$ , we obtain the Pell-Lucas polynomials  $Q_n(x)$ .
- (iii) For  $M(x) = 1$  and  $N(x) = 2x$ , we obtain the Jacobsthal-Lucas polynomials  $j_n(x)$ .
- (iv) For  $M(x) = 3x$  and  $N(x) = -2$ , we obtain the Fermat-Lucas polynomials  $f_n(x)$ .
- (v) For  $M(x) = 2x$  and  $N(x) = -1$ , we have the Chebyshev polynomials  $T_n(x)$  of the first kind.

## 2. Main Results

We begin this section by defining the family  $\mathcal{L}_{MN}(\lambda, \alpha, \beta; x)$  as follows:

**Definition 2.1.** For  $0 \leq \lambda \leq 1; \alpha \geq 0; \beta \geq 1$  let  $\mathcal{L}_{MN}(\lambda, \alpha, \beta; x)$  denote the subclass of  $\Sigma$  such that

$$(1 - \lambda) \frac{z^{1-\alpha} f'(z)}{(f(z))^{1-\alpha}} + \lambda \frac{z (f'(z))^\beta}{f(z)} < T_L(M, N; x, z) - 1$$

and

$$(1 - \lambda) \frac{w^{1-\alpha} (f^{-1}(w))'}{(f^{-1}(w))^{1-\alpha}} + \lambda \frac{w ((f^{-1}(w))')^\beta}{f^{-1}(w)} < T_L(M, N; x, w) - 1,$$

where  $f^{-1}$  is given by (2).

In particular, if we choose  $\alpha = \lambda = 0$  or  $\lambda = \beta = 1$  in Definition 2.1, we have  $\mathcal{L}_{MN}(0, 0, \beta; x) = \mathcal{L}_{MN}(1, \alpha, 1; x) := P_o(0; x)$  for the family of functions  $f \in \Sigma$  given by (1) and satisfying the following subordinations:

$$\frac{zf'(z)}{f(z)} < T_L(M, N; x, z) - 1$$

and

$$\frac{w (f^{-1}(w))'}{f^{-1}(w)} < T_L(M, N; x, w) - 1.$$

If  $M(x) = 1, N(x) = 0$  then  $\frac{zf'(z)}{f(z)} < T_L(1, 0; x, z) - 1 = \frac{1}{1-z}$ .

If  $M(x) = 2x, N(x) = -1$  then  $\frac{zf'(z)}{f(z)} < T_L(2x, -1; x, z) - 1 = \frac{1}{1-2xz+z^2}$ .

**Theorem 2.2.** For  $0 \leq \lambda \leq 1, \alpha \geq 0$  and  $\beta \geq 1$ , let  $f$  belongs to the family  $\mathcal{L}_{MN}(\lambda, \alpha, \beta; x)$  and  $N(x) \neq 0$ ; let denote

$$\Omega(\lambda, \alpha, \beta) = (1 - \lambda)(\alpha + 1) + \lambda(2\beta - 1), \tag{4}$$

$$E(\lambda, \alpha, \beta, M(x), N(x)) = \frac{\sqrt{2} |M(x)| \sqrt{|M(x)|}}{\sqrt{|[(1 - \lambda)(\alpha + 2)(\alpha + 1) + 2\lambda\beta(2\beta - 1) - 2\Omega^2(\lambda, \alpha, \beta)] M^2(x) - 4\Omega^2(\lambda, \alpha, \beta)N(x)|}}$$

and

$$F(\lambda, \alpha, \beta, M(x)) = \frac{|M(x)|}{\Omega(\lambda, \alpha, \beta)};$$

then

$$|a_2| \leq \min \{E(\lambda, \alpha, \beta, M(x), N(x)), F(\lambda, \alpha, \beta, M(x))\}$$

and

$$|a_3| \leq \frac{M^2(x)}{\Omega^2(\lambda, \alpha, \beta)} + \frac{|M(x)|}{(1 - \lambda)(\alpha + 2) + \lambda(3\beta - 1)}.$$

*Proof.* Suppose that  $f \in \mathcal{L}_{MN}(\lambda, \alpha, \beta; x)$ . Then there exists two holomorphic functions  $\phi, \psi : \mathbb{D} \rightarrow \mathbb{D}$  given by

$$\phi(z) = r_1z + r_2z^2 + r_3z^3 + \dots \quad (z \in \mathbb{D}) \tag{5}$$

and

$$\psi(w) = s_1w + s_2w^2 + s_3w^3 + \dots \quad (w \in \mathbb{D}), \tag{6}$$

with  $\phi(0) = \psi(0) = 0, |\phi(z)| < 1, |\psi(w)| < 1, z, w \in \mathbb{D}$  such that

$$(1 - \lambda) \frac{z^{1-\alpha} f'(z)}{(f(z))^{1-\alpha}} + \lambda \frac{z (f'(z))^\beta}{f(z)} = -1 + L_{M,N,0}(x) + L_{M,N,1}(x)\phi(z) + L_{M,N,2}(x)\phi^2(z) + \dots \tag{7}$$

and

$$\begin{aligned} (1 - \lambda) \frac{w^{1-\alpha} (f^{-1}(w))'}{(f^{-1}(w))^{1-\alpha}} + \lambda \frac{w ((f^{-1}(w))')^\beta}{f^{-1}(w)} \\ = -1 + L_{M,N,0}(x) + L_{M,N,1}(x)\psi(w) + L_{M,N,2}(x)\psi^2(w) + \dots \end{aligned} \tag{8}$$

Combining (5), (6), (7) and (8), yield

$$(1 - \lambda) \frac{z^{1-\alpha} f'(z)}{(f(z))^{1-\alpha}} + \lambda \frac{z (f'(z))^\beta}{f(z)} = 1 + L_{M,N,1}(x)r_1z + [L_{M,N,1}(x)r_2 + L_{M,N,2}(x)r_1^2]z^2 + \dots \tag{9}$$

and

$$\begin{aligned} (1 - \lambda) \frac{w^{1-\alpha} (f^{-1}(w))'}{(f^{-1}(w))^{1-\alpha}} + \lambda \frac{w ((f^{-1}(w))')^\beta}{f^{-1}(w)} \\ = 1 + L_{M,N,1}(x)s_1w + [L_{M,N,1}(x)s_2 + L_{M,N,2}(x)s_1^2]w^2 + \dots \end{aligned} \tag{10}$$

It is quite well-known that if  $|\phi(z)| < 1$  and  $|\psi(w)| < 1, z, w \in \mathbb{D}$ , we get

$$|r_j| \leq 1 \quad \text{and} \quad |s_j| \leq 1 \quad (j \in \mathbb{N}). \tag{11}$$

In the light of (9) and (10), after simplifying, we find that

$$[(1 - \lambda)(\alpha + 1) + \lambda(2\beta - 1)] a_2 = L_{M,N,1}(x)r_1, \tag{12}$$

$$\begin{aligned} [(1 - \lambda)(\alpha + 2) + \lambda(3\beta - 1)] a_3 + \left[ \frac{1}{2}(1 - \lambda)(\alpha + 2)(\alpha - 1) + \lambda(2\beta(\beta - 2) + 1) \right] a_2^2 \\ = L_{M,N,1}(x)r_2 + L_{M,N,2}(x)r_1^2, \end{aligned} \tag{13}$$

$$- [(1 - \lambda)(\alpha + 1) + \lambda(2\beta - 1)] a_2 = L_{M,N,1}(x)s_1 \tag{14}$$

and

$$\begin{aligned} [(1 - \lambda)(\alpha + 2) + \lambda(3\beta - 1)] (2a_2^2 - a_3) + \left[ \frac{1}{2}(1 - \lambda)(\alpha + 2)(\alpha - 1) + \lambda(2\beta(\beta - 2) + 1) \right] a_2^2 \\ = L_{M,N,1}(x)s_2 + L_{M,N,2}(x)s_1^2. \end{aligned} \tag{15}$$

It follows from (12) and (14) that

$$r_1 = -s_1 \tag{16}$$

and

$$2[(1 - \lambda)(\alpha + 1) + \lambda(2\beta - 1)]^2 a_2^2 = L_{M,N,1}^2(x)(r_1^2 + s_1^2). \tag{17}$$

If we add (13) to (15), we obtain

$$[(1 - \lambda)(\alpha + 2)(\alpha + 1) + 2\lambda\beta(2\beta - 1)] a_2^2 = L_{M,N,1}(x)(r_2 + s_2) + L_{M,N,2}(x)(r_1^2 + s_1^2). \tag{18}$$

Substituting the value of  $r_1^2 + s_1^2$  from (17) in the right hand side of (18), we deduce that

$$\left[ (1 - \lambda)(\alpha + 2)(\alpha + 1) + 2\lambda\beta(2\beta - 1) - \frac{2L_{M,N,2}(x)}{L_{M,N,1}^2(x)} \Omega^2(\lambda, \alpha, \beta) \right] a_2^2 = L_{M,N,1}(x)(r_2 + s_2), \tag{19}$$

where  $\Omega(\lambda, \alpha, \beta)$  is given by (4).

Moreover computations using (3), (11) and (19), we find that

$$|a_2| \leq \frac{\sqrt{2} |M(x)| \sqrt{|M(x)|}}{\sqrt{\left| [(1 - \lambda)(\alpha + 2)(\alpha + 1) + 2\lambda\beta(2\beta - 1) - 2\Omega^2(\lambda, \alpha, \beta)] M^2(x) - 4\Omega^2(\lambda, \alpha, \beta)N(x) \right|}}.$$

From (12) and (14) we can also obtain

$$|a_2| \leq \frac{|L_{M,N,1}(x)|}{(1 - \lambda)(\alpha + 1) + \lambda(2\beta - 1)} \leq \frac{|M(x)|}{(1 - \lambda)(\alpha + 1) + \lambda(2\beta - 1)}. \tag{20}$$

Next, if we subtract (15) from (13), we can easily see that

$$2[(1 - \lambda)(\alpha + 2) + \lambda(3\beta - 1)] (a_3 - a_2^2) = L_{M,N,1}(x)(r_2 - s_2) + L_{M,N,2}(x)(r_1^2 - s_1^2). \tag{21}$$

In view of (16) and (17), we get from (21)

$$a_3 = \frac{L_{M,N,1}^2(x)}{2\Omega^2(\lambda, \alpha, \beta)} (r_1^2 + s_1^2) + \frac{L_{M,N,1}(x)}{2[(1 - \lambda)(\alpha + 2) + \lambda(3\beta - 1)]} (r_2 - s_2).$$

Thus applying (3), we conclude that

$$|a_3| \leq \frac{M^2(x)}{\Omega^2(\lambda, \alpha, \beta)} + \frac{|M(x)|}{(1 - \lambda)(\alpha + 2) + \lambda(3\beta - 1)}.$$

□

Putting  $\lambda = \beta = 1$  in Theorem 2.2, we conclude the following result:

**Corollary 2.3.** *If  $f$  belongs to the family  $P_\sigma(0; x)$ , then*

$$|a_2| \leq |M(x)| \sqrt{\left| \frac{M(x)}{2N(x)} \right|}$$

and

$$|a_3| \leq M^2(x) + \frac{|M(x)|}{2}.$$

The previous result was obtained in Corollary 1 from [3].

**Remark 2.4.** The class  $\mathcal{L}_{MN}(\lambda, \alpha, \beta; x)$  is a generalization of many classes considered earlier:

- (i) If  $\alpha = 0, \lambda = 0$  then  $\mathcal{L}_{MN}(0, 0, \beta; x) = P_\sigma(0; x)$  from [3].
- (ii) If  $\lambda = 0$  then  $\mathcal{L}_{MN}(0, \alpha, \beta; x) = \mathfrak{B}_\Sigma^\alpha(1, 0)$  from [4].
- (iii) If  $\alpha = 0, \lambda = 0, M(x) = x, N(x) = 1$  and from article [1]  $a = 2, b = 1, p = 1, q = 1, \lambda = 0$  then  $\mathcal{L}_{MN}(0, 0, \beta; x) = \mathcal{S}_\sigma^*(0, x)$ .
- (iv) If  $\alpha = 0, \lambda = 1$  then  $\mathcal{L}_{MN}(1, \alpha, \beta; x) = \mathcal{G}_\Sigma(\beta, \Phi(0); x)$  from [31].
- (v) If  $\alpha = 0, \lambda = 0, M(x) = 2x, N(x) = -1$  or  $\beta = 1, \lambda = 1, M(x) = 2x, N(x) = -1$  then  $\mathcal{L}_{MN}(0, 0, \beta; x) = \mathcal{L}_{MN}(1, \alpha, 1; x) = \mathfrak{B}_\Sigma^0(1, t)$  from [7].
- (vi) If  $f \in \mathcal{L}_{1,0}(\lambda, \alpha, \beta; x)$  then  $f \in \mathcal{T}_\Sigma(\lambda, \alpha, \beta; 1)$  from [30].

From Theorem 2.2, in particular cases, one can reobtain the same type of results for the classes mentioned above.

**Remark 2.5.** In the estimation of  $|a_2|$ , the minimum depends on  $M(x)$  and  $N(x)$ .

In the case  $M(x) = 1, N(x) = 0, \alpha = \lambda = 0$  or  $(\lambda = \beta = 1)$  we obtain for  $f(z) = \frac{z}{1-z}$  then  $\frac{z \cdot f'(z)}{f(z)} = \frac{1}{1-z}$  so  $f \in \mathcal{L}_{MN}(0, 0, \beta; x) = \mathcal{L}_{MN}(1, \alpha, 1; x)$ , but  $f(z) = z \cdot (1 + z + z^2 + \dots) = z + z^2 + z^3 + \dots$  is Koebe’s convex function which  $E(0, 0, \beta, 1, 0) = \infty, F(0, 0, \beta, 1) = 1$ , hence  $|a_2| \leq 1$  and this is the best estimation.

In the next theorem, we discuss “the Fekete-Szegő Problem” for the family  $\mathcal{L}_{MN}(\lambda, \alpha, \beta; x)$ .

**Theorem 2.6.** For  $0 \leq \lambda \leq 1, \alpha \geq 0, \beta \geq 1$  and  $\delta \in \mathbb{R}$ , let  $f \in \mathcal{A}$  belongs to the family  $\mathcal{L}_{MN}(\lambda, \alpha, \beta; x)$ . Then

$$|a_3 - \delta a_2^2| \leq \begin{cases} \frac{|M(x)|}{(1-\lambda)(\alpha+2)+\lambda(3\beta-1)}; & \text{for } |\delta - 1| \leq \frac{1}{2[(1-\lambda)(\alpha+2)+\lambda(3\beta-1)]} \times \\ & \times \left| (1-\lambda)(\alpha+2)(\alpha+1) + 2\lambda\beta(2\beta-1) - 2\Omega^2(\lambda, \alpha, \beta) - \frac{4\Omega^2(\lambda, \alpha, \beta)N(x)}{M^2(x)} \right|, \\ \frac{2|M(x)|^3|\delta-1|}{\left| [(1-\lambda)(\alpha+2)(\alpha+1)+2\lambda\beta(2\beta-1)-2\Omega^2(\lambda, \alpha, \beta)]M^2(x) - 4\Omega^2(\lambda, \alpha, \beta)N(x) \right|}; & \\ & \text{for } |\delta - 1| \geq \frac{1}{2[(1-\lambda)(\alpha+2)+\lambda(3\beta-1)]} \times \\ & \times \left| (1-\lambda)(\alpha+2)(\alpha+1) + 2\lambda\beta(2\beta-1) - 2\Omega^2(\lambda, \alpha, \beta) - \frac{4\Omega^2(\lambda, \alpha, \beta)N(x)}{M^2(x)} \right|, \end{cases}$$

where  $\Omega(\lambda, \alpha, \beta)$  is given by (4).

*Proof.* By making use of (19) and (21), we conclude that

$$\begin{aligned} a_3 - \delta a_2^2 &= (1 - \delta) \frac{L_{MN,1}^3(x)(r_2 + s_2)}{\left[ (1 - \lambda)(\alpha + 2)(\alpha + 1) + 2\lambda\beta(2\beta - 1) \right] L_{MN,1}^2(x) - 2\Omega^2(\lambda, \alpha, \beta)L_{MN,2}(x)} \\ &\quad + \frac{L_{MN,1}(x)(r_2 - s_2)}{2 \left[ (1 - \lambda)(\alpha + 2) + \lambda(3\beta - 1) \right]} \\ &= L_{MN,1}(x) \left[ \left( \varphi(\delta; x) + \frac{1}{2 \left[ (1 - \lambda)(\alpha + 2) + \lambda(3\beta - 1) \right]} \right) r_2 \right. \\ &\quad \left. + \left( \varphi(\delta; x) - \frac{1}{2 \left[ (1 - \lambda)(\alpha + 2) + \lambda(3\beta - 1) \right]} \right) s_2 \right], \end{aligned}$$

where

$$\varphi(\delta; x) = \frac{L_{MN,1}^2(x)(1 - \delta)}{\left[ (1 - \lambda)(\alpha + 2)(\alpha + 1) + 2\lambda\beta(2\beta - 1) \right] L_{MN,1}^2(x) - 2\Omega^2(\lambda, \alpha, \beta)L_{MN,2}(x)}.$$

According to (3), we find that

$$|a_3 - \delta a_2^2| \leq \begin{cases} \frac{|M(x)|}{(1-\lambda)(\alpha+2)+\lambda(3\beta-1)}, & 0 \leq |\varphi(\delta; x)| \leq \frac{1}{2[(1-\lambda)(\alpha+2)+\lambda(3\beta-1)]}, \\ 2|M(x)| |\varphi(\delta; x)|, & |\varphi(\delta; x)| \geq \frac{1}{2[(1-\lambda)(\alpha+2)+\lambda(3\beta-1)]}. \end{cases}$$

After some computations, we obtain the desired result.  $\square$

Putting  $\lambda = \beta = 1$  in Theorem 2.6, we conclude the following result:

**Corollary 2.7.** *If  $f$  belongs to the family  $P_\sigma(0; x)$ , then*

$$|a_3 - \delta a_2^2| \leq \begin{cases} \frac{|M(x)|}{2}, & \text{for } |\delta - 1| \leq \frac{|N(x)|}{M^2(x)}, \\ \frac{|M(x)|^3 |\delta - 1|}{2|N(x)|}, & \text{for } |\delta - 1| \geq \frac{|N(x)|}{M^2(x)}. \end{cases}$$

Putting  $\delta = 1$  in Theorem 2.6, we conclude the following result:

**Corollary 2.8.** *If  $f$  belongs to the family  $\mathcal{L}_{MN}(\lambda, \alpha, \beta; x)$ , then*

$$|a_3 - a_2^2| \leq \frac{|M(x)|}{(1-\lambda)(\alpha+2) + \lambda(3\beta-1)}.$$

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