



## On the joint spectra of operators and antiunitaries

Muneo Chō<sup>a</sup>, Biljana Načevska Nastovska<sup>b,\*</sup>, Kôtarô Tanahashi<sup>c</sup>

<sup>a</sup>15-3-1113, Tsutsui-machi Yahatanishi-ku, Kita-kyushu 806-0032, Japan

<sup>b</sup>Faculty of Electrical Engineering and Information Technologies, "Ss Cyril and Methodius" University in Skopje, Macedonia

<sup>c</sup>Department of Mathematics, Tohoku Pharmaceutical University, Sendai 981-8558, Japan

**Abstract.** Camara and Krejčířík have studied properties of operators concerning with an antiunitary operator  $C$  (see [1]). In this paper we show that if  $\mathbf{T} = (T_1, \dots, T_n)$  is a commuting  $n$ -tuple of Hilbert space operators and  $C$  is an antiunitary, then  $\sigma(CTC^{-1}) = \sigma(\mathbf{T})^*$ , where  $\sigma(\mathbf{T})$  is the Taylor spectrum of  $\mathbf{T}$ ,  $\sigma(\mathbf{T})^* = \{\bar{z} = (\bar{z}_1, \dots, \bar{z}_n) : z = (z_1, \dots, z_n) \in \sigma(\mathbf{T})\}$  and  $CTC^{-1} = (CT_1C^{-1}, \dots, CT_nC^{-1})$ . Also we will show  $\sigma_X(CTC^{-1}) = \{\bar{z} : z \in \sigma_X(\mathbf{T})\}$ , where  $\sigma_X(\mathbf{T})$  is the Xia spectrum of  $\mathbf{T}$ .

### 1. Introduction

Let  $\mathcal{H}$  be a complex Hilbert space with the inner product  $\langle \cdot, \cdot \rangle$  and  $B(\mathcal{H})$  be the set of all bounded linear operators on  $\mathcal{H}$ . An operator  $C$  on  $\mathcal{H}$  is said to be *antilinear* if  $C(\alpha x + \beta y) = \bar{\alpha}Cx + \bar{\beta}Cy$  for all  $\alpha, \beta \in \mathbb{C}$  and  $x, y \in \mathcal{H}$ . An antilinear operator  $C$  is said to be *conjugation* if  $\langle Cx, Cy \rangle = \langle y, x \rangle$  for all  $x, y \in \mathcal{H}$  and  $C^2 = I$ , where  $I$  is the identity operator on  $\mathcal{H}$ . In [9], S. Jung, E. Ko and Ji Eun Lee showed that if  $C$  is a conjugation and  $T \in B(\mathcal{H})$ , then  $\sigma(CTC) = \sigma(T)^*$ ,  $\sigma_a(CTC) = \sigma_a(T)^*$  and  $\sigma_p(CTC) = \sigma_p(T)^*$ , where  $\sigma(T)$ ,  $\sigma_a(T)$  and  $\sigma_p(T)$  are the spectrum, the approximate point spectrum and the point spectrum of  $T$ , respectively.

For the study of the Pauli or Dirac operators, M. Cristina Câmara and D. Krejcirik have studied properties of operators concerning with an antilinear operator  $C$  satisfying  $C^2 = -I$ . They showed the following example.

**Example 1.1.** (see [1]) Let  $\mathbb{T} = \{z \in \mathbb{C} : |z| = 1\}$ . For the Hilbert space  $L^2 := L^2(\mathbb{T})$ , an operator  $C$  be defined by

$$(Cf)(z) = \frac{z - \bar{z}}{2} \overline{f(\bar{z})} + \frac{z + \bar{z}}{2} \overline{f(-\bar{z})}.$$

Then  $C$  is antilinear and satisfies  $\langle Cf, Cg \rangle = \langle g, f \rangle$  for any  $f, g \in L^2$  and  $C^2 = -I$ .

**Definition 1.2.** An antilinear operator  $C$  on  $\mathcal{H}$  is said to be *antiunitary* if  $C$  satisfies  $\langle Cx, Cy \rangle = \langle y, x \rangle$  for all  $x, y \in \mathcal{H}$  and  $C^2 = -I$ .

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\* Corresponding author: Biljana Načevska Nastovska

Email addresses: [chiyo01@kanagawa-u.ac.jp](mailto:chiyo01@kanagawa-u.ac.jp) (Muneo Chō), [bibanmath@gmail.com](mailto:bibanmath@gmail.com) (Biljana Načevska Nastovska), [tanahasi@tohoku-mpu.ac.jp](mailto:tanahasi@tohoku-mpu.ac.jp) (Kôtarô Tanahashi)

ORCID iDs: <https://orcid.org/0000-0003-0888-8799> (Biljana Načevska Nastovska), <https://orcid.org/0000-0002-7165-3178> (Kôtarô Tanahashi)

By the definition of an antiunitary operator  $C$ ,  $C$  is onto, isometric and  $C^{-1} = -C$ .

**Remark 1.3.** It is easy to see that if an antilinear isometric operator  $C$  on  $\mathcal{H}$  satisfies  $C^2 = zI$ , then  $z = \pm 1$ .

In [2] Chō and Ji Eun Lee showed that if  $C$  is antiunitary on  $\mathcal{H}$ , then  $\sigma(CTC^{-1}) = \sigma(T)^*$ ,  $\sigma_a(CTC^{-1}) = \sigma_a(T)^*$  and  $\sigma_p(CTC^{-1}) = \sigma_p(T)^*$ .

In this paper we show that if  $C$  is antiunitary and  $\mathbf{T} = (T_1, \dots, T_n) \in B(\mathcal{H})^n$  is a commuting  $n$ -tuple, then  $\sigma(CTC^{-1}) = \sigma(\mathbf{T})^*$ ,  $\sigma_{ja}(CTC^{-1}) = \sigma_{ja}(\mathbf{T})^*$  and  $\sigma_{jp}(CTC^{-1}) = \sigma_{jp}(\mathbf{T})^*$ , where  $CTC^{-1} = (CT_1C^{-1}, \dots, CT_nC^{-1})$ , and  $\sigma(\mathbf{T})$ ,  $\sigma_{ja}(\mathbf{T})$  and  $\sigma_{jp}(\mathbf{T})$  are the Taylor spectrum, the joint approximate point spectrum and the joint point spectrum of  $\mathbf{T}$ , respectively.

For a commuting  $n$ -tuple  $\mathbf{T} = (T_1, \dots, T_n) \in B(\mathcal{H})^n$ , we explain the Taylor spectrum  $\sigma(\mathbf{T})$  of  $\mathbf{T}$  shortly. Let  $E^n$  be the exterior algebra on  $n$  generators, that is,  $E^n$  is the complex algebra with identity  $e$  generated by indeterminates  $e_1, \dots, e_n$ . Let  $E_k^n(\mathcal{H}) = \mathcal{H} \otimes E_k^n$ . Define  $d_k^n : E_k^n(\mathcal{H}) \rightarrow E_{k-1}^n(\mathcal{H})$  by

$$d_k^n(x \otimes e_{j_1} \wedge \dots \wedge e_{j_k}) := \sum_{i=1}^k (-1)^{i-1} T_{j_i} x \otimes e_{j_1} \wedge \dots \wedge \check{e}_{j_i} \wedge \dots \wedge e_{j_k},$$

where  $\check{e}_{j_i}$  means deletion. We denote  $d_k^n$  by  $d_k$  simply. We think Koszul complex  $E(\mathbf{T})$  of  $\mathbf{T}$  as follows:

$$(*) \quad E(\mathbf{T}) : 0 \rightarrow E_n(\mathcal{H}) \xrightarrow{d_n} E_{n-1}(\mathcal{H}) \xrightarrow{d_{n-1}} \dots \xrightarrow{d_2} E_1(\mathcal{H}) \xrightarrow{d_1} E_0(\mathcal{H}) \rightarrow 0.$$

It is easy to see that  $E_k^n(\mathcal{H}) \cong \mathcal{H} \oplus \dots \oplus \mathcal{H}$  ( $k = 1, \dots, n$ ) and  $\text{Im } d_j \subset \ker d_{j-1}$  ( $j = 2, \dots, n$ ). Koszul complex  $E(\mathbf{T})$  is said to be *exact* if  $\ker d_n = \{0\}$ ,  $\text{Im } d_j = \ker d_{j-1}$  for all  $j$  ( $j = 2, \dots, n$ ) and  $\text{Im } d_1 = \mathcal{H}$ .

**Definition 1.4.** A commuting  $n$ -tuple  $\mathbf{T} = (T_1, \dots, T_n) \in B(\mathcal{H})^n$  is said to be *nonsingular* if and only if the Koszul complex  $E(\mathbf{T})$  is exact.

**Definition 1.5.** For a commuting  $n$ -tuple  $\mathbf{T} = (T_1, \dots, T_n) \in B(\mathcal{H})^n$ ,  $z = (z_1, \dots, z_n) \notin \sigma(\mathbf{T})$  (Taylor spectrum) if  $\mathbf{T} - z = (T_1 - z_1, \dots, T_n - z_n)$  is nonsingular.

About the definition of the Taylor spectrum, see details J. L. Taylor [10] and [11].

The joint approximate point spectrum of  $\mathbf{T} = (T_1, \dots, T_n) \in B(\mathcal{H})^n$  is denoted by  $\sigma_{ja}(\mathbf{T})$ , i.e.,  $(z_1, \dots, z_n) \in \sigma_{ja}(\mathbf{T})$  if and only if there exists a sequence  $\{x_k\}$  of unit vectors such that

$$(T_j - z_j)x_k \rightarrow 0 \text{ as } k \rightarrow \infty \text{ for all } j = 1, \dots, n.$$

The joint point spectrum  $\sigma_{jp}(\mathbf{T})$  of  $\mathbf{T} = (T_1, \dots, T_n) \in B(\mathcal{H})^n$  is the set of all  $(z_1, \dots, z_n) \in \mathbb{C}^n$  which there exists a nonzero vector  $x$  such that  $(T_j - z_j)x = 0$  for all  $j = 1, \dots, n$ .

## 2. Taylor spectrum

First we need the following result by R. Curto [7].

**Proposition 2.1.** (pp.131-132, [7]) For a commuting  $n$ -tuple  $\mathbf{T} = (T_1, \dots, T_n) \in B(\mathcal{H})^n$ ,  $0 = (0, \dots, 0) \notin \sigma(\mathbf{T})$  if and only if

$$\alpha(\mathbf{T}) := \begin{pmatrix} d_1 & 0 & \dots & \dots \\ d_2^* & d_3 & \dots & \dots \\ 0 & d_4^* & \dots & \dots \\ \vdots & \vdots & \ddots & \ddots \end{pmatrix} \text{ is invertible on } \overbrace{\mathcal{H} \oplus \dots \oplus \mathcal{H}}^{2^{n-1}},$$

where  $d_k$  is the mapping of  $(*)$  ( $k = 1, 2, \dots, n$ ).

For an antiunitary  $C$  on  $\mathcal{H}$ , let  $CTC^{-1} = (CT_1C^{-1}, \dots, CT_nC^{-1})$ . If  $\mathbf{T} = (T_1, \dots, T_n)$  is a commuting  $n$ -tuple, then  $CTC^{-1}$  is also commuting  $n$ -tuple.

First we start the following lemma. Since a proof is easy, we omit it.

**Lemma 2.2.** Let  $T \in B(\mathcal{H})$  and  $C$  be antiunitary. Then  $(CTC^{-1})^* = CT^*C^{-1}$ .

**Lemma 2.3.** For a commuting  $n$ -tuple  $\mathbf{T} = (T_1, \dots, T_n) \in B(\mathcal{H})^n$  and any antiunitary  $C$ ,  $0 = (0, \dots, 0) \notin \sigma(\mathbf{T})$  if and only if  $0 = (0, \dots, 0) \notin \sigma(CTC^{-1})$ , where  $CTC^{-1} = (CT_1C^{-1}, \dots, CT_nC^{-1})$ .

*Proof.* It holds  $CT_iC^{-1} \cdot CT_jC^{-1} = CT_iT_jC^{-1}$  and  $(CT_iC^{-1})^* = CT_i^*C^{-1}$  by Lemma 2.2. Hence we have

$$\alpha(CTC^{-1}) = \begin{pmatrix} C & 0 & \cdots & \cdots & 0 \\ 0 & C & \cdots & \cdots & 0 \\ 0 & 0 & C & \cdots & \vdots \\ \vdots & \vdots & \ddots & \ddots & \vdots \\ 0 & 0 & \cdots & \cdots & C \end{pmatrix} \cdot \alpha(\mathbf{T}) \cdot \begin{pmatrix} C^{-1} & 0 & \cdots & \cdots & 0 \\ 0 & C^{-1} & \cdots & \cdots & 0 \\ 0 & 0 & C^{-1} & \cdots & \vdots \\ \vdots & \vdots & \ddots & \ddots & \vdots \\ 0 & 0 & \cdots & \cdots & C^{-1} \end{pmatrix}$$

on  $\underbrace{\mathcal{H} \oplus \cdots \oplus \mathcal{H}}_{2^{n-1}}$ . Since  $\tilde{C} = \underbrace{C \oplus \cdots \oplus C}_{2^{n-1}}$  is an antiunitary on  $\mathcal{H} \oplus \cdots \oplus \mathcal{H}$ , it holds that  $\alpha(\mathbf{T})$  is invertible if and only if  $\alpha(CTC^{-1})$  is invertible.  $\square$

**Theorem 2.4.** For a commuting  $n$ -tuple  $\mathbf{T} = (T_1, \dots, T_n) \in B(\mathcal{H})^n$  and any antiunitary  $C$ , it holds  $\sigma(CTC^{-1}) = \sigma(\mathbf{T})^*$ ,  $\sigma_{ja}(CTC^{-1}) = \sigma_{ja}(\mathbf{T})^*$  and  $\sigma_{jp}(CTC^{-1}) = \sigma_{jp}(\mathbf{T})^*$ , where  $E^* = \{\bar{z} = (\bar{z}_1, \dots, \bar{z}_n) : z \in E\} \subset \mathbb{C}^n$ .

*Proof.* It holds that  $(C(T_1 - z_1)C^{-1}, \dots, C(T_n - z_n)C^{-1}) = (CT_1C^{-1} - \bar{z}_1, \dots, CT_nC^{-1} - \bar{z}_n) = CTC^{-1} - \bar{z}$ , where  $z = (z_1, \dots, z_n) \in \mathbb{C}^n$ . Hence proof follows from Lemma 2.3.  $\square$

**Remark 2.5.** It does not need the commutativity for the joint approximate point spectrum and the joint point spectrum. Hence, for any  $n$ -tuple  $\mathbf{T} = (T_1, \dots, T_n) \in B(\mathcal{H})^n$  and any antiunitary  $C$ , it holds  $\sigma_{ja}(CTC^{-1}) = \sigma_{ja}(\mathbf{T})^*$  and  $\sigma_{jp}(CTC^{-1}) = \sigma_{jp}(\mathbf{T})^*$ .

### 3. Properties of joint approximate point spectra of commuting tuples

For a multi-index  $j = (j_1, \dots, j_n)$  and  $\mathbf{T} = (T_1, \dots, T_n) \in B(\mathcal{H})^n$ , we define  $|j| = j_1 + \cdots + j_n$ ,  $j! = j_1! \cdots j_n!$  and  $\mathbf{T}^j = T_1^{j_1} \cdots T_n^{j_n}$ .

**Definition 3.1.** For a commuting  $n$ -tuple  $\mathbf{T} = (T_1, \dots, T_n) \in B(\mathcal{H})^n$ , let  $\mathbf{T}^* = (T_1^*, \dots, T_n^*)$  and we define  $\mathcal{P}_m(\mathbf{T})$  by

$$\mathcal{P}_m(\mathbf{T}) = \sum_{k=0}^m (-1)^k \binom{m}{k} \left( \sum_{|j|=k} \frac{k!}{j!} \mathbf{T}^{*j} \cdot \mathbf{T}^j \right).$$

$\mathbf{T} = (T_1, \dots, T_n)$  is said to be an  $m$ -isometric tuple if  $\mathcal{P}_m(\mathbf{T}) = 0$ .

Then in [8] J. Gleason and S. Richter proved the following result.

**Proposition 3.2.** (Lemma 3.2, [8])

Let  $\mathbf{T} = (T_1, \dots, T_n) \in B(\mathcal{H})^n$  be an  $m$ -isometric tuple. If  $z = (z_1, \dots, z_n) \in \sigma_{ja}(\mathbf{T})$ , then  $|z|^2 = |z_1|^2 + \cdots + |z_n|^2 = 1$ .

We introduce  $m$ -symmetric tuples as follows.

**Definition 3.3.** Let, for commuting  $n$ -tuple  $\mathbf{T} = (T_1, \dots, T_n) \in B(\mathcal{H})^n$  and  $A \in B(\mathcal{H})$ ,

$$\mathcal{S}_{\mathbf{T}}(A) := (T_1 + \dots + T_n)^* A - A(T_1 + \dots + T_n).$$

An  $n$ -tuple  $\mathbf{T} = (T_1, \dots, T_n) \in B(\mathcal{H})^n$  is said to be an  $m$ -symmetric tuple if

$$\mathcal{S}_{\mathbf{T}}^m(I) = 0.$$

Then it holds

$$\mathcal{S}_{\mathbf{T}}^m(I) = \sum_{j=0}^m (-1)^j \binom{m}{j} (T_1^* + \dots + T_n^*)^{m-j} (T_1 + \dots + T_n)^j.$$

We define a  $m$ -complex symmetric tuple and skew  $m$ -complex symmetric tuple as follows:

**Definition 3.4.** For a commuting  $n$ -tuple  $\mathbf{T} = (T_1, \dots, T_n) \in B(\mathcal{H})^n$  and antiunitary  $C$ , we define  $r_m(\mathbf{T}; C)$  and  $\mathcal{R}_m(\mathbf{T}; C)$  by

$$r_m(\mathbf{T}; C) := \sum_{j=0}^m (-1)^j \binom{m}{j} (T_1^* + \dots + T_n^*)^{m-j} (CT_1 C^{-1} + \dots + CT_n C^{-1})^j$$

and

$$\mathcal{R}_m(\mathbf{T}; C) = \sum_{j=0}^m \binom{m}{j} (T_1^* + \dots + T_n^*)^{m-j} (CT_1 C^{-1} + \dots + CT_n C^{-1})^j.$$

A commuting  $n$ -tuple  $\mathbf{T} = (T_1, \dots, T_n)$  is said to be a  $m$ -complex symmetric tuple and a skew  $m$ -complex symmetric tuple with an antiunitary  $C$  if  $r_m(\mathbf{T}; C) = 0$  and  $\mathcal{R}_m(\mathbf{T}; C) = 0$ , respectively.

**Theorem 3.5.** Let  $\mathbf{T} = (T_1, \dots, T_n)$  be a commuting  $n$ -tuple.

- (1) If  $\mathbf{T}$  is an  $m$ -complex symmetric tuple with antiunitary  $C$  and  $(z_1, \dots, z_n) \in \sigma_{ja}(\mathbf{T})$ , then  $(\overline{z_1} + \dots + \overline{z_n})$  belongs to the approximate point spectrum of  $T_1^* + \dots + T_n^*$ . Hence if  $(z_1, \dots, z_n) \in \sigma_{jp}(\mathbf{T})$ , then  $(\overline{z_1} + \dots + \overline{z_n}) \in \sigma_p(T_1^* + \dots + T_n^*)$ .
- (2) If  $\mathbf{T}$  is a skew  $m$ -complex symmetric tuple with antiunitary  $C$  and  $(z_1, \dots, z_n) \in \sigma_{ja}(\mathbf{T})$ , then  $-(\overline{z_1} + \dots + \overline{z_n})$  belongs to the approximate point spectrum of  $T_1^* + \dots + T_n^*$ . Hence if  $(z_1, \dots, z_n) \in \sigma_{jp}(\mathbf{T})$ , then  $-(\overline{z_1} + \dots + \overline{z_n}) \in \sigma_p(T_1^* + \dots + T_n^*)$ .

*Proof.* Let  $\{x_k\}$  be a sequence of unit vectors such that

$$(T_j - z_j)x_k \longrightarrow 0 \text{ as } k \rightarrow \infty \text{ for all } j = 1, \dots, n.$$

Then it holds  $(**)$   $CT_j^{k_j} C^{-1} Cx_k = C(T_j^{k_j} - z_j^{k_j})x_k + \overline{z_j^{k_j}} C_j x_k$  for any  $j$  and  $k_j$ .

(1) If  $\mathbf{T}$  is an  $m$ -complex symmetric tuple with antiunitary  $C$ , by  $(**)$  we have

$$\begin{aligned} 0 &= \lim_{k \rightarrow \infty} \left\| \left( \sum_{j=0}^m (-1)^j \binom{m}{j} (T_1^* + \dots + T_n^*)^{m-j} (CT_1 C^{-1} + \dots + CT_n C^{-1})^j \right) Cx_k \right\| \\ &= \lim_{k \rightarrow \infty} \left\| \left( (T_1^* + \dots + T_n^*) - (\overline{z_1} + \dots + \overline{z_n}) \right)^m Cx_k \right\|. \end{aligned}$$

Since  $\{Cx_k\}$  is a sequence of unit vectors,  $\overline{z_1} + \dots + \overline{z_n}$  belongs to the approximate point spectrum of  $T_1^* + \dots + T_n^*$ . In the case of the joint point spectrum, it is clear.

(2) If  $\mathbf{T}$  is a skew  $m$ -complex symmetric tuple with antiunitary  $C$ , it holds

$$0 = \lim_{k \rightarrow \infty} \left\| \left( \sum_{j=0}^m \binom{m}{j} (T_1^* + \dots + T_n^*)^{m-j} (CT_1 C^{-1} + \dots + CT_n C^{-1})^j \right) Cx_k \right\|$$

$$= \lim_{k \rightarrow \infty} \left\| \left( (T_1^* + \cdots + T_n^*) + (\overline{z_1} + \cdots + \overline{z_n}) \right)^m Cx_k \right\|.$$

Similarly, we have  $-(\overline{z_1} + \cdots + \overline{z_n})$  belongs to the approximate point spectrum of  $T_1^* + \cdots + T_n^*$ . It is clear for eigenvalue case.  $\square$

Next we define an  $[m, C]$ -symmetric tuple and a skew  $[m, C]$ -symmetric tuple as follows:

**Definition 3.6.** For a commuting  $n$ -tuple  $\mathbf{T} = (T_1, \dots, T_n) \in B(\mathcal{H})^n$  and an antiunitary  $C$ , we define  $w_m(\mathbf{T}; C)$  and  $\mathcal{W}_m(\mathbf{T}; C)$  by

$$w_m(\mathbf{T}; C) = \sum_{j=0}^m (-1)^j \binom{m}{j} (CT_1C^{-1} + \cdots + CT_nC^{-1})^{m-j} (T_1 + \cdots + T_n)^j$$

and

$$\mathcal{W}_m(\mathbf{T}; C) = \sum_{j=0}^m \binom{m}{j} (CT_1C^{-1} + \cdots + CT_nC^{-1})^{m-j} (T_1 + \cdots + T_n)^j.$$

A commuting  $n$ -tuple  $\mathbf{T} = (T_1, \dots, T_n)$  is said to be an  $[m, C]$ -symmetric tuple and a skew  $[m, C]$ -symmetric tuple with antiunitary  $C$  if  $w_m(\mathbf{T}; C) = 0$  and  $\mathcal{W}_m(\mathbf{T}; C) = 0$ , respectively.

**Theorem 3.7.** Let  $\mathbf{T} = (T_1, \dots, T_n) \in B(\mathcal{H})^n$  be a commuting  $n$ -tuple.

- (1) If  $\mathbf{T}$  is an  $[m, C]$ -symmetric tuple with antiunitary  $C$  and  $(z_1, \dots, z_n) \in \sigma_{ja}(\mathbf{T})$ , then  $(\overline{z_1} + \cdots + \overline{z_n})$  belongs to the approximate point spectrum of  $T_1 + \cdots + T_n$ . Hence, if  $(z_1, \dots, z_n) \in \sigma_{jp}(\mathbf{T})$ , then  $(\overline{z_1} + \cdots + \overline{z_n}) \in \sigma_p(T_1 + \cdots + T_n)$ .
- (2) If  $\mathbf{T}$  is a skew  $[m, C]$ -symmetric tuple with antiunitary  $C$  and  $(z_1, \dots, z_n) \in \sigma_{ja}(\mathbf{T})$ , then  $-(\overline{z_1} + \cdots + \overline{z_n})$  belongs to the approximate point spectrum of  $T_1 + \cdots + T_n$ . Hence, if  $(z_1, \dots, z_n) \in \sigma_{jp}(\mathbf{T})$ , then  $-(\overline{z_1} + \cdots + \overline{z_n}) \in \sigma_p(T_1 + \cdots + T_n)$ .

*Proof.* Let  $\{x_k\}$  be a sequence of unit vectors such that

$$(T_j - z_j)x_k \longrightarrow 0 \text{ as } k \rightarrow \infty \text{ for all } j = 1, \dots, n.$$

- (1) If  $\mathbf{T}$  is an  $[m, C]$ -symmetric tuple with antiunitary  $C$ , we have

$$\begin{aligned} 0 &= \lim_{k \rightarrow \infty} \left\| \left( \sum_{j=0}^m (-1)^j \binom{m}{j} (CT_1C^{-1} + \cdots + CT_nC^{-1})^{m-j} (T_1 + \cdots + T_n)^j \right) x_k \right\| \\ &= \lim_{k \rightarrow \infty} \left\| \left( (CT_1C^{-1} + \cdots + CT_nC^{-1}) - (z_1 + \cdots + z_n) \right)^m x_k \right\|. \end{aligned}$$

Hence  $z_1 + \cdots + z_n$  belongs to the approximate point spectrum of  $CT_1C^{-1} + \cdots + CT_nC^{-1} = C(T_1 + \cdots + T_n)C^{-1}$  and therefore, by Lemma 3.21 of [9], we have  $\overline{z_1} + \cdots + \overline{z_n} \in \sigma_a(T_1 + \cdots + T_n)$ . In the case of the joint point spectrum, it is clear.

- (2) If  $\mathbf{T}$  is skew  $[m, C]$ -symmetric with antiunitary  $C$ , it holds

$$\begin{aligned} 0 &= \lim_{k \rightarrow \infty} \left\| \left( \sum_{j=0}^m \binom{m}{j} (CT_1C^{-1} + \cdots + CT_nC^{-1})^{m-j} (T_1 + \cdots + T_n)^j \right) x_k \right\| \\ &= \lim_{k \rightarrow \infty} \left\| \left( (CT_1C^{-1} + \cdots + CT_nC^{-1}) + (z_1 + \cdots + z_n) \right)^m x_k \right\|. \end{aligned}$$

Therefore we have  $-(z_1 + \cdots + z_n) \in \sigma_a(CT_1C^{-1} + \cdots + CT_nC^{-1}) = \sigma(C(T_1 + \cdots + T_n)C^{-1})$ . By Lemma 3.21 of [9], we have  $-(\overline{z_1} + \cdots + \overline{z_n}) \in \sigma_a(T_1 + \cdots + T_n)$ . It is clear in the eigenvalue case.  $\square$

#### 4. Xia spectra of doubly commuting tuples

In this section, for a doubly commuting  $n$ -tuple  $\mathbf{T} = (T_1, \dots, T_n)$  and antiunitary  $C$  we will study relation between Xia spectra of  $\mathbf{T} = (T_1, \dots, T_n)$  and  $\mathbf{CTC}^{-1} = (CT_1C^{-1}, \dots, CT_nC^{-1})$ . We assume that the polar decomposition of every operator  $T_j$  has the form  $T_j = U_j|T_j|$  such that  $U_j$  is unitary ( $j = 1, \dots, n$ ). We start the following lemma.

**Lemma 4.1.** *Let  $U$  be unitary and  $C$  be antiunitary. Then  $CUC^{-1}$  is unitary.*

*Proof.* By Lemma 2.2, it holds  $(CUC^{-1})^* = CU^*C^{-1}$ . Hence we have

$$(CUC^{-1})^*CUC^{-1} = CU^*C^{-1}CUC^{-1} = CU^*UC^{-1} = I.$$

and  $CUC^{-1}(CU^{-1})^* = CUC^{-1}CU^*C^{-1} = I$ . Hence  $CUC^{-1}$  is unitary.  $\square$

Therefore, if  $T = U|T|$  is the polar decomposition of  $T$ , then  $CTC^{-1} = CUC^{-1}|CTC^{-1}| = CUC^{-1} \cdot C|T|C^{-1}$  is the polar decomposition of  $CTC^{-1}$ , because  $(CTC^{-1})^*CTC^{-1} = C|T|^2C^{-1} = (C|T|C^{-1})^2$ .

D. Xia introduced the Xia spectrum of a commuting  $(n+1)$ -tuple of operators as follows (see Xia [13]).

**Definition 4.2.** *For an operator  $T \in B(\mathcal{H})$ ,  $T$  is said to be semi-hyponormal if  $|T| \geq |T^*|$ .*

**Lemma 4.3.** *Let  $T = U|T|$  be semi-hyponormal with unitary  $U$ . Then  $CTC^{-1}$  is semi-hyponormal with unitary  $CUC^{-1}$ .*

*Proof.* It is easy to see that  $|CTC^{-1}| = C|T|C^{-1}$  and  $|(CTC^{-1})^*| = C|T^*|C^{-1}$ . Hence we have

$$|CTC^{-1}| - |(CTC^{-1})^*| = C(|T| - |T^*|)C^{-1} \geq 0.$$

Hence  $CTC^{-1}$  is semi-hyponormal with unitary  $CUC^{-1}$ .  $\square$

Let  $\mathbf{U} = (U_1, \dots, U_n)$  be an  $n$ -tuple of unitary operators. For  $T \in B(\mathcal{H})$ , an operator  $\mathbf{Q}_j$  ( $j = 1, \dots, n$ ) on  $B(\mathcal{H})$  is defined by

$$\mathbf{Q}_j T := T - U_j T U_j^*.$$

**Definition 4.4.** *Let  $\mathbf{U} = (U_1, \dots, U_n)$  be a commuting  $n$ -tuple of unitary operators and  $A \geq 0$ . An  $(n+1)$ -tuple  $(\mathbf{U}, A)$  is said to be a semi-hyponormal tuple if*

$$\mathbf{Q}_{j_1} \cdots \mathbf{Q}_{j_m} A \geq 0 \text{ for all } 1 \leq j_1 < \cdots < j_m \leq n.$$

Let  $\mathbf{U} = (U_1, \dots, U_n)$  be an  $n$ -tuple of unitary operators and  $T \in B(\mathcal{H})$ . If

$$\mathcal{S}_j^\pm(T) := s\text{-}\lim_{n \rightarrow \pm\infty} (U_j^{-n} T U_j^n)$$

exist, then the operator  $\mathcal{S}_j^\pm(T)$  are called the polar symbols of  $T$ . If  $U_j|A|$  is semi-hyponormal, then the polar symbols  $\mathcal{S}_j^\pm(T)$  exist.

For  $k \in [0, 1]$  and  $A \geq 0$ , we denote

$$(k\mathcal{S}_j^+ + (1-k)\mathcal{S}_j^-)A := k\mathcal{S}_j^+(A) + (1-k)\mathcal{S}_j^-(A).$$

By the definition of  $\mathcal{S}_j^\pm(A)$ , it is clear that  $(k\mathcal{S}_j^+ + (1-k)\mathcal{S}_j^-)A \geq 0$  for all  $k \in [0, 1]$ .

Let  $\mathbf{k} = (k_1, \dots, k_n) \in [0, 1]^n$  and  $(\mathbf{U}, A)$  be a semi-hyponormal tuple. Then the generalized polar symbols  $A_{\mathbf{k}}$  of  $A$  are defined by

$$A_{\mathbf{k}} := \prod_{j=1}^n (k_j \mathcal{S}_j^+ + (1-k_j) \mathcal{S}_j^-) A.$$

Since  $A \geq 0$ , it holds  $A_{\mathbf{k}} \geq 0$  for all  $\mathbf{k} \in [0, 1]^n$ . Hence since  $(\mathbf{U}, A_{\mathbf{k}})$  is a commuting  $(n+1)$ -tuple of normal operators for every  $\mathbf{k} \in [0, 1]^n$ , we have  $\sigma_{ja}(\mathbf{U}, A_{\mathbf{k}}) \neq \emptyset$ .

**Definition 4.5.** Let  $(\mathbf{U}, A)$  be a semi-hyponormal tuple. The the Xia spectrum  $\sigma_X(\mathbf{U}, A)$  is defined by

$$\sigma_X(\mathbf{U}, A) := \bigcup_{\mathbf{k} \in [0,1]^n} \sigma_{ja}(\mathbf{U}, A_{\mathbf{k}}).$$

**Proposition 4.6.** (Theorem 5, Xia [13]) Let  $(\mathbf{U}, A)$  be a semi-hyponormal tuple. Then

$$\|\mathbf{Q}_1 \cdots \mathbf{Q}_n A\| \leq \frac{1}{(2\pi)^n} \int \cdots \int_{\sigma_X(\mathbf{U}, A)} d\theta_1 \cdots d\theta_n dr.$$

Let  $\mathbf{T} = (T_1, \dots, T_n)$  be a doubly commuting  $n$ -tuple of semi-hyponormal operators. When every  $U_j$  is unitary of the polar decomposition  $T_j = U_j|T_j|$  of  $T_j$  ( $j = 1, \dots, n$ ), let  $\mathbf{U} = (U_1, \dots, U_n)$  and  $A = \prod_{j=1}^n |T_j|$ . It is easy to see that

$$\mathbf{Q}_{j_1} \cdots \mathbf{Q}_{j_m} A = (\prod_{j \neq j_k} |T_j|) \cdot \prod_{k=1}^m (|T_{j_k}| - |T_{j_k}^*|).$$

Hence, since  $(\prod_{j \neq j_k} |T_j|) \cdot \prod_{k=1}^m (|T_{j_k}| - |T_{j_k}^*|)$  is a positive operator,  $(\mathbf{U}, A)$  is a semi-hyponormal tuple. See Xia [13]. Hence, we have the following corollary.

**Corollary 4.7.** Let  $\mathbf{T} = (T_1, \dots, T_n)$  be a doubly commuting  $n$ -tuple of semi-hyponormal operators with unitary  $U_j$  ( $j = 1, \dots, n$ ). Then

$$\|\prod_{j=1}^n (|T_j| - |T_j^*|)\| \leq \frac{1}{(2\pi)^n} \int \cdots \int_{\sigma_X(\mathbf{U}, A)} d\theta_1 \cdots d\theta_n dr,$$

where  $\mathbf{U} = (U_1, \dots, U_n)$  and  $A = \prod_{j=1}^n |T_j|$ .

**Definition 4.8.** Let  $\mathbf{T} = (T_1, \dots, T_n)$  be a doubly commuting  $n$ -tuple of semi-hyponormal operators with unitary  $U_j$  ( $j = 1, \dots, n$ ). Then the Xia spectrum  $\sigma_X(\mathbf{T})$  of  $\mathbf{T}$  is defined by  $\sigma_X(\mathbf{T}) := \sigma_X(\mathbf{U}, A)$ , where  $\mathbf{U} = (U_1, \dots, U_n)$  and  $A = \prod_{j=1}^n |T_j|$ .

For antiunitary  $C$  and a doubly commuting  $n$ -tuple  $\mathbf{T} = (T_1, \dots, T_n)$  of semi-hyponormal operators with unitary  $U_j$  ( $j = 1, \dots, n$ ), let  $\mathbf{CTC}^{-1} := (CT_1C^{-1}, \dots, CT_nC^{-1})$ . Then by Lemma 4.3, it holds that  $\mathbf{CTC}^{-1}$  is a doubly commuting  $n$ -tuple of semi-hyponormal operators.

**Theorem 4.9.** Let  $(\mathbf{U}, A)$  be a semi-hyponormal tuple and  $C$  be antiunitary. Then  $\sigma_X(\mathbf{CTC}^{-1}) = \{\bar{z} : z \in \sigma_X(\mathbf{T})\}$ .

*Proof.* Let  $\mathbf{U} = (U_1, \dots, U_n)$ ,  $A = |T_1| \cdots |T_n|$ ,  $\mathbf{V} = (CU_1C^{-1}, \dots, CU_nC^{-1})$  and  $B = C|T_1|C^{-1} \cdots C|T_n|C^{-1} = CAC^{-1}$ . Then by the definition of the Xia spectrum it holds

$$\sigma_X(\mathbf{T}) = \bigcup_{\mathbf{k} \in [0,1]^n} \sigma_{ja}(\mathbf{U}, A_{\mathbf{k}}) \text{ and } \sigma_X(\mathbf{CTC}^{-1}) = \bigcup_{\mathbf{k} \in [0,1]^n} \sigma_{ja}(\mathbf{V}, B_{\mathbf{k}}).$$

Hence, we will show that  $\sigma_{ja}(\mathbf{V}, B_{\mathbf{k}}) = \{\bar{z} : z \in \sigma_{ja}(\mathbf{U}, A_{\mathbf{k}})\}$  for all  $\mathbf{k} = (k_1, \dots, k_n) \in [0,1]^n$ . Since, for any  $\mathbf{k} = (k_1, \dots, k_n) \in [0,1]^n$ , it holds

$$(\mathbf{V}, B_{\mathbf{k}}) = C(\mathbf{U}, A_{\mathbf{k}})C^{-1},$$

by Remark 2.5, we have

$$\sigma_{ja}(\mathbf{V}, B_{\mathbf{k}}) = \{\bar{z} : z \in \sigma_{ja}(\mathbf{U}, A_{\mathbf{k}})\}.$$

It completes the proof.  $\square$

**Remark 4.10.** For a semi-hyponormal opertaor  $T = U|T| \in B(\mathcal{H})$  with unitary  $U$ , since it holds  $A = |T|$ , the Xia spectrum  $\sigma_X(T)$  of  $T$  is defined by  $\sigma_X(T) := \bigcup_{0 \leq k \leq 1} \sigma_{ja}(U, |T|_k)$  and it holds  $\sigma(T) = \{ae^{i\theta} : (e^{i\theta}, a) \in \sigma_X(T)\}$ . See [5].

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