



## Note on the rigidity of graphs

Long Jin<sup>a</sup>, Jianxi Li<sup>a,\*</sup>, Peng Huang<sup>b</sup>

<sup>a</sup>*School of Mathematics and Statistics, Minnan Normal University, Zhangzhou, Fujian, P.R. China*

<sup>b</sup>*School of Mathematics and Statistics, Nantong University, Nantong, Jiansu, P.R. China*

**Abstract.** It is of interest to look for the sufficient conditions for the rigidity of a graph. Fan, Huang and Lin (2023) recently studied the rigidity of a graph from the perspective of its spectral radius of the adjacency matrix and established a sufficient condition involving the spectral radius to ensure a 2-connected (or a 3-connected) graph  $G$  with a fixed minimum degree to be rigid (or globally rigid). In this note, we establish a similar condition which relates  $\lambda_1^\alpha(G)$ , the spectral radius of the matrix  $A_\alpha(G) := \alpha D(G) + (1 - \alpha)A(G)$ , where  $\alpha \in (0, 1)$ ,  $A(G)$  and  $D(G)$  are the adjacency matrix and the diagonal degree matrix of  $G$ , respectively.

### 1. Introduction

For an undirected simple graph  $G = (V(G), E(G))$ , let  $p : V(G) \rightarrow \mathbb{R}^d$  be a mapping that assigns a point in  $\mathbb{R}^d$  to each vertex of  $G$ . The pair  $(G, p)$  is referred to as a  $d$ -dimensional *bar-and-joint framework*. Two frameworks  $(G, p)$  and  $(G, q)$  are said to be *equivalent* if  $\|p(u) - p(v)\| = \|q(u) - q(v)\|$  for every  $uv \in E(G)$  and are said to be *congruent* if  $\|p(u) - p(v)\| = \|q(u) - q(v)\|$  for any  $u, v \in V(G)$ , where  $\|\cdot\|$  is the Euclidean norm in  $\mathbb{R}^d$ . A framework  $(G, p)$  is said to be *generic* if the coordinates of its points are algebraically independent over  $\mathbb{Q}$ . A framework  $(G, p)$  is said to be *rigid* in  $\mathbb{R}^d$  if there exists  $\varepsilon > 0$  such that any framework  $(G, q)$  that is equivalent to  $(G, p)$  and satisfies  $\|p(u) - q(u)\| < \varepsilon$  for  $u \in V(G)$  must be congruent to  $(G, p)$ . A generic framework  $(G, p)$  is rigid in  $\mathbb{R}^d$  if and only if every generic framework of  $G$  is rigid in  $\mathbb{R}^d$ . A graph  $G$  is *rigid* in  $\mathbb{R}^d$  if every/some generic framework of  $G$  is rigid in  $\mathbb{R}^d$ , and is *redundantly rigid* in  $\mathbb{R}^d$  if  $G - e$  is rigid in  $\mathbb{R}^d$  for every  $e \in E(G)$ . Moreover, a graph  $G$  is *globally rigid* in  $\mathbb{R}^d$  if there exists a globally rigid generic framework  $(G, p)$  in  $\mathbb{R}^d$ . For more information on rigid and generic framework can be found in [1]. The problem of determining whether a graph  $G$  is rigid (or globally rigid) in  $\mathbb{R}^d$  is interesting and received a lot attentions [9–12]. Hendrickson [8] established that any globally rigid graph in  $\mathbb{R}^d$  with a minimum of  $d + 2$  vertices is  $(d + 1)$ -connected and redundantly rigid. Consequently, it becomes imperative to impose the condition that  $G$  is 3-connected when examining the global rigidity of  $G$  in  $\mathbb{R}^2$ .

Recently, Fan, Huang and Lin [4] studied the the rigidity of a graph in  $\mathbb{R}^2$  from its eigenvalues of the adjacency matrix and proposed the following problem:

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\* Corresponding author: Jianxi Li

*Email addresses*: jinlongmn@126.com (Long Jin), ptjxli@hotmail.com (Jianxi Li), phuang@ntu.edu.cn (Peng Huang)

ORCID iDs: <https://orcid.org/0009-0000-4260-2307> (Long Jin), <https://orcid.org/0000-0001-7034-9062> (Jianxi Li), <https://orcid.org/0000-0002-4499-3847> (Peng Huang)

**Problem 1.1.** Which spectral conditions can guarantee that a graph is rigid or globally rigid in  $\mathbb{R}^2$ ?

For  $\alpha \in [0, 1]$ , the  $A_\alpha(G)$ -matrix of a graph  $G$  was defined in [13] as

$$A_\alpha(G) = \alpha D(G) + (1 - \alpha)A(G),$$

where  $A(G)$  and  $D(G)$  are the adjacency matrix and the diagonal degree matrix of  $G$ , respectively. In particular,  $A_0(G) = A(G)$ ,  $A_{1/2}(G) = \frac{1}{2}Q(G)$  and  $A_1(G) = D(G)$ , where  $Q(G) = D(G) + A(G)$  is the signless Laplacian matrix of  $G$ . Since  $A_\alpha(G)$  is a real symmetric matrix, it follows that all of its eigenvalues are real. Moreover, the matrix  $A_\alpha(G)$  is irreducible when  $G$  is connected. Consequently, the largest eigenvalue of  $A_\alpha(G)$  is the spectral radius of  $A_\alpha(G)$ , also called the  $A_\alpha$ -spectral radius of  $G$ , denoted by  $\lambda_1^\alpha(G)$ .

Let  $K_n$  be the complete graph of order  $n$ , and  $B_{n,n_1}^k$  be the graph obtained from  $K_{n_1} \cup K_{n-n_1}$  by adding  $k$  independent edges (with no common endvertex) between  $K_{n_1}$  and  $K_{n-n_1}$ . Fan, Huang and Lin [4] provided the following conditions involving the spectral radius ( $\lambda_1^0(G)$ ) for the rigidity (or the globally rigid) of a 2-connected graph (or a 3-connected graph):

**Theorem 1.2 ([4]).** Let  $G$  be a 2-connected graph of order  $n \geq 2\delta + 4$ , where  $\delta \geq 6$  is the minimum degree of  $G$ . If  $\lambda_1^0(G) \geq \lambda_1^0(B_{n,\delta+1}^2)$ , then  $G$  is rigid unless  $G \cong B_{n,\delta+1}^2$ .

**Theorem 1.3 ([4]).** Let  $G$  be a 3-connected graph of order  $n \geq 2\delta + 4$ , where  $\delta \geq 6$  is the minimum degree of  $G$ . If  $\lambda_1^0(G) \geq \lambda_1^0(B_{n,\delta+1}^3)$ , then  $G$  is globally rigid unless  $G \cong B_{n,\delta+1}^3$ .

It is natural and interesting to know whether the above mentioned results can be deduced from the conditions involving  $\lambda_1^\alpha(G)$  for  $\alpha \in [0, 1]$ . In this note, we extend their conditions to  $\lambda_1^\alpha(G)$  for  $\alpha \in (0, 1)$ . Our results can be read as follows:

**Theorem 1.4.** Let  $G$  be a 2-connected graph of order  $n$  with the maximum degree  $\Delta$  and the minimum degree  $\delta \geq 6$ . For  $\alpha \in (0, 1)$ ,

$$\Delta < \min \left\{ n^2 - 24n + 170 + \frac{3n - 36}{\alpha}, n^2 - 21n + 116 + \frac{13}{\alpha}, n^2 - 21n + 130 + \frac{4}{\alpha} \right\}$$

and

$$n \geq \max \left\{ 2\delta + 4, \left\lceil \frac{-g + \sqrt{g^2 - 4(1-\alpha)h_1}}{2(1-\alpha)} \right\rceil + 1 \right\},$$

where

$$g = (\alpha^2 + \alpha - 2)\delta + 2\alpha(\alpha - 1) \text{ and } h_1 = (1 - \alpha^2)\delta^2 + 2\alpha(1 - \alpha)\delta - 4\alpha^3 + 3\alpha^2 + 2\alpha - 1,$$

if  $\lambda_1^\alpha(G) \geq \lambda_1^\alpha(B_{n,\delta+1}^2)$ , then  $G$  is rigid unless  $G \cong B_{n,\delta+1}^2$ .

**Theorem 1.5.** Let  $G$  be a 3-connected graph of order  $n$  with the maximum degree  $\Delta$  and the minimum degree  $\delta \geq 6$ . For  $\alpha \in (0, 1)$ ,

$$\Delta < \min \left\{ n^2 - 24n + 170 + \frac{3n - 36}{\alpha}, n^2 - 21n + 116 + \frac{13}{\alpha}, n^2 - 21n + 130 + \frac{4}{\alpha} \right\}$$

and

$$n \geq \max \left\{ 2\delta + 4, \left\lceil \frac{-g + \sqrt{g^2 - 4(1-\alpha)h_2}}{2(1-\alpha)} \right\rceil + 1 \right\},$$

where

$$g = (\alpha^2 + \alpha - 2)\delta + 2\alpha(\alpha - 1) \text{ and } h_2 = (1 - \alpha^2)\delta^2 + 2\alpha(1 - \alpha)\delta - 6\alpha^3 + 5\alpha^2 + 2\alpha - 1,$$

if  $\lambda_1^\alpha(G) \geq \lambda_1^\alpha(B_{n,\delta+1}^3)$ , then  $G$  is globally rigid unless  $G \cong B_{n,\delta+1}^3$ .

The remainder of this note is organized as follows: Section 2 includes some necessary preliminaries. By adopting the somewhat similar strategy which was used in [4], we provide the proofs of Theorems 1.4 and 1.5 in Section 3. The last section includes some concluding remarks.

## 2. Preliminary

Given a partition  $\pi = (X_1, X_2, \dots, X_k)$  of the set  $\{1, 2, \dots, n\}$  and a matrix  $M$  whose rows and columns are labeled with elements in  $\{1, 2, \dots, n\}$ , then  $M$  can be expressed as the following partitioned matrix

$$M = \begin{bmatrix} M_{1,1} & M_{1,2} & \cdots & M_{1,k} \\ M_{2,1} & M_{2,2} & \cdots & M_{2,k} \\ \vdots & \vdots & \ddots & \vdots \\ M_{k,1} & M_{k,2} & \cdots & M_{k,k} \end{bmatrix} \text{ with respect to } \pi. \text{ The quotient matrix } M_\pi \text{ of } M \text{ with respect to } \pi \text{ is the } k \times k$$

matrix  $(m_{ij})$  such that  $m_{ij}$  is the average value of all row sums of  $M_{i,j}$ . The partition  $\pi$  is *equitable* if each block  $M_{i,j}$  of  $M$  has constant row sum  $m_{ij}$ . Also, we say that the quotient matrix  $M_\pi$  is equitable if  $\pi$  is an equitable partition of  $M$ .

**Lemma 2.1 ([2, 5]).** Let  $M$  be a real symmetric matrix and  $\lambda(M)$  be its largest eigenvalue. If  $M_\pi$  is an equitable quotient matrix of  $M$ , then the eigenvalues of  $M_\pi$  are also eigenvalues of  $M$ . Furthermore, if  $M$  is nonnegative and irreducible, then  $\lambda(M) = \lambda(M_\pi)$ .

**Lemma 2.2 ([13]).** If  $H$  is a proper subgraph of a connected graph  $G$ , then for  $\alpha \in [0, 1]$ , we have  $\lambda_1^\alpha(G) > \lambda_1^\alpha(H)$ .

Recall that  $B_{n,n_1}^k$  is the graph obtained from  $K_{n_1} \cup K_{n-n_1}$  by adding  $k$  independent edges between  $K_{n_1}$  and  $K_{n-n_1}$ .

**Lemma 2.3.** For  $\alpha \in (0, 1)$ , let  $k \geq 1, b \geq k + 1$  and  $n > \max \left\{ 2b + 1, \frac{-g + \sqrt{g^2 - 4(1-\alpha)h}}{2(1-\alpha)} \right\}$ , where  $g = (\alpha^2 + \alpha - 2)b + 2\alpha(\alpha - 1)$  and  $h = (1 - \alpha^2)b^2 + 2\alpha(1 - \alpha)b - 2k\alpha^3 + (2k - 1)\alpha^2 + 2\alpha - 1$ . Then we have  $\lambda_1^\alpha(B_{n,b+1}^k) < \lambda_1^\alpha(B_{n,b}^k)$ .

*Proof.* Since  $B_{n,b}^k$  contains  $K_{n-b}$  as a proper subgraph, by Lemma 2.2, we have

$$\lambda_1^\alpha(B_{n,b}^k) > \lambda_1^\alpha(K_{n-b}) = n - b - 1.$$

Note that  $A_\alpha(B_{n,b}^k)$  has an equitable quotient matrix as

$$M_\pi^b = \begin{bmatrix} ab + (1 - \alpha)(k - 1) & (1 - \alpha)(b - k) & 1 - \alpha & 0 \\ (1 - \alpha)k & ak + b - k - 1 & 0 & 0 \\ 1 - \alpha & 0 & \alpha(n - b) + (1 - \alpha)(k - 1) & (1 - \alpha)(n - b - k) \\ 0 & 0 & (1 - \alpha)k & ak + n - b - k - 1 \end{bmatrix},$$

and its characteristic polynomial is

$$\begin{aligned} & f(M_\pi^b, x) \\ &= (1 - \alpha)^2 k(b + k - n) \{x^2 + [2 - \alpha - (1 + \alpha)b]x + \alpha(ak - k - 1) + \alpha b^2 - b + 1\} \\ & \quad - (x + k - ak + b - n + 1) \{ (1 - \alpha)^2 (x + 1 - b + k(1 - \alpha)) \\ & \quad + [(1 - \alpha)(k - 1) + \alpha(n - b) - x] [x^2 + (2 - \alpha - (1 + \alpha)b)x + \alpha(ak - k - 1) + \alpha b^2 - b + 1] \}. \end{aligned}$$

Similarly,  $A_\alpha(B_{n,b+1}^k)$  has an equitable quotient matrix  $M_\pi^{b+1}$ , substituting  $b$  with  $b + 1$  in  $M_\pi^b$ , we then have

$$\begin{aligned} & f(M_\pi^{b+1}, x) - f(M_\pi^b, x) \\ &= (n - 2b - 1) \times \\ & \quad \{ (1 + \alpha^2)x^2 + [(2 - n)\alpha^2 - (2 + n)\alpha + 2]x - 2k\alpha^3 - [2b(b - n + 1) - 2k - n]\alpha^2 - n\alpha \}. \end{aligned}$$

As  $n > 2b + 1$ , so  $n - 2b - 1 > 0$ . Let

$$f(x) = (1 + \alpha^2)x^2 + [(2 - n)\alpha^2 - (2 + n)\alpha + 2]x - 2k\alpha^3 - [2(b - n + 1)b - 2k - n]\alpha^2 - n\alpha.$$

In order to derive  $f(M_{\pi}^{b+1}, x) - f(M_{\pi}^b, x) > 0$  for all  $x \geq n - b - 1$ , we need to ensure that  $f(x) > 0$  for all  $x \geq n - b - 1$ , that is the largest root of  $f(x) = 0$  is less than  $n - b - 1$ , i.e.,

$$n - b - 1 > \frac{-c + \sqrt{c^2 - 4(1 + \alpha^2)d}}{2(1 + \alpha^2)},$$

where  $c = (2 - n)\alpha^2 - (2 + n)\alpha + 2$  and  $d = -2k\alpha^3 - [2b(b - n + 1) - 2k - n]\alpha^2 - n\alpha$ . By calculations, we have

$$n^2 - 2bn + b^2 - 2\alpha n - \alpha n^2 + 2\alpha b - 2\alpha^2 b + \alpha b n + \alpha^2 b n + 2\alpha - 1 - \alpha^2 + 2\alpha^2 n - \alpha^2 b^2 + 2\alpha^2 k - 2\alpha^3 k > 0,$$

that is

$$(1 - \alpha)n^2 + [(\alpha^2 + \alpha - 2)b + 2\alpha(\alpha - 1)]n + (1 - \alpha^2)b^2 + 2\alpha(1 - \alpha)b - 2k\alpha^3 + (2k - 1)\alpha^2 + 2\alpha - 1 > 0.$$

It follows that  $n > \frac{-g + \sqrt{g^2 - 4(1 - \alpha)h}}{2(1 - \alpha)}$ , where

$$g = (\alpha^2 + \alpha - 2)b + 2\alpha(\alpha - 1) \text{ and } h = (1 - \alpha^2)b^2 + 2\alpha(1 - \alpha)b - 2k\alpha^3 + (2k - 1)\alpha^2 + 2\alpha - 1.$$

Hence, when  $n > \max\left\{2b + 1, \frac{-g + \sqrt{g^2 - 4(1 - \alpha)h}}{2(1 - \alpha)}\right\}$ , we have  $f(M_{\pi}^{b+1}, x) - f(M_{\pi}^b, x) > 0$  for  $x \geq n - b - 1$ . It follows that  $\lambda_1^{\alpha}(M_{\pi}^{b+1}) < \lambda_1^{\alpha}(M_{\pi}^b)$ . This together with Lemma 2.1 implies that  $\lambda_1^{\alpha}(B_{n,a+1}^k) < \lambda_1^{\alpha}(B_{n,a}^k)$ , as desired.  $\square$

In particular, for  $k = 2, 3$ , we then have the following corollaries.

**Corollary 2.4.** For  $\alpha \in (0, 1)$ ,  $b \geq 3$  and  $n \geq \max\left\{2b + 4, \left\lceil \frac{-g + \sqrt{g^2 - 4(1 - \alpha)h_1}}{2(1 - \alpha)} \right\rceil + 1\right\}$  where  $g = (\alpha^2 + \alpha - 2)b + 2\alpha(\alpha - 1)$  and  $h_1 = (1 - \alpha^2)b^2 + 2\alpha(1 - \alpha)b - 4\alpha^3 + 3\alpha^2 + 2\alpha - 1$ . Then  $\lambda_1^{\alpha}(B_{n,b+1}^2) < \lambda_1^{\alpha}(B_{n,b}^2)$ .

**Corollary 2.5.** For  $\alpha \in (0, 1)$ ,  $b \geq 4$  and  $n \geq \max\left\{2b + 4, \left\lceil \frac{-g + \sqrt{g^2 - 4(1 - \alpha)h_2}}{2(1 - \alpha)} \right\rceil + 1\right\}$  where  $g = (\alpha^2 + \alpha - 2)b + 2\alpha(\alpha - 1)$  and  $h_2 = (1 - \alpha^2)b^2 + 2\alpha(1 - \alpha)b - 6\alpha^3 + 5\alpha^2 + 2\alpha - 1$ . Then  $\lambda_1^{\alpha}(B_{n,b+1}^3) < \lambda_1^{\alpha}(B_{n,b}^3)$ .

**Lemma 2.6 ([14]).** Let  $G$  be a graph of order  $n$  with  $e(G)$  edges, the maximum degree  $\Delta$  and the minimum degree  $\delta$ . Then for  $\alpha \in [0, 1]$ , we have

$$\lambda_1^{\alpha}(G) \leq \frac{1}{2} \left[ (\delta - 1) + \sqrt{(\delta - 1)^2 + 4\{\alpha\Delta - \alpha(\delta - 1)\delta + (1 - \alpha)[2e(G) - \delta(n - 1)]\}} \right].$$

Moreover, the equality holds if and only if  $G$  is regular.

**Lemma 2.7 ([4]).** Let  $a$  and  $b$  be two positive integers. If  $a \geq b$ , then

$$\binom{a}{2} + \binom{b}{2} < \binom{a+1}{2} + \binom{b-1}{2}.$$

For a subset  $X \subseteq V(G)$ , let  $G[X]$  be the subgraph induced by  $X$  in  $G$ , let  $e_G(X)$  and  $e_G(G)$  (or simply  $e(G)$ ) be the number of edges of  $G[X]$  and  $G$ , respectively. For two subsets  $X, Y \subseteq V(G)$ , let  $E_G(X, Y)$  be the set of edges having one endpoint in  $X$  and the other in  $Y$ , and  $e_G(X, Y) = |E_G(X, Y)|$ . For simplicity, we use  $\partial_G(X)$  to denote  $E_G(X, V(G) - X)$ .

**Lemma 2.8 ([7]).** Let  $G$  be a graph with the minimum degree  $\delta$  and  $U(\neq \emptyset) \subset V(G)$ . If  $|\partial_G(U)| \leq \delta - 1$ , then  $|U| \geq \delta + 1$ .

A part is *trivial* if it contains a single vertex. For any set  $Z \subset V(G)$ , let  $\pi$  be a partition of  $V(G - Z)$  with  $n_0$  trivial parts  $\{v_1, v_2, \dots, v_{n_0}\}$ . Let  $n_Z(\pi) = \sum_{i=1}^{n_0} |Z_i|$ , where  $Z_i$  is the set of vertices in  $Z$  which are adjacent to  $v_i$  for  $1 \leq i \leq n_0$ . For any partition  $\pi$  of  $V(G)$ , let  $E_G(\pi)$  be the set of edges in  $G$  whose endpoints lie in different parts of  $\pi$ , and  $e_G(\pi) = |E_G(\pi)|$ .

**Lemma 2.9 ([6]).** A graph  $G$  contains  $k$  edge-disjoint spanning rigid subgraphs if for every  $Z \subset V(G)$  and every partition  $\pi$  of  $V(G - Z)$  with  $n_0$  trivial parts and  $n'_0$  nontrivial parts,

$$e_{G-Z}(\pi) \geq k(3 - |Z|)n'_0 + 2kn_0 - 3k - n_Z(\pi).$$

**Lemma 2.10 ([3, 10]).** Let  $G$  be a graph. Then  $G$  is globally rigid if and only if either  $G$  is a complete graph on at most three vertices or  $G$  is 3-connected and redundantly rigid.

### 3. Proofs of Theorems 1.4 and 1.5

We say a graph  $G$  is *minimally rigid* if  $G$  is rigid but  $G - e$  is not rigid for any  $e \in E(G)$ . Note that if a graph  $G$  is rigid, then it must contain a spanning subgraph that is also rigid. For minimal rigidity, this subgraph must remain rigid while the removal of any edge results in a non-rigid structure. On the other hand, if  $G$  has a minimally rigid spanning subgraph, the rigidity of this subgraph is sufficient to ensure the rigidity of  $G$ , as rigidity is inherently determined by the structural properties of the framework. Therefore, a graph  $G$  is rigid if and only if  $G$  has a minimally rigid spanning subgraph.

In this section, we will provide two key lemmas (Lemma 3.1 and Lemma 3.2), as well as the proofs of Theorems 1.4 and 1.5.

**Lemma 3.1.** Let  $G$  be a 2-connected graph of order  $n$  with the minimum degree  $\delta \geq 6$ . If  $G$  is not rigid, then for every  $Z \subset V(G)$  and every partition  $\pi$  of  $V(G - Z)$  with  $n_0$  trivial parts and  $n'_0$  nontrivial parts, we have  $0 \leq |Z| \leq 2$  and  $n'_0 \geq 2$ .

*Proof.* Note that  $G$  does not contain any spanning rigid subgraphs since  $G$  is not rigid. Then Lemma 2.9 implies that there exists a subset  $Z \subset V(G)$  and a partition  $\pi$  of  $V(G - Z)$  with  $n_0$  trivial parts  $\{v_1, v_2, \dots, v_{n_0}\}$  and  $n'_0$  nontrivial parts  $\{V_1, V_2, \dots, V_{n'_0}\}$  such that

$$e_{G-Z}(\pi) \leq (3 - |Z|)n'_0 + 2n_0 - 4 - n_Z(\pi), \quad (1)$$

where  $n_Z(\pi) = \sum_{j=1}^{n_0} |Z_j|$  and  $Z_j$  is the set of vertices in  $Z$  that are adjacent to  $v_j$ .

Since  $d_{G-Z}(v_j) \geq \delta - |Z_j|$ ,  $\delta \geq 6$  and  $2e_{G-Z}(\pi) = \sum_{i=1}^{n'_0} |\partial_{G-Z}(V_i)| + \sum_{j=1}^{n_0} d_{G-Z}(v_j)$ , then we have

$$2e_{G-Z}(\pi) \geq \sum_{i=1}^{n'_0} |\partial_{G-Z}(V_i)| + \delta n_0 - \sum_{j=1}^{n_0} |Z_j| \geq \sum_{i=1}^{n'_0} |\partial_{G-Z}(V_i)| + 6n_0 - n_Z(\pi). \quad (2)$$

It follows that

$$e_{G-Z}(\pi) \geq 3n_0 - \frac{1}{2}n_Z(\pi). \quad (3)$$

We now establish the possible values for  $|Z|$  and  $n'_0$ .

Fact 1:  $0 \leq |Z| \leq 2$ .

Assume that  $|Z| \geq 3$ . Then by (1), we have  $e_{G-Z}(\pi) \leq 2n_0 - 4 - n_Z(\pi)$ . This together with (3) implies that  $3n_0 - \frac{1}{2}n_Z(\pi) \leq 2n_0 - 4 - n_Z(\pi)$ . It follows that  $n_0 + 4 + \frac{1}{2}n_Z(\pi) \leq 0$ . This is impossible since  $n_0$  and  $n_Z(\pi)$  are both non-negative. Therefore,  $0 \leq |Z| \leq 2$ .

Fact 2:  $n'_0 \geq 2$ .

Assume that  $n'_0 \leq 1$ . Then by (1) and Fact 1, we have  $e_{G-Z}(\pi) \leq 2n_0 - 1 - n_Z(\pi)$ . This together with (3) implies that  $3n_0 - \frac{1}{2}n_Z(\pi) \leq 2n_0 - 1 - n_Z(\pi)$ . It follows that  $n_0 + 1 + \frac{1}{2}n_Z(\pi) \leq 0$ . This is impossible since  $n_0$  and  $n_Z(\pi)$  are both non-negative. Therefore,  $n'_0 \geq 2$ .

The proof is completed.  $\square$

**Proof of Theorem 1.4:** We prove it by contradiction. Assume that  $G$  is not rigid. Then Lemma 3.1 implies that there exists a subset  $Z \subset V(G)$  and a partition  $\pi$  of  $V(G - Z)$  into  $n_0$  trivial parts  $\{v_1, v_2, \dots, v_{n_0}\}$  and  $n'_0$  nontrivial parts  $\{V_1, V_2, \dots, V_{n'_0}\}$ , where  $0 \leq |Z| \leq 2$  and  $n'_0 \geq 2$ .

Note that

$$\lambda_1^\alpha(G) \geq \lambda_1^\alpha(B_{n, \delta+1}^2) > \lambda_1^\alpha(K_{n-\delta-1}) = n - \delta - 2.$$

This together with Lemma 2.6 implies that

$$\frac{1}{2} \left[ (\delta - 1) + \sqrt{(\delta - 1)^2 + 4\{\alpha\Delta - \alpha(\delta - 1)\delta + (1 - \alpha)[2e(G) - \delta(n - 1)]\}} \right] > n - \delta - 2.$$

Solving for  $e(G)$ , we obtain

$$e(G) > \frac{(2n - 3\delta - 3)^2 - (\delta - 1)^2 - 4\alpha\Delta + 4\alpha(\delta - 1)\delta + 4(1 - \alpha)\delta(n - 1)}{8(1 - \alpha)}. \quad (4)$$

Moreover, as  $G$  is 2-connected, we have

$$|\partial_{G-Z}(V_i)| \geq 2 - |Z|, \text{ for } 1 \leq i \leq n'_0. \quad (5)$$

We now consider the following two cases according to the values of  $|Z|$ .

**Case 1:**  $|Z| = 2$ .

Then inequality (1) becomes

$$e_{G-Z}(\pi) \leq n'_0 + 2n_0 - 4 - n_Z(\pi), \quad (6)$$

where  $n_Z(\pi) = \sum_{j=1}^{n_0} |Z_j|$  and  $Z_j$  is the set of vertices in  $Z$  that are adjacent to  $v_j$ .

We will prove that  $n'_0 \geq 4$ . If  $2 \leq n'_0 \leq 3$ , then using (2), (5) and (6), we have

$$0 \leq \sum_{i=1}^{n'_0} |\partial_{G-Z}(V_i)| \leq 2n'_0 - 8 - 2n_0 - n_Z(\pi) \leq -2,$$

a contradiction. Hence  $n'_0 \geq 4$ .

Let  $\delta'$  be the minimum degree of  $G - Z$ , then  $\delta' \geq \delta - 2$ . If the partition  $\pi$  contains at most one nontrivial part, say  $V_j$  ( $1 \leq j \leq n'_0$ ), such that  $|\partial_{G-Z}(V_j)| \leq \delta' - 1$ , then  $|\partial_{G-Z}(V_i)| \geq \delta'$  for all  $i \in \{1, \dots, n'_0\} \setminus \{j\}$ . Note that

$$\begin{aligned} 2e_{G-Z}(\pi) &= \sum_{i=1}^{n'_0} |\partial_{G-Z}(V_i)| + \sum_{j=1}^{n_0} d_{G-Z}(v_j) \\ &\geq (n'_0 - 1)\delta' + \delta n_0 - n_Z(\pi) \quad (\text{as } d_{G-Z}(v_j) \geq \delta - |Z_j| \text{ and } n_Z(\pi) = \sum_{j=1}^{n_0} |Z_j|) \\ &\geq (n'_0 - 1)(\delta - 2) + \delta n_0 - n_Z(\pi) \quad (\text{as } \delta' \geq \delta - 2) \\ &= 2n'_0 + 4n_0 - 8 - 2n_Z(\pi) + (\delta - 4)n'_0 - \delta + (\delta - 4)n_0 + n_Z(\pi) + 10 \\ &\geq 2n'_0 + 4n_0 - 8 - 2n_Z(\pi) + 3\delta - 6 \quad (\text{as } n'_0 \geq 4, n_0 \geq 0 \text{ and } n_Z(\pi) \geq 0) \\ &> 2n'_0 + 4n_0 - 8 - 2n_Z(\pi) \quad (\text{as } \delta \geq 6). \end{aligned}$$

It follows that  $e_{G-Z}(\pi) > n'_0 + 2n_0 - 4 - n_Z(\pi)$ , which contradicts (6). Hence, the partition  $\pi$  must contain at least two nontrivial parts, say  $V_1$  and  $V_2$ , such that  $|\partial_{G-Z}(V_1)| \leq \delta' - 1$  and  $|\partial_{G-Z}(V_2)| \leq \delta' - 1$ . Then Lemma 2.8 implies that  $|V_i| \geq \delta' + 1 \geq \delta - 1$  for  $i = 1, 2$  (as  $\delta' \geq \delta - 2$ ).

In what follows, we determine the maximum value of  $\sum_{i=1}^{n'_0} e_G(V_i)$ . We assert that  $\sum_{i=1}^{n'_0} e_G(V_i)$  is maximized when  $n'_0$  is minimized (i.e.,  $n'_0 = 4$ ). Otherwise, if  $n'_0 \geq 5$ , then we may increase the value of  $\sum_{i=1}^{n'_0} e_G(V_i)$  by adding edges between  $V_4$  and  $V_{n'_0}$ , which contradicts the maximality of  $\sum_{i=1}^{n'_0} e_G(V_i)$ .

For  $n'_0 = 4$ , let  $V_1, V_2, V_3$ , and  $V_4$  be the nontrivial parts of  $G - Z$ . If  $|V_1|$  or  $|V_2| = \max\{|V_1|, |V_2|, |V_3|, |V_4|\}$ , since  $|V_1|, |V_2| \geq \delta - 1$  and  $|V_3|, |V_4| \geq 2$ , then we have

$$\begin{aligned} \sum_{i=1}^{n'_0} e_G(V_i) &\leq \sum_{i=1}^4 e_G(V_i) \\ &= \binom{|V_1|}{2} + \binom{|V_2|}{2} + \binom{|V_3|}{2} + \binom{|V_4|}{2} \\ &\leq \binom{\delta-1}{2} + \binom{n-|Z|-\delta-3}{2} + \binom{2}{2} + \binom{2}{2} \quad (\text{by Lemma 2.7}). \end{aligned}$$

Similarly, for  $|V_3|$  or  $|V_4| = \max\{|V_1|, |V_2|, |V_3|, |V_4|\}$ , we have

$$\sum_{i=1}^{n'_0} e_G(V_i) \leq \sum_{i=1}^4 e_G(V_i) \leq \binom{\delta-1}{2} + \binom{\delta-1}{2} + \binom{n-|Z|-2\delta}{2} + \binom{2}{2}.$$

Recall that  $n = |Z| + n_0 + \sum_{i=1}^{n'_0} |V_i|$ , where  $V_1, V_2, \dots, V_{n'_0}$  are nontrivial parts, and  $|V_1|, |V_2| \geq \delta - 1$ . Then by calculation, we have

$$n'_0 \leq \frac{n-|Z|-2(\delta-1)}{2} + 2 = \frac{n}{2} - \delta + 2, \text{ as } |Z| = 2 \text{ and } n_0 \geq 0. \quad (7)$$

Moreover, note that for  $|Z| = 2$ , we have

$$|\partial_G(Z)| + e_G(Z) - n_Z(\pi) \leq 2(n-2) + 1 - 2n_0 = 2(n-2-n_0) + 1.$$

This together with (6) implies that

$$e_{G-Z}(\pi) + |\partial_G(Z)| + e_G(Z) \leq n'_0 + 2n - 7.$$

Then by (7), we have

$$e_{G-Z}(\pi) + |\partial_G(Z)| + e_G(Z) \leq \frac{5n}{2} - \delta - 5. \quad (8)$$

Moreover, as  $\delta \geq 6$  and  $n \geq 2\delta + 4$ , we then have

$$\begin{aligned} e(G) &= \sum_{i=1}^{n'_0} e_G(V_i) + \sum_{j=1}^{n_0} e_G(v_j) + e_{G-Z}(\pi) + |\partial_G(Z)| + e_G(Z) \\ &\leq \max \left\{ \binom{\delta-1}{2} + \binom{n-|Z|-\delta-3}{2} + 2\binom{2}{2}, 2\binom{\delta-1}{2} + \binom{n-|Z|-2\delta}{2} + \binom{2}{2} \right\} \\ &\quad + 0 + e_{G-Z}(\pi) + |\partial_G(Z)| + e_G(Z) \\ &\leq \binom{\delta-1}{2} + \binom{n-|Z|-\delta-3}{2} + 2\binom{2}{2} + \frac{5n}{2} - \delta - 5 \quad \text{by (8)} \\ &\leq \frac{n^2}{2} - \frac{(2\delta+6)n}{2} + \delta^2 + 3\delta + 13. \end{aligned}$$

This together with (4) implies that

$$\frac{(2n - 3\delta - 3)^2 - (\delta - 1)^2 - 4\alpha\Delta + 4\alpha(\delta - 1)\delta + 4(1 - \alpha)\delta(n - 1)}{8(1 - \alpha)} < \frac{n^2}{2} - \frac{(2\delta + 6)n}{2} + \delta^2 + 3\delta + 13.$$

Solving for  $\delta$ , we get

$$\delta < \sqrt{\frac{\alpha\Delta + 6\alpha n + 24 - 3n - \alpha n^2 - 26\alpha}{3\alpha} + \frac{(3\alpha n + 2 - 6\alpha)^2}{36\alpha^2}} + \frac{3\alpha n + 2 - 6\alpha}{6\alpha}.$$

On the other hand, the condition

$$\sqrt{\frac{\alpha\Delta + 6\alpha n + 24 - 3n - \alpha n^2 - 26\alpha}{3\alpha} + \frac{(3\alpha n + 2 - 6\alpha)^2}{36\alpha^2}} + \frac{3\alpha n + 2 - 6\alpha}{6\alpha} < 6$$

is equivalent to  $\Delta < n^2 - 24n + 170 + \frac{3n-36}{\alpha}$ . Therefore, when  $\Delta < n^2 - 24n + 170 + \frac{3n-36}{\alpha}$ , we have  $\delta < 6$ , which contradicts our initial assumption  $\delta \geq 6$ .

**Case 2:**  $0 \leq |Z| \leq 1$ .

This case can be analyzed in the following two subcases.

(A)  $n'_0 = 2$ .

In this case, the partition  $\pi$  consists of two nontrivial parts,  $V_1$  and  $V_2$ , together with  $n_0$  trivial parts. Substituting (5) into (2), we obtain

$$2e_{G-Z}(\pi) \geq |\partial_{G-Z}(V_1)| + |\partial_{G-Z}(V_2)| + 6n_0 - n_Z(\pi) \geq 4 - 2|Z| + 6n_0 - n_Z(\pi).$$

Consequently,

$$e_{G-Z}(\pi) \geq 2 - |Z| + 3n_0 - \frac{1}{2}n_Z(\pi).$$

Given that  $n'_0 = 2$ , combining this inequality with (1), we have

$$2(3 - |Z|) + 2n_0 - 4 - n_Z(\pi) \geq 2 - |Z| + 3n_0 - \frac{1}{2}n_Z(\pi),$$

which simplifies to

$$-n_0 - \frac{1}{2}n_Z(\pi) - |Z| \geq 0.$$

Since  $n_0 \geq 0$ ,  $n_Z(\pi) \geq 0$  and  $|Z| \geq 0$ , we conclude that  $n_0 = 0$ ,  $n_Z(\pi) = 0$  and  $|Z| = 0$ . We find that the partition  $\pi$  consists of two nontrivial parts  $V_1$  and  $V_2$ , and as  $G - Z = G$ , then  $V(G) = V_1 \cup V_2$ . Using (1), we have  $e_G(V_1, V_2) = e_G(\pi) \leq 2$ . By (5),  $e_G(V_1, V_2) = \frac{1}{2}(|\partial_G(V_1)| + |\partial_G(V_2)|) \geq 2$ , making  $e_G(V_1, V_2) = 2$ . We denote the edge set connecting  $V_1$  and  $V_2$  by  $E_G(V_1, V_2) = \{f_1, f_2\}$ . We claim that  $f_1$  and  $f_2$  are two independent edges. If not, assume  $f_1 \cap f_2 = \{u\}$ , then vertex  $u$  is a cut vertex of  $G$ , which is impossible as  $G$  is 2-connected. It is evident that  $G$  is a spanning subgraph of  $B_{n,|V_1|}^2$ , leading to

$$\lambda_1^\alpha(G) \leq \lambda_1^\alpha(B_{n,|V_1|}^2), \quad (9)$$

with equality if and only if  $G \cong B_{n,|V_1|}^2$ . Given that  $\delta \geq 6$ , we have  $|\partial_G(V_1)| = |\partial_G(V_2)| = 2 < \delta - 1$ . Then, by Lemma 2.8, we have  $\min\{|V_1|, |V_2|\} \geq \delta + 1$ . Applying Lemma 2.3, Corollary 2.4, and equation (9), we obtain

$$\lambda_1^\alpha(G) \leq \lambda_1^\alpha(B_{n,\delta+1}^2),$$

with equality if and only if  $G \cong B_{n,\delta+1}^2$ . However, this is impossible since we already have  $\lambda_1^\alpha(G) \geq \lambda_1^\alpha(B_{n,\delta+1}^2)$  and  $G \not\cong B_{n,\delta+1}^2$ .



(B)  $n'_0 \geq 3$ .

Let  $\delta'$  be the minimum degree of  $G - Z$ , then  $\delta' \geq \delta - |Z|$ . If the partition  $\pi$  contains at most one nontrivial part, say  $V_k$  ( $1 \leq k \leq n'_0$ ), such that  $|\partial_{G-Z}(V_k)| \leq \delta' - 1$ , then  $|\partial_{G-Z}(V_i)| \geq \delta'$  for all  $i \in \{1, \dots, n'_0\} \setminus \{k\}$ . Note that

$$\begin{aligned} & 2e_{G-Z}(\pi) \\ &= \sum_{i=1}^{n'_0} |\partial_{G-Z}(V_i)| + \sum_{j=1}^{n_0} d_{G-Z}(v_j) \\ &= \sum_{i \in \{1, \dots, n'_0\} \setminus \{k\}} |\partial_{G-Z}(V_i)| + |\partial_{G-Z}(V_k)| + \sum_{j=1}^{n_0} d_{G-Z}(v_j) \\ &\geq (n'_0 - 1)\delta' + 2 - |Z| + \delta n_0 - n_Z(\pi) \quad (\text{by (5), then } |\partial_{G-Z}(V_k)| \geq 2 - |Z|) \\ &\geq (n'_0 - 1)(\delta - |Z|) + 2 - |Z| + \delta n_0 - n_Z(\pi) \quad (\text{as } \delta' \geq \delta - |Z|) \\ &= 2(3 - |Z|)n'_0 + 4n_0 - 8 - 2n_Z(\pi) + (\delta - 6 + |Z|)n'_0 + (\delta - 4)n_0 - \delta + 10 + n_Z(\pi) \\ &\geq 2(3 - |Z|)n'_0 + 4n_0 - 8 - 2n_Z(\pi) + 2\delta - 8 + 3|Z| + n_Z(\pi) \quad (\text{as } n'_0 \geq 3 \text{ and } n_0 \geq 0) \\ &> 2(3 - |Z|)n'_0 + 4n_0 - 8 - 2n_Z(\pi) \quad (\text{as } \delta \geq 3, n_Z(\pi) \geq 0 \text{ and } 0 \leq |Z| \leq 1), \end{aligned}$$

which simplifies to

$$e_{G-Z}(\pi) > (3 - |Z|)n'_0 + 2n_0 - 4 - n_Z(\pi),$$

contradicting (1). Consequently, the partition  $\pi$  must contain at least two nontrivial parts, say  $V_1$  and  $V_2$ , such that  $|\partial_{G-Z}(V_1)| \leq \delta' - 1$  and  $|\partial_{G-Z}(V_2)| \leq \delta' - 1$ . Then Lemma 2.8 implies that  $|V_1| \geq \delta' + 1$  and  $|V_2| \geq \delta' + 1$ . We now consider the following two situations according to the values of  $|Z|$ .

- $|Z| = 0$ .

For  $|Z| = 0$ , we have  $\delta' = \delta$  and  $|V_i| \geq \delta + 1$  for  $i = 1, 2$ . If  $|V_1|$  or  $|V_2| = \max\{|V_1|, |V_2|, \dots, |V_{n'_0}|\}$ , since  $|V_i| \geq \delta + 1$  and  $|V_j| \geq 2$  for  $i = 1, 2$  and  $j \in \{3, \dots, n'_0\}$ , then by a similar argument as that in Case 1, we have

$$\sum_{i=1}^{n'_0} e_G(V_i) \leq \binom{\delta+1}{2} + \binom{n-\delta-3}{2} + \binom{2}{2}.$$

Similarly, if  $|V_1|$  and  $|V_2| \neq \max\{|V_1|, |V_2|, \dots, |V_{n'_0}|\}$ , then we have

$$\sum_{i=1}^{n'_0} e_G(V_i) \leq \binom{\delta+1}{2} + \binom{\delta+1}{2} + \binom{n-2\delta-2}{2}.$$

As  $|V_i| \geq \delta + 1$  for  $i = 1, 2$  and  $|V_3| \geq 2$ , then  $n_0 \leq n - \sum_{i=1}^3 |V_i| \leq n - 2\delta - 4$ . Recall that  $n = |Z| + n_0 + \sum_{i=1}^{n'_0} |V_i| = n_0 + \sum_{i=1}^{n'_0} |V_i|$ . By calculation, we have

$$n'_0 \leq \frac{n - (2\delta + 4) - n_0}{2} + 3. \quad (10)$$

As  $|Z| = 0$ ,  $G - Z = G$  and  $n_Z(\pi) = 0$ , by (1) we have

$$\begin{aligned} e_G(\pi) &\leq 3n'_0 + 2n_0 - 4 \\ &\leq \frac{3n}{2} - 3\delta - 1 + \frac{n_0}{2} \quad (\text{by (10)}) \\ &\leq 2n - 4\delta - 3 \quad (\text{as } n_0 \leq n - 2\delta - 4). \end{aligned}$$

Since  $\delta \geq 6$  and  $n \geq 2\delta + 4$ , then we have

$$\begin{aligned}
 e(G) &= \sum_{i=1}^{n'_0} e_G(V_i) + \sum_{i=1}^{n_0} e_G(v_i) + e_G(\pi) \\
 &\leq \max \left\{ \binom{\delta+1}{2} + \binom{n-\delta-3}{2} + \binom{2}{2}, 2 \binom{\delta+1}{2} + \binom{n-2\delta-2}{2} \right\} \\
 &\quad + 0 + e_G(\pi) \\
 &\leq \binom{\delta+1}{2} + \binom{n-\delta-3}{2} + \binom{2}{2} + e_G(\pi) \quad (\text{as } \delta \geq 6 \text{ and } n \geq 2\delta + 4) \\
 &\leq \frac{n^2}{2} - \frac{(2\delta+3)n}{2} + \delta^2 + 4.
 \end{aligned}$$

This together with (4) implies that

$$\frac{(2n-3\delta-3)^2 - (\delta-1)^2 - 4\alpha\Delta + 4\alpha(\delta-1)\delta + 4(1-\alpha)\delta(n-1)}{8(1-\alpha)} < \frac{n^2}{2} - \frac{(2\delta+3)n}{2} + \delta^2 + 4.$$

By calculations, we have

$$\delta < \sqrt{\frac{\alpha\Delta + 3\alpha n + 6 - \alpha n^2 - 8\alpha}{3\alpha} + \frac{(3\alpha n - 4)^2}{36\alpha^2}} + \frac{3\alpha n - 4}{6\alpha}.$$

On the other hand, the condition

$$\sqrt{\frac{\alpha\Delta + 3\alpha n + 6 - \alpha n^2 - 8\alpha}{3\alpha} + \frac{(3\alpha n - 4)^2}{36\alpha^2}} + \frac{3\alpha n - 4}{6\alpha} < 6$$

is equivalent to  $\Delta < n^2 - 21n + 116 + \frac{18}{\alpha}$ . That is when  $\Delta < n^2 - 21n + 116 + \frac{18}{\alpha}$ , we have  $\delta < 6$ , a contradiction.

- $|Z| = 1$ .

When  $|Z| = 1$ , note that  $\delta' \geq \delta - 1$ , then  $|V_i| \geq \delta' + 1 \geq \delta$  for  $i = 1, 2$  and  $|V_3| \geq 2$ . Similarly, by calculation, we have

$$n'_0 \leq \frac{n - |Z| - n_0 - \sum_{i \in \{1,2,3\}} |V_i|}{2} + 3 \leq \frac{n - n_0 - 2\delta + 3}{2}. \quad (11)$$

Let  $Z = \{w\}$ , then  $d_G(w) - n_Z(\pi) \leq n - 1 - n_0$ . By (1), we have

$$\begin{aligned}
 e_{G-Z}(\pi) + d_G(w) &\leq (3 - |Z|)n'_0 + 2n_0 - 4 - n_Z(\pi) + d_G(w) \\
 &\leq 2n'_0 + 2n_0 - 4 - n_Z(\pi) + d_G(w) \quad (\text{as } |Z| = 1) \\
 &\leq 2n'_0 + 2n_0 - 4 - n_0 + n - 1 \quad (\text{as } d_G(w) - n_Z(\pi) \leq n - 1 - n_0) \\
 &= 2n'_0 + n_0 + n - 5 \\
 &\leq 2n - 2\delta - 2 \quad (\text{by (11)}).
 \end{aligned}$$

Given that  $\delta \geq 6$  and  $n \geq 2\delta + 4$ , we obtain

$$\begin{aligned}
 & e(G) \\
 &= \sum_{i=1}^{n'_0} e_G(V_i) + \sum_{i=1}^{n_0} e_G(v_i) + e_{G-Z}(\pi) + d_G(w) \\
 &\leq \max \left\{ \binom{\delta}{2} + \binom{n-|Z|-\delta-2}{2} + \binom{2}{2}, 2\binom{\delta}{2} + \binom{n-|Z|-2\delta}{2} \right\} \\
 &\quad + 0 + e_{G-Z}(\pi) + d_G(w) \\
 &\leq \binom{\delta}{2} + \binom{n-|Z|-\delta-2}{2} + \binom{2}{2} \\
 &\quad + e_{G-Z}(\pi) + d_G(w) \quad (\text{as } \delta \geq 6 \text{ and } n \geq 2\delta + 4) \\
 &\leq \frac{n^2}{2} - \frac{(2\delta+3)n}{2} + \delta^2 + \delta + 5.
 \end{aligned}$$

Similarly, combining this with (4), we get that when  $\Delta < n^2 - 21n + 130 + \frac{4}{\alpha}$ ,  $\delta < 6$ , which is also a contradiction. This completes the proof.  $\square$

The following lemma is very important for proving Theorem 1.5.

**Lemma 3.2.** *Let  $G$  be a 3-connected graph of order  $n$  with the minimum degree  $\delta \geq 6$ . If  $G$  is not globally rigid, then there exists an edge  $f \in E(G)$ , such that for every  $Z \subset V(G)$  and every partition  $\pi$  of  $V(G - f - Z)$  with  $n_0$  trivial parts and  $n'_0$  nontrivial parts, we have  $0 \leq |Z| \leq 2$  and  $n'_0 \geq 2$ .*

*Proof.* Assume that  $G$  is not globally rigid. Then Lemma 2.10 implies that  $G$  is not redundantly rigid since  $G$  is 3-connected. It means that there exists an edge  $f \in E(G)$  such that  $G - f$  is not rigid. Furthermore, Lemma 2.9 implies the existence of a subset  $Z \subset V(G - f)$  and a partition  $\pi$  of  $V(G - f - Z)$  with  $n_0$  trivial parts  $\{v_1, v_2, \dots, v_{n_0}\}$  and  $n'_0$  nontrivial parts  $\{V_1, V_2, \dots, V_{n'_0}\}$  satisfying

$$e_{G-f-Z}(\pi) \leq (3 - |Z|)n'_0 + 2n_0 - 4 - n_Z(\pi), \quad (12)$$

where  $n_Z(\pi) = \sum_{i=1}^{n_0} |Z_j|$  and  $Z_j$  is the set of vertices in  $Z$  adjacent to  $v_j$ .

We now consider the following two cases:

**Case 1:**  $f \in E_{G-Z}(\pi)$ .

For  $f \in E_{G-Z}(\pi)$ , we have  $e_{G-f-Z}(\pi) = e_{G-Z}(\pi) - 1$ . Then from (12), we have

$$e_{G-Z}(\pi) \leq (3 - |Z|)n'_0 + 2n_0 - 3 - n_Z(\pi). \quad (13)$$

On the other hand, since  $d_{G-Z}(v_j) \geq \delta - |Z_j|$  and  $\delta \geq 6$ , we obtain

$$2e_{G-Z}(\pi) = \sum_{i=1}^{n'_0} |\partial_{G-Z}(V_i)| + \sum_{j=1}^{n_0} d_{G-Z}(v_j) \geq \sum_{i=1}^{n'_0} |\partial_{G-Z}(V_i)| + 6n_0 - n_Z(\pi). \quad (14)$$

It follows that

$$e_{G-Z}(\pi) \geq 3n_0 - \frac{1}{2}n_Z(\pi). \quad (15)$$

We now establish the possible values for  $|Z|$  and  $n'_0$ .

Fact1:  $0 \leq |Z| \leq 2$ .

Assume to the contrary that  $|Z| \geq 3$ . Then, from (13), we have

$$e_{G-Z}(\pi) \leq (3 - |Z|)n'_0 + 2n_0 - 3 - n_Z(\pi) \leq 2n_0 - 3 - n_Z(\pi).$$

This together with (15) implies that  $n_0 + \frac{1}{2}n_Z(\pi) + 3 \leq 0$ . This is impossible since  $n_0 \geq 0$  and  $n_Z(\pi) \geq 0$ . Therefore, we have  $0 \leq |Z| \leq 2$ .

Fact2:  $n'_0 \geq 2$ .

If  $n'_0 \leq 1$ , then by Fact 1 ( $0 \leq |Z| \leq 2$ ) and (13), we have

$$e_{G-Z}(\pi) \leq (3 - |Z|)n'_0 + 2n_0 - 3 - n_Z(\pi) \leq 2n_0 - n_Z(\pi), \text{ since } 0 \leq |Z| \leq 2. \quad (16)$$

This together with (15) implies that  $n_0 + \frac{1}{2}n_Z(\pi) \leq 0$ . This means that all equalities hold in (15) and (16). Therefore, we have  $n'_0 = 1$ ,  $n_0 = 0$ ,  $n_Z(\pi) = 0$ , and  $|Z| = 0$ . By (12), we have  $e_{G-f-Z}(\pi) \leq -1$ , which is impossible. Hence, we have  $n'_0 \geq 2$ .

**Case 2:**  $f \notin E_{G-Z}(\pi)$ .

For  $f \notin E_{G-Z}(\pi)$ , we have

$$e_{G-Z}(\pi) = e_{G-f-Z}(\pi) \leq (3 - |Z|)n'_0 + 2n_0 - 4 - n_Z(\pi).$$

Then using an analogous argument as that in the proof of Lemma 3.1, we also have  $0 \leq |Z| \leq 2$  and  $n'_0 \geq 2$ . This completes the proof.  $\square$

Recall that, for any partition  $\pi$  of  $V(G)$ ,  $E_G(\pi)$  is the set of edges in  $G$  whose ends lie in different parts of  $\pi$ , and  $e_G(\pi) = |E_G(\pi)|$ .

**Proof of Theorem 1.5:** We prove it by contradiction. Assume to the contrary that  $G$  is not globally rigid. Then Lemma 2.10 implies that  $G$  is not redundantly rigid since  $G$  is 3-connected. It means that there exists an edge  $f \in E(G)$  such that  $G - f$  is not rigid. We now consider the following two cases:

**Case 1:**  $f \in E_{G-Z}(\pi)$ .

For  $f \in E_{G-Z}(\pi)$ , Lemma 3.2 implies that there exists a subset  $Z \subset V(G)$  and a partition  $\pi$  of  $V(G - f - Z)$  with  $n_0$  trivial parts  $\{v_1, v_2, \dots, v_{n_0}\}$  and  $n'_0$  nontrivial parts  $\{V_1, V_2, \dots, V_{n'_0}\}$ , where  $0 \leq |Z| \leq 2$  and  $n'_0 \geq 2$ .

Furthermore, since  $\lambda_1^\alpha(G) \geq \lambda_1^\alpha(B_{n,\delta+1}^3) > \lambda_1^\alpha(K_{n-\delta-1}) = n - \delta - 2$ , then by Lemma 2.6, we have

$$e(G) > \frac{(2n - 3\delta - 3)^2 - (\delta - 1)^2 - 4\alpha\Delta + 4\alpha(\delta - 1)\delta + 4(1 - \alpha)\delta(n - 1)}{8(1 - \alpha)}. \quad (17)$$

Moreover, as  $G$  is 3-connected, we have

$$|\partial_{G-Z}(V_i)| \geq 3 - |Z| \text{ for } 1 \leq i \leq n'_0, \quad (18)$$

We have the following two subcases according to the values of  $|Z|$ .

**Subcase 1.1:**  $|Z| = 2$ .

In this subcase, a similar argument as that used in the proof of Theorem 1.4 can be applied to obtain a contradiction, and we omit the details here.

**Subcase 1.2:**  $0 \leq |Z| \leq 1$ .

We further divide this subcase into the following two situations.

(A)  $n'_0 = 2$ .

The partition  $\pi$  consists of two nontrivial parts,  $V_1$  and  $V_2$ , together with  $n_0$  trivial parts. Substituting (18) into (14), we obtain

$$2e_{G-Z}(\pi) \geq |\partial_{G-Z}(V_1)| + |\partial_{G-Z}(V_2)| + 6n_0 - n_Z(\pi) \geq 6 - 2|Z| + 6n_0 - n_Z(\pi).$$

Consequently,

$$e_{G-Z}(\pi) \geq 3 - |Z| + 3n_0 - \frac{1}{2}n_Z(\pi).$$

Since  $n'_0 = 2$ , from (13), we have

$$-n_0 - \frac{1}{2}n_Z(\pi) - |Z| \geq 0.$$

As  $n_0 \geq 0$ ,  $n_Z(\pi) \geq 0$  and  $|Z| \geq 0$ , we conclude that  $n_0 = 0$ ,  $n_Z(\pi) = 0$  and  $|Z| = 0$ . As a consequence, the partition  $\pi$  comprises two nontrivial parts  $V_1$  and  $V_2$ ,  $G-Z = G$  and  $V(G) = V_1 \cup V_2$ . By (13),  $e_G(V_1, V_2) = e_G(\pi) \leq 3$ . And from (18), we have  $e_G(V_1, V_2) = \frac{1}{2}(|\partial_G(V_1)| + |\partial_G(V_2)|) \geq 3$ . Therefore,  $e_G(V_1, V_2) = 3$ . Let  $E_G(V_1, V_2) = \{f_1, f_2, f\}$ . We claim that  $f_1, f_2, f$  are three independent edges. Otherwise,  $G$  cannot be 3-connected, leading to a contradiction. Thus,  $G$  is a spanning subgraph of  $B_{n,|V_1|}^3$  and

$$\lambda_1^\alpha(G) \leq \lambda_1^\alpha(B_{n,|V_1|}^3), \quad (19)$$

with equality if and only if  $G \cong B_{n,|V_1|}^3$ . Since  $\delta \geq 6$  and  $|\partial_G(V_1)| = |\partial_G(V_2)| = 3 < \delta - 1$ , by Lemma 2.8, we have  $\min\{|V_1|, |V_2|\} \geq \delta + 1$ . Combining this with Lemma 2.3, Corollary 2.5 and (19), we have

$$\lambda_1^\alpha(G) \leq \lambda_1^\alpha(B_{n,\delta+1}^3),$$

with equality if and only if  $G \cong B_{n,\delta+1}^3$ . This contradicts our initial assumption that  $\lambda_1^\alpha(G) \geq \lambda_1^\alpha(B_{n,\delta+1}^3)$  and  $G \not\cong B_{n,\delta+1}^3$ .

(B)  $n'_0 \geq 3$ .

By using (17) and employing a similar approach as in the proof of Theorem 1.4, we can derive a contradiction under this scenario. We omit the details for brevity.

**Case 2:**  $f \notin E_{G-Z}(\pi)$ .

For  $f \notin E_{G-Z}(\pi)$ , utilizing similar arguments as those presented above, we can obtain a contradiction. This completes the proof.  $\square$

#### 4. Concluding remarks

In this paper, we establish a criterion based on the  $A_\alpha$ -spectral radius for determining the rigidity (or global rigidity) of 2-connected (or 3-connected) graphs with a prescribed minimum degree in  $\mathbb{R}^2$ . Specifically, we resolve the  $A_\alpha$ -spectral radius characterization for Problem 1.1 for the cases  $k = 2$  and  $k = 3$ . Note that every 6-connected graph is inherently rigid (or globally rigid). Consequently, the complexity of the  $A_\alpha$ -spectral radius characterization for Problem 1.1 escalates for  $k = 4$  and  $k = 5$ . For these cases, employing a similar analytical approach as in Theorems 1.4 and 1.5, we ascertain that a  $k$ -connected graph  $G$  is rigid (or globally rigid) if  $\lambda_1^\alpha(G) > \lambda_1^\alpha(B_{n,\delta+1}^k)$ . Since  $B_{n,\delta+1}^k$  is rigid and globally rigid for  $k = 4$  and 5, we conclude the paper by posing the subsequent problem for further exploration.

**Problem 4.1.** Let  $k \in \{4, 5\}$  and  $G$  be a  $k$ -connected graph with the maximum degree  $\Delta$  and the minimum degree  $\delta \geq 6$ . For  $\alpha \in (0, 1)$ ,

$$\Delta < \min \left\{ n^2 - 24n + 170 + \frac{3n - 36}{\alpha}, n^2 - 21n + 116 + \frac{13}{\alpha}, n^2 - 21n + 130 + \frac{4}{\alpha} \right\}$$

and

$$n \geq \max \left\{ 2\delta + 4, \left\lceil \frac{-g + \sqrt{g^2 - 4(1-\alpha)h}}{2(1-\alpha)} \right\rceil + 1 \right\},$$

where

$$g = (\alpha^2 + \alpha - 2)\delta + 2\alpha(\alpha - 1) \text{ and } h = (1 - \alpha^2)\delta^2 + 2\alpha(1 - \alpha)\delta - 2k\alpha^3 + (2k - 1)\alpha^2 + 2\alpha - 1,$$

is it true that  $G$  is rigid (or globally rigid) when  $\lambda_1^\alpha(G) \geq \lambda_1^\alpha(B_{n,\delta+1}^k)$ ?

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## Declarations

**Conflict of interest** The authors have no relevant financial or non-financial interests to disclose.

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