



Symmetric form geometric constant related to isosceles orthogonality in Banach spaces

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Abstract. In this article, we introduce a novel geometric constant $L_X(t)$, which provides an equivalent definition of the von Neumann-Jordan constant from an orthogonal perspective. First, we present some fundamental properties of the constant $L_X(t)$ in Banach spaces, including its upper and lower bounds, convexity, and non-increasing continuity. Next, we derive identities relating this constant to the function $\gamma_X(t)$ and the von Neumann-Jordan constant, and explore its relationship with several well-known geometric constants, including the James constant and the modulus of convexity. Furthermore, using the lower bound of this constant, we characterize Hilbert spaces. Finally, based on these findings, we further investigate the relationship between this constant and the geometric properties of Banach spaces, including uniform non-squareness, uniform normal structure, and uniform smoothness.

1. Introduction

The investigation into the geometric properties of Banach spaces represents a crucial domain within the broader discipline of functional analysis. In this theory, the geometric properties of normed spaces often play an important role, such as uniform convexity, uniform non-squareness, etc. Quantifying the geometric constants of the geometric features of normed spaces is very useful for studying geometric properties. For instance, Clarkson introduced the notion of the modulus of convexity to describe uniformly convex spaces [4], and the von Neumann-Jordan constant to describe Hilbert spaces and uniformly non-square spaces [3].

In Euclidean geometry, the concept of orthogonality is indispensable. On one hand, it is manifested in the fourth axiom of Euclidean geometry, and on the other hand, it plays a crucial role in the Pythagorean theorem. However, Banach space geometry is significantly different from Euclidean geometry because there is no unique concept of orthogonality in Banach space geometry. With the development of Banach space geometry, many different orthogonalities have been introduced into general normed linear spaces. For instance, James [8] introduced isosceles orthogonality (\perp_I) and Pythagorean orthogonality (\perp_P):

$$x \perp_I y \text{ if and only if } \|x + y\| = \|x - y\|,$$

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and

$$x \perp_P y \text{ if and only if } \|x - y\|^2 = \|x\|^2 + \|y\|^2.$$

In recent years, many scholars have devoted themselves to the definition and in-depth investigation of orthogonal geometric constants. Within the realm of Banach space geometry, orthogonal geometric constants are becoming increasingly significant. In 2022, based on the parallelogram law and isosceles orthogonality, Liu et al. [13] proposed the orthogonal geometric constant $\Omega'(X)$ as follows:

$$\Omega'(X) = \sup \left\{ \frac{\|2x + y\|^2 + \|x + 2y\|^2}{\|x + y\|^2} : x, y \in X, (x, y) \neq (0, 0), x \perp_I y \right\}.$$

They demonstrated that in a finite dimensional Banach space X , $1 \leq \Omega'(X) \leq \frac{8}{5}$, $\Omega'(X) = \frac{9}{10}\gamma_X(\frac{1}{3})$, and X is a Hilbert space if and only if $\Omega'(X) = 1$.

Later, in order to investigate the distance between isosceles orthogonality and Pythagorean orthogonality, Yang et al. [18] defined a new orthogonal geometric constant, as follows:

$$\Omega_X(\alpha) = \sup \left\{ \frac{\|\alpha x + y\|^2 + \|x + \alpha y\|^2}{\|x + y\|^2} : x, y \in X, (x, y) \neq (0, 0), x \perp_I y \right\}, \text{ where } 0 \leq \alpha < 1.$$

They first gave the upper and lower bounds of the constant $\Omega_X(\alpha)$, that is, $1 + \alpha^2$ and 2 , and then established the identity of $\Omega_X(\alpha) = \frac{1+\alpha^2}{2}\gamma_X(\frac{1-\alpha}{1+\alpha})$. Finally, building on this foundational identity, they delineated the relationship between the constant $\Omega_X(\alpha)$ and the intrinsic geometric properties of Banach spaces. This exploration encompasses uniformly non-square, uniformly smooth, uniformly convex, and normal structure.

Motivated by these constants, we define a new symmetric geometric constant offering an equivalent characterization of the von Neumann-Jordan constant from an orthogonal perspective, as follows:

$$L_X(t) = \sup \left\{ \frac{\|tx + (1-t)y\|^2 + \|(1-t)x + ty\|^2}{\|x + y\|^2} : x, y \in X, (x, y) \neq (0, 0), x \perp_I y \right\}, \text{ where } 0 \leq t < \frac{1}{2}.$$

Clearly, the geometric constant $L_X(t)$ has the following equivalent definitions:

$$\begin{aligned} L_X(t) &= \sup \left\{ \frac{2(\|tx + (1-t)y\|^2 + \|(1-t)x + ty\|^2)}{\|x + y\|^2 + \|x - y\|^2} : x, y \in X, (x, y) \neq (0, 0), x \perp_I y \right\}, \text{ where } 0 \leq t < \frac{1}{2}, \\ &= \sup \left\{ \frac{\|tx + (1-t)y\|^2 + \|(1-t)x + ty\|^2}{\|x + y\|^2} : x, y \in X, (x, y) \neq (0, 0), x \perp_I y \right\}, \text{ where } \frac{1}{2} < t \leq 1. \end{aligned}$$

This article is organized as follows.

In the second part, we revisit some basic concepts in Banach spaces and present a variety of widely recognized geometric constants that are associated with the novel geometric constant $L_X(t)$, as well as significant conclusions about them.

In the third part, we discuss in detail the constant $L_X(t)$. We calculate its bounds. We also list three examples where the constant $L_X(t)$ achieves its upper bound in these spaces and show that $L_X(t)$ is a convex and continuous function.

In the fourth part, we first present the identities involving the constant $L_X(t)$ and the function $\gamma_X(t)$, as well as the von Neumann-Jordan constant. We also calculate a lower bound for the constant $L_X(t)$ in l_p spaces. Additionally, we study some estimates of the constant $L_X(t)$ and other geometric constants.

In the fifth part, we first use the lower bound of the constant $L_X(t)$ to characterize Hilbert spaces. Utilizing the connection between the constant $L_X(t)$ and other geometric constants, we further explore the relationship between the constant $L_X(t)$ and geometric properties of Banach spaces, such as being uniformly non-square, having a uniformly normal structure, and being uniformly smooth, etc. Finally, we calculate a lower bound for the constant $L_X(t)$ in $l_p - l_q$ spaces, as well as precise values in both $l_2 - l_1$ and $l_\infty - l_1$ spaces.

2. Preliminaries

In this article, we consistently assume that X is a real Banach space with $\dim X \geq 2$, $B_X = \{x \in X : \|x\| \leq 1\}$ and $S_X = \{x \in X : \|x\| = 1\}$ will denote the unit ball and the unit sphere of X , respectively. Now, we will revisit some concepts of the geometric properties in Banach spaces, along with a discussion on several widely recognized geometric constants.

If there exists $\delta \in (0, 1)$ such that for any $x, y \in S_X$, either $\|x + y\| \leq 2(1 - \delta)$ or $\|x - y\| \leq 2(1 - \delta)$, then X is called a uniformly non-square space [9]. Given that for any $\varepsilon > 0$, there exist $x, y \in S_X$ such that $\|x \pm y\| > 2 - \varepsilon$, then X is not uniformly non-square.

A Banach space X is said to possess a (weak) normal structure [2] if, for every (weakly compact) closed, bounded, and convex subset K of X containing more than one point, there exists a point $x_0 \in K$ such that

$$\sup\{\|x_0 - y\| : y \in K\} < d(K) = \sup\{\|x - y\| : x, y \in K\}.$$

Furthermore, a Banach space X has a uniform normal structure if, there exists a constant $0 < c < 1$ such that for every closed, bounded, and convex subset K of X containing more than one point, there exists a point $x_0 \in K$ such that

$$\sup\{\|x_0 - y\| : y \in K\} < cd(K) = c \sup\{\|x - y\| : x, y \in K\}.$$

The concepts of normal and weakly normal structures play a crucial role in fixed point theory. It is clear that in every reflexive Banach space, the property of having a normal structure is tantamount to possessing a weakly normal structure. James [9] demonstrated that if a Banach space X is uniformly non-square, then it can be inferred that X is reflexive. Additionally, Kirk [11] demonstrated that if a reflexive Banach space X has a normal structure, then X must possess the fixed point property. It is noteworthy that every uniformly non-square Banach space possesses the fixed point property, a fact that has been confirmed in [6].

A Banach space X is called uniformly convex if for any $0 < \varepsilon \leq 2$, there exists a $\delta > 0$ such that if $x, y \in S_X$ and $\|x - y\| \geq \varepsilon$, it holds that $\frac{\|x+y\|}{2} \leq 1 - \delta$.

A Banach space X is called strictly convex if for any $x, y \in S_X$ and $x \neq y$, it holds that $\|x + y\| < 2$.

The von Neumann-Jordan constant $C_{NJ}(X)$ was introduced by Clarkson [3] and is defined as follows:

$$C_{NJ}(X) = \sup \left\{ \frac{\|x + y\|^2 + \|x - y\|^2}{2(\|x\|^2 + \|y\|^2)} : x, y \in X, (x, y) \neq (0, 0) \right\}.$$

Since then, numerous scholars have conducted in-depth research on the von Neumann-Jordan constant and dedicated themselves to its promotion, uncovering many excellent properties. For example,

(i) $1 \leq C_{NJ}(X) \leq 2$;

(ii) X is a Hilbert space if and only if $C_{NJ}(X) = 1$;

(iii) X is uniformly non-square space if and only if $C_{NJ}(X) < 2$ [10].

The modified von Neumann-Jordan constant [3] is defined as

$$C'_{NJ}(X) = \sup \left\{ \frac{\|x + y\|^2 + \|x - y\|^2}{4} : x, y \in S_X \right\}.$$

In [15], P.L. Papini mentioned the following constant:

$$C''_{NJ}(X) = \sup \left\{ \frac{\|x + y\|^2 + \|x - y\|^2}{2(\|x\|^2 + \|y\|^2)} : x, y \in X, (x, y) \neq (0, 0), x \perp_I y \right\}.$$

P.L. Papini showed that the results (ii) and (iii) are also true for the constant $C''_{NJ}(X)$.

The modulus of smoothness [12] is defined by the function $\rho(t)$:

$$\rho(t) = \sup \left\{ \frac{\|x + ty\| + \|x - ty\|}{2} - 1 : x, y \in S_X \right\}.$$

A Banach space X is said to be uniformly smooth if $\lim_{t \rightarrow 0} \frac{\rho(t)}{t} = 0$.

In [16], Yang introduced the function $\gamma_X(t): [0,1] \rightarrow [1,4]$, which is defined as

$$\gamma_X(t) = \sup \left\{ \frac{\|x + ty\|^2 + \|x - ty\|^2}{2} : x \in S_X, y \in S_X \right\}.$$

The function $\gamma_X(t)$ has the following properties:

- (i) $1 \leq 1 + t^2 \leq \gamma_X(t) \leq (1 + t)^2 \leq 4$.
- (ii) X is a Hilbert space if and only if $\gamma_X(t) = 1 + t^2$ for any $t \in [0,1]$.
- (iii) If $2\gamma_X(t) < 1 + (1 + t)^2$ for some $t \in (0,1]$, then X has uniform normal structure.
- (iv) If $\lim_{t \rightarrow 0^+} \frac{\gamma_X(t)-1}{t} = 0$, then X is uniformly smooth.

It is clear that the von Neumann-Jordan constant $C_{NJ}(X)$ can be defined equivalently as follows:

$$C_{NJ}(X) = \sup \left\{ \frac{\gamma_X(t)}{1 + t^2} : 0 \leq t \leq 1 \right\}.$$

The modulus of convexity [4] is defined by the function δ_X :

$$\delta_X(\varepsilon) = \inf \left\{ 1 - \frac{\|x + y\|}{2} : x, y \in S_X, \|x - y\| = \varepsilon \right\}, \quad 0 \leq \varepsilon \leq 2.$$

We have compiled a list of properties for this constant, as follows:

- (i) If $\delta_X(1) > 0$, then X has normal structure [7].
- (ii) If $\delta_X(\varepsilon) > 0$ for any $\varepsilon \in (0,2]$, then X is called uniformly convex.
- (iii) X is strictly convex if and only if $\delta_X(2) = 1$ [17].
- (iv) X is uniformly convex if and only if $\sup\{\varepsilon \in [0,2] : \delta_X(\varepsilon) = 0\} = 0$ [7].

In [5], Gao and Lau introduced the James constant, which is defined as

$$J(X) = \sup\{\min\{\|x + y\|, \|x - y\|\} : x, y \in S_X\}.$$

Note that

$$\begin{aligned} J(X) &= \sup\{\min\{\|x + y\|, \|x - y\|\} : x, y \in B_X\} \\ &= \sup\{\|x + y\| : x, y \in S_X, x \perp_I y\}. \end{aligned}$$

3. Some Bounds and Properties of $L_X(t)$

First, we compute the bounds of $L_X(t)$.

Proposition 3.1. *Let X be a Banach space. Then $2t^2 - 2t + 1 \leq L_X(t) \leq 2t^2 - 4t + 2$.*

Proof. Let $x = 0$ and $y \neq 0$, then $x \perp_I y$ and

$$\begin{aligned} L_X(t) &\geq \frac{\|(1-t)y\|^2 + \|ty\|^2}{\|y\|^2} \\ &= 2t^2 - 2t + 1. \end{aligned}$$

On the other hand,

$$\begin{aligned} \frac{\|tx + (1-t)y\|^2 + \|(1-t)x + ty\|^2}{\|x + y\|^2} &= \frac{\|\frac{1}{2}(x+y) - \frac{1-2t}{2}(x-y)\|^2 + \|\frac{1}{2}(x+y) + \frac{1-2t}{2}(x-y)\|^2}{\|x + y\|^2} \\ &\leq \frac{2\left(\frac{1}{2}\|x + y\| + \frac{1-2t}{2}\|x - y\|\right)^2}{\|x + y\|^2} \\ &= 2t^2 - 4t + 2. \end{aligned}$$

□

In the following three examples, we demonstrate that the upper bound of $L_X(t)$ is sharp.

Example 3.2. Let $X = (\mathbb{R}^2, \|\cdot\|_1)$, then $L_X(t) = 2t^2 - 4t + 2$.

Proof. Let $x = (1, 1)$, $y = (1, -1)$, then $x \perp_I y$ and $\|tx + (1-t)y\|_1 = \|(1-t)x + ty\|_1 = 2 - 2t$. Hence, we have $L_X(t) = 2t^2 - 4t + 2$. \square

Example 3.3. Let $X = (\mathbb{R}^2, \|\cdot\|_\infty)$, then $L_X(t) = 2t^2 - 4t + 2$.

Proof. Let $x = (1, 0)$, $y = (0, -1)$, then $x \perp_I y$ and $\|tx + (1-t)y\|_\infty = \|(1-t)x + ty\|_\infty = 1 - t$. Hence, we have $L_X(t) = 2t^2 - 4t + 2$. \square

Example 3.4. Let $C[a, b]$ denote the linear space of all real-valued continuous functions, with the norm defined as:

$$\|x\| = \sup_{m \in [a, b]} |x(m)|,$$

then $L_X(t) = 2t^2 - 4t + 2$.

Proof. Let $x_0 = \frac{1}{a-b}(m-b)$, $y_0 = \frac{-1}{a-b}(m-b) + 1 \in S_{C[a, b]}$, then $x_0 \perp_I y_0$, we have

$$\begin{aligned} L_X(t) &\geq \frac{\|tx_0 + (1-t)y_0\|^2 + \|(1-t)x_0 + ty_0\|^2}{\|x_0 + y_0\|^2} \\ &= \sup_{m \in [a, b]} \left| \frac{2t-1}{a-b}(m-b) + 1 - t \right|^2 + \sup_{m \in [a, b]} \left| \frac{1-2t}{a-b}(m-b) + t \right|^2 \\ &= 2t^2 - 4t + 2. \end{aligned}$$

\square

Finally, we determine that the constant $L_X(t)$ is a convex and continuous function.

Proposition 3.5. Let X be a Banach space. Then

- (i) $L_X(t)$ is a convex function.
- (ii) $L_X(t)$ is continuous on $[0, \frac{1}{2})$.
- (iii) If X is a Hilbert space, then $\frac{L_X(t)-1}{1-t}$ is non-increasing on $[0, \frac{1}{2})$.

Proof. (i) Let $x \perp_I y$, $t_1, t_2 \in [0, \frac{1}{2})$, $\lambda \in (0, 1)$.

Since

$$\|(\lambda t_1 + (1-\lambda)t_2)x + (1-(\lambda t_1 + (1-\lambda)t_2))y\| = \|\lambda(t_1x + (1-t_1)y) + (1-\lambda)(t_2x + (1-t_2)y)\|,$$

and

$$\|(1-(\lambda t_1 + (1-\lambda)t_2))x + (\lambda t_1 + (1-\lambda)t_2)y\| = \|\lambda((1-t_1)x + t_1y) + (1-\lambda)((1-t_2)x + t_2y)\|.$$

Then we have

$$\begin{aligned} &\|(\lambda t_1 + (1-\lambda)t_2)x + (1-(\lambda t_1 + (1-\lambda)t_2))y\|^2 + \|(1-(\lambda t_1 + (1-\lambda)t_2))x + (\lambda t_1 + (1-\lambda)t_2)y\|^2 \\ &\leq [\lambda\|t_1x + (1-t_1)y\| + (1-\lambda)\|t_2x + (1-t_2)y\|]^2 + [\lambda\|(1-t_1)x + t_1y\| + (1-\lambda)\|(1-t_2)x + t_2y\|]^2 \\ &\leq \lambda [\|t_1x + (1-t_1)y\|^2 + \|(1-t_1)x + t_1y\|^2] + (1-\lambda) [\|t_2x + (1-t_2)y\|^2 + \|(1-t_2)x + t_2y\|^2], \end{aligned}$$

which implies that

$$L_X(\lambda t_1 + (1-\lambda)t_2) \leq \lambda L_X(t_1) + (1-\lambda)L_X(t_2).$$

(ii) Obvious.

(iii) Since X is a Hilbert space, we have $L_X(1) = L_X(0) = 1$.

Let $0 \leq t_1 < t_2 < \frac{1}{2}$, then

$$\begin{aligned} \frac{L_X(t_2) - 1}{1 - t_2} &= \frac{L_X\left(\frac{t_2 - t_1}{1 - t_1} \cdot 1 + \left(1 - \frac{t_2 - t_1}{1 - t_1}\right) \cdot t_1\right) - 1}{1 - t_2} \\ &\leq \frac{\frac{t_2 - t_1}{1 - t_1} + \left(1 - \frac{t_2 - t_1}{1 - t_1}\right) L_X(t_1) - 1}{1 - t_2} \\ &= \frac{L_X(t_1) - 1}{1 - t_1}, \end{aligned}$$

thus $\frac{L_X(t)-1}{1-t}$ is a non-increasing function. \square

4. $L_X(t)$ in Relation to Other Geometric Constants

First, we present the identity for $L_X(t)$ and $\gamma_X(t)$, and on this basis, we establish the identity between $L_X(t)$ and the von Neumann-Jordan constant $C_{NJ}(X)$. This is the core of this article, explaining the von Neumann-Jordan constant from an orthogonal perspective.

Theorem 4.1. Let X be a Banach space. Then $L_X(t) = \frac{1}{2}\gamma_X(1 - 2t)$.

Proof. Let $x, y \in X$ such that $x \perp_I y$. We choose $\alpha = \frac{x+y}{2}, \beta = \frac{x-y}{2}$, then

$$tx + (1 - t)y = \alpha - (1 - 2t)\beta, (1 - t)x + ty = \alpha + (1 - 2t)\beta,$$

thus $\|\alpha\| = \|\beta\|$ and

$$\frac{\|tx + (1 - t)y\|^2 + \|(1 - t)x + ty\|^2}{\|x + y\|^2} = \frac{\|\alpha - (1 - 2t)\beta\|^2 + \|\alpha + (1 - 2t)\beta\|^2}{4\|\alpha\|^2}.$$

Let $x' = \frac{\alpha}{\|\alpha\|}, y' = \frac{\beta}{\|\beta\|}$, then $x', y' \in S_X$ and

$$\begin{aligned} \frac{\|\alpha - (1 - 2t)\beta\|^2 + \|\alpha + (1 - 2t)\beta\|^2}{\|\alpha\|^2} &= \|x' - (1 - 2t)y'\|^2 + \|x' + (1 - 2t)y'\|^2 \\ &\leq 2 \cdot \gamma_X(1 - 2t), \end{aligned}$$

that is, $L_X(t) \leq \frac{1}{2}\gamma_X(1 - 2t)$.

On the other hand, let $x, y \in S_X$, we choose $\alpha = \frac{x+y}{2}, \beta = \frac{x-y}{2}$, then $\alpha + \beta, \alpha - \beta \in S_X$. Since

$$\begin{aligned} \frac{\|x - (1 - 2t)y\|^2 + \|x + (1 - 2t)y\|^2}{2} &= \frac{\|\alpha + \beta - (1 - 2t)(\alpha - \beta)\|^2 + \|\alpha + \beta + (1 - 2t)(\alpha - \beta)\|^2}{2\|\alpha + \beta\|^2} \\ &= 2 \cdot \frac{\|t\alpha + (1 - t)\beta\|^2 + \|(1 - t)\alpha + t\beta\|^2}{\|\alpha + \beta\|^2} \\ &\leq 2L_X(t), \end{aligned}$$

that is, $L_X(t) \geq \frac{1}{2}\gamma_X(1 - 2t)$. \square

Remark 4.2. Since $\gamma_X(t)$ is a non-decreasing function, then it is easy to see that $L_X(t)$ is a non-increasing on $[0, \frac{1}{2})$.

Theorem 4.3. Let X be a Banach space. Then $C_{NJ}(X) = \sup \left\{ \frac{2L_X(\frac{1-\eta}{2})}{1+\eta^2} : 0 \leq \eta \leq 1 \right\}$.

Proof. First, we need to expand upon the definition with $L_X(\frac{1}{2}) = \frac{1}{2}$. Combined with Theorem 4.1, we have

$$L_X(t) = \frac{1}{2} \cdot \gamma_X(1 - 2t),$$

let $1 - 2t = \eta$, then $\eta \in [0, 1]$ and

$$\gamma_X(\eta) = 2 \cdot L_X\left(\frac{1 - \eta}{2}\right).$$

Hence, we can obtain that

$$C_{\text{NJ}}(X) = \sup \left\{ \frac{2L_X\left(\frac{1-\eta}{2}\right)}{1 + \eta^2} : 0 \leq \eta \leq 1 \right\}. \quad (1)$$

□

In the following two examples, we estimate the value of the geometric constant $L_X(t)$ in the l_p space, and use identity (1) to calculate the value of the von Neumann-Jordan constant in $X = (\mathbb{R}^2, \|\cdot\|_1)$, which is in agreement with the known result, indicating that the identity is meaningful.

Example 4.4. Let ℓ^p ($1 < p < \infty$) be the linear space of all sequences in \mathbb{R} such that $\sum_{i=1}^{\infty} |x_i|^p < \infty$. The norm is defined as:

$$\|x\|_p = \left(\sum_{i=1}^{\infty} |x_i|^p \right)^{\frac{1}{p}},$$

for any sequence $x = (x_i) \in \ell^p$. Then

$$L_{l_p}(t) \geq 2^{1-\frac{2}{p}} ((1-t)^p + t^p)^{\frac{2}{p}}.$$

Particularly, if $2 \leq p < \infty$, then

$$L_{l_p}(t) = 2^{1-\frac{2}{p}} ((1-t)^p + t^p)^{\frac{2}{p}}.$$

Proof. Let $x = \left(\frac{1}{2^{\frac{1}{p}}}, \frac{1}{2^{\frac{1}{p}}}, 0, \dots, 0\right)$, $y = \left(\frac{1}{2^{\frac{1}{p}}}, -\frac{1}{2^{\frac{1}{p}}}, 0, \dots, 0\right)$, then $x, y \in S_X$ and

$$\gamma_{l_p}(t) \geq \frac{\|x + ty\|^2 + \|x - ty\|^2}{2} = \left(\frac{(1+t)^p + (1-t)^p}{2} \right)^{\frac{2}{p}}.$$

Hence,

$$L_{l_p}(t) \geq \frac{1}{2} \cdot \left(\frac{(2-2t)^p + (2t)^p}{2} \right)^{\frac{2}{p}} = 2^{1-\frac{2}{p}} ((1-t)^p + t^p)^{\frac{2}{p}}.$$

Particularly, if $2 \leq p < \infty$, then $\gamma_{l_p}(t) = \left(\frac{(1+t)^p + (1-t)^p}{2} \right)^{\frac{2}{p}}$ [16], so we can infer that

$$L_{l_p}(t) = 2^{1-\frac{2}{p}} ((1-t)^p + t^p)^{\frac{2}{p}}.$$

□

Example 4.5. Let $X = (\mathbb{R}^2, \|\cdot\|_1)$, then $C_{\text{NJ}}(X) = 2$.

Proof. From Example 3.2, we have

$$L_X(t) = 2t^2 - 4t + 2,$$

which implies that

$$C_{\text{NJ}}(X) = \sup_{\eta \in [0,1]} \left\{ \frac{4\left(\frac{1-\eta}{2} - 1\right)^2}{1 + \eta^2} \right\} = 2.$$

□

Finally, we estimate the relationship between $L_X(t)$ and $C'_{NJ}(X)$, $J(X)$, and $\delta_X(\varepsilon)$ in turn.

Proposition 4.6. *Let X be a Banach space. Then $L_X(t) \geq (4t^2 - 4t + 1)C'_{NJ}(X)$.*

Proof. For any $x, y \in S_X$, $x + y \perp_I x - y$. Then

$$L_X(t) \geq \frac{\|t(x+y) + (1-t)(x-y)\|^2 + \|(1-t)(x+y) + t(x-y)\|^2}{\|(x+y) + (x-y)\|^2}.$$

Since

$$\|t(x+y) + (1-t)(x-y)\| \geq \left| \|t(x+y)\| - \|(1-t)(x-y)\| \right|$$

and

$$\|(1-t)(x+y) + t(x-y)\| \geq \left| \|(1-t)(x+y)\| - \|t(x-y)\| \right|,$$

then

$$\begin{aligned} L_X(t) &\geq \frac{(t^2 + (1-t)^2)(\|x+y\|^2 + \|x-y\|^2) - 4t(1-t)\|x+y\|\|x-y\|}{4} \\ &\geq \frac{(1-2t+t^2)(\|x+y\|^2 + \|x-y\|^2) - 2t(1-t)(\|x+y\|^2 + \|x-y\|^2)}{4} \\ &= (4t^2 - 4t + 1) \cdot \frac{\|x+y\|^2 + \|x-y\|^2}{4}, \end{aligned}$$

which implies that $L_X(t) \geq (4t^2 - 4t + 1)C'_{NJ}(X)$. \square

Proposition 4.7. *Let X be a Banach space. Then*

$$\frac{1}{2}J^2(X) - 2tJ(X) + 2t^2 \leq L_X(t) \leq \frac{2t^2 - 2t + 1}{4}J^2(X) + (2t - 2t^2)J(X) + 2t^2 - 2t + 1.$$

Proof. For any $x, y \in S_X$, since

$$\|x+y\| = \|x + (1-2t)y + 2ty\| \leq \|x + (1-2t)y\| + 2t$$

and

$$\|x-y\| = \|x - (1-2t)y - 2ty\| \leq \|x - (1-2t)y\| + 2t,$$

so we obtain

$$\begin{aligned} &\min\{\|x+y\|, \|x-y\|\}^2 \\ &\leq \min\{\|x + (1-2t)y\| + 2t, \|x - (1-2t)y\| + 2t\}^2 \\ &\leq \frac{(\|x + (1-2t)y\| + 2t)^2 + (\|x - (1-2t)y\| + 2t)^2}{2} \\ &= \frac{\|x + (1-2t)y\|^2 + \|x - (1-2t)y\|^2}{2} + \frac{4t(\|x + (1-2t)y\| + \|x - (1-2t)y\|)}{2} + 4t^2 \\ &\leq \gamma_X(1-2t) + 4t\sqrt{\gamma_X(1-2t)} + 4t^2 \\ &= \left(\sqrt{\gamma_X(1-2t)} + 2t\right)^2, \end{aligned}$$

which implies that $J(X) \leq \sqrt{\gamma_X(1-2t)} + 2t = \sqrt{2L_X(t)} + 2t$, that is,

$$\frac{1}{2}J^2(X) - 2tJ(X) + 2t^2 \leq L_X(t).$$

On the other hand, since

$$\|x + \alpha y\| = \left\| \frac{1+\alpha}{2}(x+y) + \frac{1-\alpha}{2}(x-y) \right\| \leq \frac{1+\alpha}{2}\|x+y\| + \frac{1-\alpha}{2}\|x-y\|$$

and

$$\|x - \alpha y\| = \left\| \frac{1-\alpha}{2}(x+y) + \frac{1+\alpha}{2}(x-y) \right\| \leq \frac{1-\alpha}{2}\|x+y\| + \frac{1+\alpha}{2}\|x-y\|,$$

we have

$$\begin{aligned} \|x + \alpha y\|^2 + \|x - \alpha y\|^2 &\leq \frac{1+\alpha^2}{2} (\|x+y\|^2 + \|x-y\|^2) + (1-\alpha^2) \|x+y\| \|x-y\| \\ &\leq \frac{1+\alpha^2}{2} (J^2(X) + 4) + 2(1-\alpha^2) J(X), \end{aligned}$$

which implies that $\gamma_X(1-2t) \leq \frac{4t^2-4t+2}{4} J^2(X) + (4t-4t^2) J(X) + 4t^2 - 4t + 2$. Hence

$$L_X(t) \leq \frac{2t^2-2t+1}{4} J^2(X) + (2t-2t^2) J(X) + 2t^2 - 2t + 1.$$

□

Theorem 4.8. Let X be a Banach space. Then

$$\begin{aligned} \frac{1}{2} (1-2t)^2 \left(\frac{\varepsilon}{2} - \delta_X(\varepsilon) + 1 \right)^2 &\leq L_X(t) \\ &\leq (2t^2 - 2t + 1) (1 - \delta_X(\varepsilon))^2 + 2t(1-t)\varepsilon (1 - \delta_X(\varepsilon)) + \frac{2t^2 - 2t + 1}{4} \varepsilon^2, \end{aligned}$$

where $0 < \varepsilon \leq 2$.

Proof. For any $x, y \in S_X$, it holds that

$$\begin{aligned} \gamma_X(t) &\geq \frac{\|x + ty\|^2 + \|x - ty\|^2}{2} \\ &\geq \frac{1+t^2}{4} (\|x+y\|^2 + \|x-y\|^2) - \frac{(1-t^2) \|x+y\| \|x-y\|}{2} \\ &\geq t^2 \left(\frac{\|x+y\| + \|x-y\|}{2} \right)^2. \end{aligned}$$

Combined with the definition of the constant $\rho_X(1)$, we have $\gamma_X(t) \geq t^2 (\rho_X(1) + 1)^2$. Hence,

$$L_X(t) = \frac{1}{2} \gamma_X(1-2t) \geq \frac{1}{2} (1-2t)^2 (\rho_X(1) + 1)^2.$$

In [12], it is noted that $\rho_X(1) = \sup \left\{ \frac{\varepsilon}{2} - \delta_X(\varepsilon) : 0 \leq \varepsilon \leq 2 \right\}$, so we obtain

$$L_X(t) \geq \frac{1}{2} (1-2t)^2 \left(\frac{\varepsilon}{2} - \delta_X(\varepsilon) + 1 \right)^2.$$

On the other hand, for any $x, y \in S_X$, $\|x+y\| \leq 2 - 2\delta_X(\varepsilon)$. Then

$$\begin{aligned} \frac{\|x + ty\|^2 + \|x - ty\|^2}{2} &\leq \frac{1+t^2}{4} (\|x+y\|^2 + \|x-y\|^2) + \frac{1-t^2}{2} \|x+y\| \|x-y\| \\ &\leq \frac{1+t^2}{4} (4(1-\delta_X(\varepsilon))^2 + \varepsilon^2) + (1-t^2) (1-\delta_X(\varepsilon)) \varepsilon, \end{aligned}$$

which implies that $\gamma_X(t) \leq (1+t^2) (1-\delta_X(\varepsilon))^2 + (1-t^2) \varepsilon (1-\delta_X(\varepsilon)) + \frac{1+t^2}{4} \varepsilon^2$. Therefore,

$$L_X(t) = \frac{1}{2} \gamma_X(1-2t) \leq (2t^2 - 2t + 1) (1 - \delta_X(\varepsilon))^2 + 2t(1-t)\varepsilon (1 - \delta_X(\varepsilon)) + \frac{2t^2 - 2t + 1}{4} \varepsilon^2.$$

□

5. Some Geometric Properties Associated with $L_X(t)$

First, we use the lower bound of the geometric constant $L_X(t)$ to characterize Hilbert spaces, showing that the lower bound of $L_X(t)$ is also sharp, and provide three equivalent forms. Here, we introduce two lemmas that will be used in the proof.

Lemma 5.1. [14] A normed space X is an inner product space if and only if $x \perp_I y \iff x \perp_P y$ for all $x, y \in X$.

Lemma 5.2. [1] A normed space X is an inner product space if and only if for any $x, y \in S_X$, there exist $a, b \neq 0$ such that

$$\|ax + by\|^2 + \|ax - by\|^2 \sim 2(a^2 + b^2)$$

where \sim stands for $=, \leq$ or \geq .

Theorem 5.3. Let X be a Banach space, then the following conditions are equivalent:

- (i) X is a Hilbert space.
- (ii) $L_X(t) = 2t^2 - 2t + 1$ for any $t \in [0, \frac{1}{2})$.
- (iii) $L_X(t) = 2t_0^2 - 2t_0 + 1$ for some $t_0 \in [0, \frac{1}{2})$.

Proof. Assuming (i) holds, for any two non-zero vectors $x, y \in X$ such that $x \perp_I y$, it follows that $x \perp_P y$, i.e., $\|x + y\|^2 = \|x\|^2 + \|y\|^2$. Therefore

$$\begin{aligned} \|tx + (1-t)y\|^2 + \|(1-t)x + ty\|^2 &= \|tx\|^2 + \|(1-t)y\|^2 + \|(1-t)x\|^2 + \|ty\|^2 + 4t(1-t)\langle x, y \rangle \\ &= (2t^2 - 2t + 1)(\|x\|^2 + \|y\|^2), \end{aligned}$$

which implies that

$$\begin{aligned} \frac{\|tx + (1-t)y\|^2 + \|(1-t)x + ty\|^2}{\|x + y\|^2} &= \frac{(2t^2 - 2t + 1)(\|x\|^2 + \|y\|^2)}{\|x\|^2 + \|y\|^2} \\ &= 2t^2 - 2t + 1. \end{aligned}$$

Assuming (ii) holds. Then (iii) clearly holds.

Assuming (iii) holds. Then for any $x, y \in S_X$, $x + y \perp_I x - y$,

$$\frac{\|t_0(x + y) + (1 - t_0)(x - y)\|^2 + \|(1 - t_0)(x + y) + t_0(x - y)\|^2}{\|(x + y) + (x - y)\|^2} \leq 2t_0^2 - 2t_0 + 1,$$

that is, $\|x - (1 - 2t_0)y\|^2 + \|x + (1 - 2t_0)y\|^2 \leq 4(2t_0^2 - 2t_0 + 1)$. Let $a = 1, b = (1 - 2t_0)$, then $a, b \neq 0$ and $\|ax + by\|^2 + \|ax - by\|^2 \leq 2(a^2 + b^2)$. Combining Lemma 5.2, then (i) holds. \square

Next, we demonstrate that if X is not uniformly non-square, $L_X(t)$ attains its upper bound. Furthermore, when $L_X(t_0)$ is less than a certain value for some t_0 , X exhibits a (uniform) normal structure.

Theorem 5.4. Let X be a Banach space. Then

- (i) If X is not uniformly non-square, then $L_X(t) = 2t^2 - 4t + 2$ for any $t \in [0, \frac{1}{2})$.
- (ii) If $L_X(t_0) < \frac{4t_0^2 - 8t_0 + 5}{4}$ for some $t_0 \in [0, \frac{1}{2})$, then X has uniform normal structure.
- (iii) If $L_X(t_0) < \frac{9(1-2t_0)^2}{8}$ for some $t_0 \in [0, \frac{1}{2})$, then X has normal structure.

Proof. (i) Since X is not uniformly non-square, then $\gamma_X(1 - 2t) = (1 + (1 - 2t))^2 = 4(1 - t)^2$. Hence, $L_X(t) = \frac{1}{2}\gamma_X(1 - 2t) = 2t^2 - 4t + 2$.

(ii) Since $L_X(t_0) < \frac{4t_0^2 - 8t_0 + 5}{4}$, then $2\gamma_X(1 - 2t) = 4L_X(t) < 4t_0^2 - 8t_0 + 5 = 1 + (1 + (1 - 2t_0))^2$, which implies that X has uniform normal structure.

(iii) Since $L_X(t_0) < \frac{9(1-2t_0)^2}{8}$, then $\frac{1}{2}(1 - 2t_0)^2 \left(\frac{\varepsilon}{2} - \delta_X(\varepsilon) + 1\right)^2 < \frac{9(1-2t_0)^2}{8}$. Hence, $\delta_X(\varepsilon) > \frac{\varepsilon-1}{2}$, then $\delta_X(1) > 0$. Therefore, X has normal structure. \square

Now, we make use of the following lemma, which is indispensable in the proof of Theorem 5.6.

Lemma 5.5. [8] Let X be a Banach space and $x, y \in X$. If $x \perp_I y$, then the following inequalities hold.

- (i) $\|x + \alpha y\| \leq |\alpha| \|x \pm y\|$ and $\|x \pm y\| \leq \|x + \alpha y\|$, when $|\alpha| \geq 1$.
- (ii) $\|x + \alpha y\| \leq \|x \pm y\|$ and $|\alpha| \|x \pm y\| \leq \|x + \alpha y\|$, when $|\alpha| \leq 1$.

In Theorem 5.4(i), this does not appear to be a necessary and sufficient condition. In contrast, we derive the following conclusion:

Theorem 5.6. Let X be a finite dimensional Banach space. If $L_X(t_0) = 2t_0^2 - 4t_0 + 2$ for some $t_0 \in [0, \frac{1}{2})$, then X is not uniformly non-square.

Proof. Since $L_X(t_0) = 2t_0^2 - 4t_0 + 2$, then there exist $x_n \in S_X, y_n \in B_X$ such that $x_n \perp_I y_n$ and

$$\lim_{n \rightarrow \infty} \frac{\|t_0 x_n + (1 - t_0) y_n\|^2 + \|(1 - t_0) x_n + t_0 y_n\|^2}{\|x_n + y_n\|^2} = 2t_0^2 - 4t_0 + 2.$$

Since X is finite dimensional, then there exist $x_0, y_0 \in B_X$ such that $x_0 \perp_I y_0$ and

$$\lim_{i \rightarrow \infty} \|x_{n_i}\| = \|x_0\|, \lim_{i \rightarrow \infty} \|y_{n_i}\| = \|y_0\|.$$

Combining Lemma 5.5, we obtain

$$\|t_0 x_n + (1 - t_0) y_n\| \leq (1 - t_0) \|x_n + y_n\| \text{ and } \|(1 - t_0) x_n + t_0 y_n\| \leq (1 - t_0) \|x_n + y_n\|,$$

thus,

$$\frac{(1 - t_0)^2 \|x_n + y_n\|^2 + (1 - t_0)^2 \|x_n + y_n\|^2}{\|x_n + y_n\|^2} \leq 2t_0^2 - 4t_0 + 2,$$

then $\|t_0 x_0 + (1 - t_0) y_0\| = (1 - t_0) \|x_0 + y_0\|$ and $\|(1 - t_0) x_0 + t_0 y_0\| = (1 - t_0) \|x_0 + y_0\|$.

On the other hand, $\|t_0 x_0 + (1 - t_0) y_0\| \leq (1 - 2t_0) \|y_0\| + t_0 \|x_0 + y_0\|$, then $\|x_0 + y_0\| \leq \|y_0\|$.

Similarly, $\|x_0 + y_0\| \leq \|x_0\|$ also holds. Therefore,

$$\max\{\|x_0 + y_0\|, \|x_0 - y_0\|\} = \|x_0 + y_0\| \leq \min\{\|x_0\|, \|y_0\|\} \leq 1 < 1 + \delta$$

for any $\delta \in (0, 1)$, which shows that X is not uniformly non-square. \square

Additionally, we apply the inequality between $L_X(t)$ and the modulus of convexity as described in Theorem 4.8, along with the properties of the modulus of convexity, to elucidate the relationship between $L_X(t)$ and both uniformly convex spaces and strictly convex spaces.

Proposition 5.7. Let X be a Banach space and $L_X(0) > 1$, then X is not uniformly convex. Particularly, if $L_X(t) > 2t^2 - 2t + 1$ for any $t \in [0, \frac{1}{2})$, then X is not strictly convex.

Proof. If $L_X(0) > 1$, then there exists $\varepsilon_0 \in (0, 2]$ such that $L_X(0) \geq 1 + \frac{\varepsilon_0^2}{4}$.

Since $L_X(t) \leq (2t^2 - 2t + 1)(1 - \delta_X(\varepsilon))^2 + 2t(1 - t)\varepsilon(1 - \delta_X(\varepsilon)) + \frac{2t^2 - 2t + 1}{4}\varepsilon^2$, then

$$1 + \frac{\varepsilon_0^2}{4} \leq (1 - \delta_X(\varepsilon_0))^2 + \frac{\varepsilon_0^2}{4},$$

we can obtain $\delta_X(\varepsilon_0) = 0$. Therefore, $\sup\{\varepsilon \in [0, 2] : \delta_X(\varepsilon) = 0\} \geq \varepsilon_0 > 0$, X is not uniformly convex.

Particularly, if $L_X(t) > 2t^2 - 2t + 1$ for any $t \in [0, \frac{1}{2})$, we suppose that X is strictly convex, then $\delta_X(2) = 1$. We have

$$2t^2 - 2t + 1 < (2t^2 - 2t + 1)(1 - 1)^2 + 4t(1 - t)(1 - 1) + \frac{2t^2 - 2t + 1}{4} \cdot 2^2 = 2t^2 - 2t + 1,$$

this is a contradiction, X is not strictly convex. \square

Now, we discuss the relationship between $L_X(t)$ and uniformly smooth spaces.

Theorem 5.8. *Let X be a Banach space. Then X is uniformly smooth if*

$$\lim_{t \rightarrow \frac{1}{2}^-} \frac{2L_X(t) - 1}{1 - 2t} = 0.$$

Proof. Let $\alpha = 1 - 2t$, then $t = \frac{1-\alpha}{2}$ and $t \rightarrow \frac{1}{2}^- \iff \alpha \rightarrow 0^+$. We have

$$\begin{aligned} \frac{2L_X(t) - 1}{1 - 2t} &= \frac{\gamma_X(1 - 2t) - 1}{1 - 2t} \\ &= \frac{\gamma_X(\alpha) - 1}{\alpha}. \end{aligned}$$

Therefore, $\lim_{\alpha \rightarrow 0^+} \frac{\gamma_X(\alpha) - 1}{\alpha} = 0$, then X is uniformly smooth. \square

Finally, we compute a lower bound for the geometric constant $L_X(t)$ in $l_p - l_q$ ($1 \leq q \leq p < \infty$) spaces and compute its exact values in special $l_2 - l_1$ and $l_\infty - l_1$ spaces. We also note that $l_2 - l_1$ and $l_\infty - l_1$ are uniformly non-square.

Example 5.9. *Let $l_p - l_q$ ($1 \leq q \leq p < \infty$) be \mathbb{R}^2 with the norm defined as:*

$$\|(x_1, x_2)\| = \begin{cases} \|(x_1, x_2)\|_p, & x_1 x_2 \geq 0, \\ \|(x_1, x_2)\|_q, & x_1 x_2 < 0. \end{cases}$$

Then

$$L_{l_p-l_q}(t) \geq 2^{-1-\frac{2}{p}} \left[\left(1 + 2^{\frac{1}{p}-\frac{1}{q}} - 2^{\frac{1}{p}-\frac{1}{q}+1} \cdot t\right)^p + \left(1 - 2^{\frac{1}{p}-\frac{1}{q}} + 2^{\frac{1}{p}-\frac{1}{q}+1} \cdot t\right)^p \right]^{\frac{2}{p}}.$$

Particularly, $L_{l_2-l_1}(t) = 2t^2 - 3t + \frac{3}{2}$ and $L_{l_\infty-l_1}(t) = \frac{4t^2-8t+5}{4}$.

Proof. Let $x = \left(\frac{1}{2^{\frac{1}{p}}}, \frac{1}{2^{\frac{1}{p}}}\right)$, $y = \left(\frac{1}{2^{\frac{1}{q}}}, -\frac{1}{2^{\frac{1}{q}}}\right)$, then $x \perp_I y$ and

$$\gamma_{l_p-l_q}(t) \geq \frac{\|x + ty\|^2 + \|x - ty\|^2}{2} = 2^{-\frac{2}{p}} \left[\left(1 + t \cdot 2^{\frac{1}{p}-\frac{1}{q}}\right)^p + \left(1 - t \cdot 2^{\frac{1}{p}-\frac{1}{q}}\right)^p \right]^{\frac{2}{p}}.$$

Hence,

$$\begin{aligned} L_{l_p-l_q}(t) &= \frac{1}{2} \gamma_{l_p-l_q}(1 - 2t) \\ &\geq 2^{-1-\frac{2}{p}} \left[\left(1 + 2^{\frac{1}{p}-\frac{1}{q}} - 2^{\frac{1}{p}-\frac{1}{q}+1} \cdot t\right)^p + \left(1 - 2^{\frac{1}{p}-\frac{1}{q}} + 2^{\frac{1}{p}-\frac{1}{q}+1} \cdot t\right)^p \right]^{\frac{2}{p}}. \end{aligned}$$

Particularly, since $\gamma_{l_2-l_1}(t) = 1 + t + t^2$ and $\gamma_{l_\infty-l_1}(t) = \frac{1}{2}(1 + (1+t)^2)$ [16], then

$$L_{l_2-l_1}(t) = \frac{1}{2} \gamma_{l_2-l_1}(1 - 2t) = 2t^2 - 3t + \frac{3}{2}$$

and

$$L_{l_\infty-l_1}(t) = \frac{1}{2} \gamma_{l_\infty-l_1}(1 - 2t) = \frac{4t^2 - 8t + 5}{4}.$$

\square

By simple calculation, we obtain $L_{l_2-l_1}(t), L_{l_\infty-l_1}(t) < 2t^2 - 4t + 2$ for any $t \in [0, \frac{1}{2})$, combined with Theorem 5.4 (i), this shows that $l_2 - l_1, l_\infty - l_1$ both are uniformly non-square.

Remark 5.10. Using identity (1), we calculate that

$$C_{\text{NJ}}(l_2 - l_1) = \frac{3}{2}, \quad C_{\text{NJ}}(l_\infty - l_1) = \frac{3 + \sqrt{5}}{4}.$$

In Remark 5.10, we calculate the value of $C_{\text{NJ}}(X)$ in these two specific spaces, which is consistent with the known results. This further demonstrates that the definition of the geometric constant $L_X(t)$ is meaningful and the identity is valid.

Declarations

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