



q -Numerical radii of sectorial matrices and 2×2 operator matrices

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Abstract. This article focuses on several significant bounds of q -numerical radius $w_q(A)$ for sectorial matrix A , which refine and generalize previously established bounds. One of the significant bounds we have derived is as follows:

$$\frac{|q|^2 \cos^2(\alpha)}{2} \|A^*A + AA^*\| \leq w_q^2(A) \leq \frac{\left(\sqrt{(1 - |q|^2)(1 + 2 \sin^2(\alpha))} + |q| \right)^2}{2} \|A^*A + AA^*\|,$$

where A is a sectorial matrix. Also, upper bounds for commutator and anti-commutator matrices and relations between $w_q(A^t)$ and $w_q^t(A)$ for non-integral power $t \in [0, 1]$ are also obtained. Moreover, a few significant estimations of q -numerical radii of off-diagonal 2×2 operator matrices are developed.

1. Introduction

Let $\mathcal{B}(\mathcal{H})$ be the C^* algebra of all bounded linear operators acting on the Hilbert space $(\mathcal{H}, \langle \cdot, \cdot \rangle)$ equipped with the operator norm. For any $T \in \mathcal{B}(\mathcal{H})$, the numerical range $W(T)$, the numerical radius $w(T)$, and the operator norm $\|T\|$ are defined, respectively, by

$$W(T) = \{ \langle Tx, x \rangle : x \in \mathcal{H}, \|x\| = 1 \},$$

$$w(T) = \sup \{ |\langle Tx, x \rangle| : x \in \mathcal{H}, \|x\| = 1 \}, \text{ and}$$

$$\|T\| = \sup \{ |\langle Tx, y \rangle| : x, y \in \mathcal{H}, \|x\| = \|y\| = 1 \}.$$

It is well known that $w(\cdot)$ defines a norm on $\mathcal{B}(\mathcal{H})$, which is equivalent to the usual operator norm $\|T\|$. In fact, for every $T \in \mathcal{B}(\mathcal{H})$, the following relation holds:

$$\frac{\|T\|}{2} \leq w(T) \leq \|T\|. \quad (1)$$

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The left-hand side inequality becomes an equality when $T^2 = 0$, and the other inequality becomes an equality when the operator T is normal.

In 2005, Kittaneh [20] obtained one more refinement of inequality (1) as follows

$$\frac{1}{4}\|T^*T + TT^*\| \leq w^2(T) \leq \frac{1}{2}\|T^*T + TT^*\|. \quad (2)$$

For more such inequalities, one may refer to the recent articles [4, 6, 12, 13, 22, 23] along with the references therein and, the books [5, 17].

Recently, studies have been conducted on the numerical radius of a particular class of matrices, known as sectorial matrices. Let M_n be the algebra of $n \times n$ matrices. Every $A \in M_n$ admits the decomposition $A = \mathcal{R}(A) + i\mathcal{I}(A)$, where $\mathcal{R}(A) = \frac{A+A^*}{2}$ and $\mathcal{I}(A) = \frac{A-A^*}{2i}$ are Hermitian matrices. Recall that a matrix $A \in M_n$ is said to be positive semi-definite (positive definite), denoted by $A \geq 0$ ($A > 0$), if $\langle Ax, x \rangle \geq 0$ ($\langle Ax, x \rangle > 0$), for all $x \in \mathbb{C}^n$ ($0 \neq x \in \mathbb{C}^n$). A matrix $A \in M_n$ is said to be accretive if $\mathcal{R}(A) > 0$. Also, $A \in M_n$ is said to be accretive-dissipative if $\mathcal{R}(A) > 0$ and $\mathcal{I}(A) > 0$. In other words, A is accretive if the numerical range $W(A)$ is a subset of the right-half plane. If the numerical range $W(A)$ is a subset of a sector S_α for some $\alpha \in [0, \frac{\pi}{2})$ in the right half of the complex plane, then A is said to be sectorial where

$$S_\alpha = \{z \in \mathbb{C} : \mathcal{R}z > 0, |\mathcal{I}z| \leq \tan(\alpha)(\mathcal{R}z)\}.$$

Here, $\mathcal{R}z$ and $\mathcal{I}z$ denote the real and imaginary parts of the complex number z . The class of all $n \times n$ sectorial matrices where $W(T) \subseteq S_\alpha$ is denoted by $\Pi_{S,\alpha}^n$. If $\alpha = 0$, then the sector S_α reduces to the interval $(0, \infty)$, reducing the class $\Pi_{S,\alpha}^n$ to the set of all positive definite matrices in M_n . If $A > 0$, then A is a sectorial matrix with $\alpha = 0$. The numerical range and radius of sectorial matrices have been explored by several authors. In particular, Samah Abu Sammour et al. [28], Yassine Bedrani et al. [3], and Pintu Bhunia et al. [7] have focused their study on the bounds of the numerical radius of sectorial matrices. However, we explore the concept of more generalized numerical range, namely, the q -numerical range of sectorial matrices. The q -numerical range of $T \in \mathcal{B}(\mathcal{H})$ is defined by,

$$W_q(T) = \{\langle Tx, y \rangle : x, y \in \mathcal{H}, \|x\| = \|y\| = 1, \langle x, y \rangle = q\},$$

where $|q| \leq 1$. The q -numerical radius $w_q(T)$ of $T \in \mathcal{B}(\mathcal{H})$ is

$$w_q(T) = \sup_{w \in W_q(T)} |w|.$$

For any $T \in \mathcal{B}(\mathcal{H})$, we have $T = \mathcal{R}(T) + i\mathcal{I}(T)$, where $\mathcal{R}(T) = \frac{T+T^*}{2}$ and $\mathcal{I}(T) = \frac{T-T^*}{2i}$. The following relations can be easily derived:

$$w_q(\mathcal{R}(T)) \leq w_q(T) \quad \text{and} \quad w_q(\mathcal{I}(T)) \leq w_q(T). \quad (3)$$

Limited research work is available in the literature on the q -numerical range. Notable contributions in this direction can be found in [8, 14, 21, 26, 27, 31]. For a comprehensive review, one can refer to [17, p.380]. Recently in [11], for $T \in \mathcal{B}(\mathcal{H})$ and $q \in (0, 1)$, several significant estimations of the q -numerical radius has been established, such as

$$\frac{q}{2(2-q^2)}\|T\| \leq w_q(T) \leq \|T\|, \quad (4)$$

$$\frac{1}{4} \left(\frac{q}{2-q^2} \right)^2 \|T^*T + TT^*\| \leq w_q^2(T) \leq \frac{(q + 2\sqrt{1-q^2})^2}{2} \|T^*T + TT^*\|. \quad (5)$$

The present work aims to obtain bounds of q -numerical radii of sectorial matrices. This leads to the refinement of several results on q -numerical radius. Significant results on upper bounds for the q -numerical radii of commutator and anti-commutator matrices, and on non-integral powers of matrices, are obtained. Furthermore, this study explores the q -numerical radius inequalities associated with 2×2 block matrices.

2. q -Numerical Radius Inequalities for Sectorial Matrices

Throughout this paper, the symbol T denotes an element of $\mathcal{B}(\mathcal{H})$, while the letter A is designated specifically for $n \times n$ matrices. We start this section by listing some known outcomes that will be required in our analysis of the principal findings.

Lemma 2.1. [28] Let $A \in \prod_{s,\alpha}^n$ for some $\alpha \in [0, \frac{\pi}{2})$. Then

$$\|I(A)\| \leq \sin(\alpha)w(A).$$

Lemma 2.2. [28] Let $A \in \prod_{s,\alpha}^n$. Then

$$\|A\| \leq \sqrt{1 + 2 \sin^2(\alpha)}w(A).$$

The following Lemma represents a relation between $\|\mathcal{R}(A)\|$ and $\|A\|$, where $\|\cdot\|$ is a unitarily invariant norm on M_n .

Lemma 2.3. [32] Let $A \in \prod_{s,\alpha}^n$ and $\|\cdot\|$ be any unitarily invariant norm on M_n . Then

$$\cos(\alpha)\|A\| \leq \|\mathcal{R}(A)\| \leq \|A\|.$$

The following result states that, if a matrix A is sectorial, then raising it to a fractional power will still preserve its sectoriality.

Lemma 2.4. [10] Let $0 \leq \alpha < \frac{\pi}{2}$, $0 < t < 1$ and $A \in M_n$ is a square matrix with $W(A) \subseteq S_\alpha$. Then $W(A^t) \subseteq S_{t\alpha}$.

Additionally, it should be noted that $W(A^{-t})$ is a subset of $S_{t\alpha}$. This can be inferred from the fact that $W(A^{-1})$ is a subset of S_α when $W(A)$ is a subset of S_α .

Here are some additional important results related to the fractional powers of sectorial matrices:

Lemma 2.5. [9] Let $A \in \prod_{s,\alpha}^n$ and $t \in [0, 1]$. Then

$$\cos^{2t}(\alpha)\mathcal{R}(A^t) \leq (\mathcal{R}(A))^t \leq \mathcal{R}(A^t).$$

Lemma 2.6. [9] Let $A \in \prod_{s,\alpha}^n$ and $t \in [-1, 0]$. Then

$$\mathcal{R}(A^t) \leq (\mathcal{R}(A))^t \leq \cos^{2t}(\alpha)\mathcal{R}(A^t).$$

Let \mathcal{D} be the closed unit disc in the complex plane and $\mathcal{D}' = \mathcal{D} \setminus \{0\}$. Also, if $\dim \mathcal{H} = 1$ then $W_q(A)$ is empty if and only if $|q| < 1$, and $W_q(A)$ is non-empty if $\dim \mathcal{H} \geq 2$ [17, p.380, Proposition 3.1(a)]. Thus, throughout the article we restrict ourselves to the case $\dim \mathcal{H} \geq 2$. An operator $T \in \mathcal{B}(\mathcal{H})$ is said to be normaloid if $w(T) = \|T\|$. Also, all normal operators satisfy the similar relation. However, similar equality does not hold for q -numerical radius. For example, let $T = \begin{bmatrix} 5 & 0 \\ 0 & 4 \end{bmatrix}$. Then $\|T\| = 5$ and the q -numerical radius

$w_q(T) = \frac{9q+1}{2} \neq \|T\|$ for all $q \in [0, 1)$ (i.e., except $q = 1$) follows from Theorem 3.4 [17, p.384]. In this context, Theorem 2.7 provides a relation between $w_q(T)$ and $\|T\|$ for normaloid operator T . It is noteworthy that for a normaloid operator T , the equality $r(T) = \|T\| = w(T)$ holds, where $r(T)$ is the spectral radius of T .

We start our main result with a relation between $w_q(T)$ and $w(T)$ for normaloid operators by using the spectral inclusion relation.

Theorem 2.7. If $T \in \mathcal{B}(\mathcal{H})$ is a normaloid operator and $q \in \mathcal{D}$, then

$$|q|w(T) \leq w_q(T) \leq w(T).$$

Proof. From Proposition 3.1 (h) [17, p.380], we have the following inclusion relation

$$q\sigma(T) \subseteq \overline{W_q(T)} \quad \text{for } |q| \leq 1.$$

It follows that

$$|q|r(T) \leq w_q(T).$$

As T is normaloid, we have

$$|q|w(T) \leq w_q(T).$$

Also, it is well-known that $w_q(T) \leq \|T\|$ for all $T \in \mathcal{B}(\mathcal{H})$. Since T is normaloid, we have

$$w_q(T) \leq \|T\| = w(T).$$

This completes the proof. \square

Remark 2.8. A few important observations are mentioned below.

- (i) For normal T , the relation $w(T) = \|T\|$ holds, and subsequently we have $|q|\|T\| \leq w_q(T) \leq \|T\|$ which was recently obtained in [30, Theorem 1.4].
- (ii) For $q \in (0, 1)$, $q \geq \frac{q}{2-q^2}$, thus Theorem 2.7 is a refinement of [11, Theorem 2.1] for normal operator T .
- (iii) Theorem 2.7 is the q -numerical radius analogue of the well-known relation (1) for normal operators, which reduces to the equality $w(T) = \|T\|$ when $q = 1$.
- (iv) Both the inequalities of Theorem 2.7 are best possible. Let S_1 be the set of all $n \times n$ ($n \geq 2$) real scalar matrices. Let $S_1 \ni A_1 = \eta I$, where $\eta (\neq 0) \in \mathbb{R}$ and I is $n \times n$ identity matrix. Therefore, $w_q(A_1) = |q|w(A_1)$. Let S be the right shift operator. Then $w_q(S) = w(S) = 1$ [17, p.384].

For any $T \in \mathcal{B}(\mathcal{H})$, $\mathcal{R}(T)$ and $\mathcal{I}(T)$ are self-adjoint operators. An easy calculation leads to the following corollary.

Corollary 2.9. If $T \in \mathcal{B}(\mathcal{H})$ and $q \in \mathcal{D}$, then we have

- (a) $|q|\|\mathcal{R}(T)\| \leq w_q(\mathcal{R}(T)) \leq \|\mathcal{R}(T)\|$,
- (b) $|q|\|\mathcal{I}(T)\| \leq w_q(\mathcal{I}(T)) \leq \|\mathcal{I}(T)\|$.

The next result provides the equivalence of two norms $\|A\|$ and $w_q(A)$ and extends the inequality (1) for q -numerical radii of sectorial matrices.

Theorem 2.10. (a) If $A \in \prod_{s,\alpha}^n$ and $q \in \mathcal{D}'$, then

$$|q|\cos(\alpha)\|A\| \leq w_q(A) \leq \|A\|.$$

- (b) Let $q \in \mathcal{D}'$. If either $A, A^2 \in \prod_{s,\alpha}^n$ or A is accretive–dissipative, then

$$\frac{|q|}{\sqrt{2}}\|A\| \leq w_q(A) \leq \|A\|.$$

Proof. (a) Using Lemma 2.3, Corollary 2.9, and relation (3) we have

$$\cos(\alpha)\|A\| \leq \|\mathcal{R}(A)\| \leq \frac{1}{|q|}w_q(\mathcal{R}(A)) \leq \frac{1}{|q|}w_q(A) \leq \frac{1}{|q|}\|A\|.$$

Hence, the required result holds.

(b) If $A^2 \in \prod_{S, \alpha}^n$, then Lemma 2.4 implies that

$$W(A) = W((A^2)^{\frac{1}{2}}) \subset S_{\frac{\alpha}{2}} \subset S_{\frac{\pi}{4}}. \quad (6)$$

Also, if A is accretive–dissipative, then

$$W(e^{\frac{-i\pi}{4}} A) \subset S_{\frac{\pi}{4}}. \quad (7)$$

Thus, inequalities (6) and (7), together with part (a), give the desired result. \square

Remark 2.11. (i) If $\alpha \in [0, \frac{\pi}{3})$ and $q = 1$, then the lower bound mentioned in Theorem 2.10(a) is a refinement of lower bound mentioned in (1). Moreover, for $\cos(\alpha) \geq \frac{1}{2(2-q^2)}$, $q \in (0, 1)$, the lower bound mentioned in Theorem 2.10(a) is a refinement of the lower bound mentioned in relation (4).

(ii) Part (b) provides a more precise bound than part (a) of Theorem 2.10 for $\alpha \in (\frac{\pi}{4}, \frac{\pi}{2})$.

The following corollary provides an upper bound for $w_q(AB)$. Recall that for any two matrices $A, B \in M_n$, we have [17, p.125]

$$w(AB) \leq 4w(A)w(B). \quad (8)$$

Also, it is well-known that if A and B are positive semidefinite matrices then

$$w(AB) \leq w(A)w(B). \quad (9)$$

However, the relations (8) and (9) do not hold for q -numerical radius. Consider normal matrices $A = \begin{bmatrix} 1 & 0 \\ 0 & 2 \end{bmatrix}$ and $B = \begin{bmatrix} 2 & 0 \\ 0 & 2 \end{bmatrix}$. Then by Theorem 3.4 [17, p.384], we have $w_q(A) = \frac{3q+1}{2}$, $w_q(B) = 2q$ and $w_q(AB) = 3q + 1$. One can check that $w_q(AB) \not\leq w_q(A)w_q(B)$ for all $q \in [0, 1)$ and $w_q(AB) \not\leq 4w_q(A)w_q(B)$ for $q < 0.25$. Our next theorem is an attempt to overcome such situations. Using Theorem 2.10(a) and $w_q(AB) \leq \|A\| \|B\|$, we can derive the following result easily.

Corollary 2.12. For $q \in \mathcal{D}'$, the following hold:

(a) If A and B both are sectorial matrices, then

$$|q|^2 w_q(AB) \leq \sec^2(\alpha) w_q(A) w_q(B).$$

(b) If A and B both are positive definite matrices, then

$$|q|^2 w_q(AB) \leq w_q(A) w_q(B).$$

Remark 2.13. If we take $q = 1$, Corollary 2.12(a) implies that $w(AB) \leq \sec^2(\alpha) w(A) w(B)$ when A and B both are sectorial matrices, which is a refinement of inequality (8) when $\alpha \in (0, \frac{\pi}{3})$. If we take $q = 1$ in Corollary 2.12(b), we obtain the well-known result $w(AB) \leq w(A) w(B)$ for positive definite matrices A and B .

Before proceeding to the next theorem, we establish the following construction.

Consider $q \in \mathcal{D}$ and $\dim \mathcal{H} \geq 2$. Let $x \in \mathcal{H}$ be the unit vector. For any $y \in \mathcal{H}$ with $\|y\| = 1$ and $\langle x, y \rangle = q$, using $\mathcal{H} = \text{lin}\{x\} \oplus \{x\}^\perp$, we can express y as

$$y = \bar{q}x + \sqrt{1 - |q|^2} z, \quad \text{where } z \in \mathcal{H}, \|z\| = 1, \text{ and } \langle x, z \rangle = 0. \quad (10)$$

The converse is also true, i.e., if (10) holds, then $\langle x, y \rangle = q$ and $\|y\| = 1$.

Our next focus is to establish a relation between the q -numerical radii and classical numerical radii for sectorial matrices. It is evident that if A is normaloid, then $w_q(A) \leq w(A)$. Take $q \in (0, 1)$ and a non-normaloid matrix $A = \begin{bmatrix} 0 & 1 \\ 0 & 0 \end{bmatrix}$. Then for $\begin{bmatrix} x_1 \\ x_2 \end{bmatrix}, \begin{bmatrix} y_1 \\ y_2 \end{bmatrix} \in \mathbb{R}^2$, with $\|x\| = \|y\| = 1$ and $\langle x, y \rangle = q$, we have $\langle Ax, y \rangle = x_2 y_1$.

Thus

$$w_q(A) = \sup_{\|x\|=1, \|y\|=1, \langle x, y \rangle = q} |x_2 y_1|. \quad (11)$$

If we take $x = \left(\frac{1}{\sqrt{2}}, \frac{1}{\sqrt{2}}\right)$ and $y = \left(\frac{q - \sqrt{1-q^2}}{\sqrt{2}}, \frac{q + \sqrt{1-q^2}}{\sqrt{2}}\right)$, then equation (11) implies $w_q(A) \geq \frac{q + \sqrt{1-q^2}}{2}$. Also, $w(A) = \frac{1}{2}$. In this case $w_q(A) > w(A)$, $q \in (0, 1)$. In general, there is no relation between classical numerical range and q -numerical range. Our next result is an attempt in this direction for sectorial matrices.

Theorem 2.14. Let $A \in \Pi_{s, \alpha}^n$ and $q \in \mathcal{D}$. Then

$$(a) \quad w_q(A) \leq \left(\sqrt{(1 - |q|^2)(1 + 2 \sin^2(\alpha))} + |q| \right) w(A),$$

$$(b) \quad w_q(A) \leq \sqrt{1 + \sin^2(\alpha)} w(A).$$

Proof. (a) Let $x, y \in \mathcal{H}$ such that $\|x\| = \|y\| = 1$ and $\langle x, y \rangle = q$. Then y can be expressed as $y = \bar{q}x + \sqrt{1 - |q|^2}z$, where $z \in \mathcal{H}$, $\|z\| = 1$ and $\langle x, z \rangle = 0$. Therefore,

$$\begin{aligned} |\langle Ax, y \rangle| &\leq |\langle Ax, \bar{q}x + \sqrt{1 - |q|^2}z \rangle| \\ &\leq |q| |\langle Ax, x \rangle| + \sqrt{1 - |q|^2} |\langle Ax, z \rangle| \\ &\leq |q| |\langle Ax, x \rangle| + \sqrt{1 - |q|^2} \|A\|. \end{aligned}$$

Using Lemma 2.2, we get

$$|\langle Ax, y \rangle| \leq \sqrt{(1 - |q|^2)(1 + 2 \sin^2(\alpha))} w(A) + |q| |\langle Ax, x \rangle|.$$

This implies,

$$|\langle Ax, y \rangle| \leq \left(\sqrt{(1 - |q|^2)(1 + 2 \sin^2(\alpha))} + |q| \right) w(A).$$

Taking supremum over all x, y with $\|x\| = \|y\| = 1$ and $\langle x, y \rangle = q$, we have

$$w_q(A) \leq \left(\sqrt{(1 - |q|^2)(1 + 2 \sin^2(\alpha))} + |q| \right) w(A).$$

(b) Using the Cartesian decomposition $A = \mathcal{R}(A) + i\mathcal{I}(A)$, we obtain

$$\begin{aligned} |\langle Ax, y \rangle| &\leq \sqrt{\langle \mathcal{R}(A)x, y \rangle^2 + \langle \mathcal{I}(A)x, y \rangle^2} \\ &\leq \left(\sqrt{\|\mathcal{R}(A)\|^2 + \|\mathcal{I}(A)\|^2} \right) \|x\| \|y\|. \end{aligned}$$

Using $\|\mathcal{R}(A)\| \leq w(A)$ and Lemma 2.1, we have

$$|\langle Ax, y \rangle| \leq \left(\sqrt{w^2(A) + \sin^2(\alpha) w^2(A)} \right) \|x\| \|y\|.$$

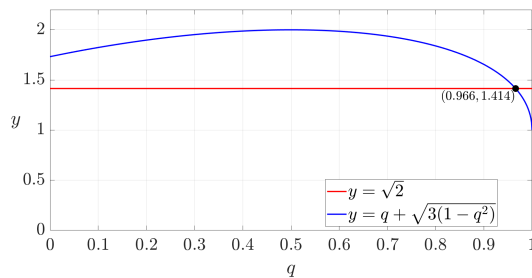
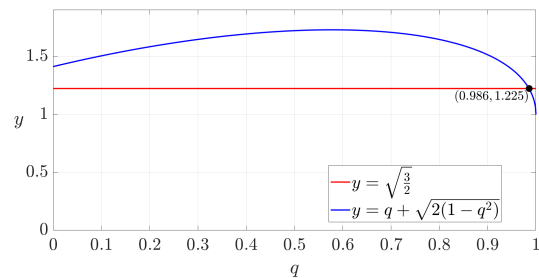
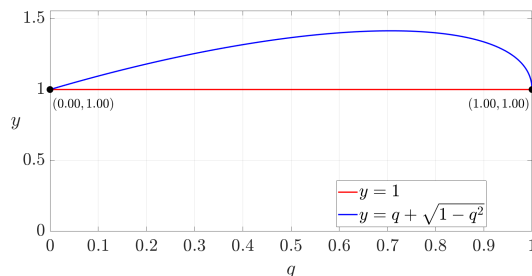
Taking supremum over all x, y on both sides with $\|x\| = \|y\| = 1$ and $\langle x, y \rangle = q$, we have

$$w_q(A) \leq \sqrt{1 + \sin^2(\alpha)} w(A).$$

□

Remark 2.15. Theorem 2.14 enables us to explore the ratio $\frac{w_q(A)}{w(A)}$ in terms of q and the sectorial index α . Also, for different values of α (say $\alpha = 0, \frac{\pi}{4}, \frac{\pi}{2}$) we can compare the bounds in part (a) and part (b) as follows:

- (i) For $\alpha = \frac{\pi}{2}$, $\sqrt{2} = \sqrt{1 + \sin^2(\alpha)} \leq q + \sqrt{3(1 - q^2)} = \sqrt{(1 - |q|^2)(1 + 2\sin^2(\alpha))} + |q|$ holds when $q \in [0, 0.966]$,
- (ii) For $\alpha = \frac{\pi}{4}$, $\sqrt{\frac{3}{2}} = \sqrt{1 + \sin^2(\alpha)} \leq q + \sqrt{2(1 - q^2)} = \sqrt{(1 - |q|^2)(1 + 2\sin^2(\alpha))} + |q|$ holds when $q \in [0, 0.986]$,
- (iii) For $\alpha = 0$, $1 = \sqrt{1 + \sin^2(\alpha)} \leq q + \sqrt{1 - q^2} = \sqrt{(1 - |q|^2)(1 + 2\sin^2(\alpha))} + |q|$ holds when $q \in [0, 1]$.

Figure 1: When $\alpha = \frac{\pi}{2}$ Figure 2: When $\alpha = \frac{\pi}{4}$ Figure 3: When $\alpha = 0$

The analysis of the figures demonstrates that the bound presented in part (b) is a refinement of the bound presented in part (a) within a specific range of q . As the value of α decreases, the region of q where refinement occurs expands correspondingly. This observation highlights the relationship between the parameter α and the extent of refinement achieved in the specified region of q .

Now we are ready to give q -numerical radius version of Theorem 1 [20] for sectorial matrices. Furthermore, this result serves as a refinement of Theorem 3.1 in [11].

Theorem 2.16. Let $A \in \Pi_{s,\alpha}^n$ and $q \in \mathcal{D}'$. Then

$$\frac{|q|^2 \cos^2 \alpha}{2} \|A^*A + AA^*\| \leq w_q^2(A) \leq \left(\sqrt{(1 - |q|^2)(1 + 2\sin^2(\alpha))} + |q| \right)^2 \frac{\|A^*A + AA^*\|}{2}.$$

Proof. From Lemma 2.1, we have

$$\|I(A)\| \leq \sin(\alpha)w(A) \leq \sin(\alpha)\|A\|.$$

Using Theorem 2.10(a), we have

$$\|I(A)\| \leq \frac{1}{|q|} \tan(\alpha)w_q(A). \quad (12)$$

Also,

$$\begin{aligned} \|A^*A + AA^*\| &= 2\|\mathcal{R}^2(A) + I^2(A)\| \\ &\leq 2(\|\mathcal{R}(A)\|^2 + \|I(A)\|^2). \end{aligned}$$

Using equation (12) and Corollary 2.9(a), we have

$$\begin{aligned} \|A^*A + AA^*\| &\leq 2\left(\frac{1}{|q|^2}w_q^2(\mathcal{R}(A)) + \tan^2 \alpha \frac{1}{|q|^2}w_q^2(I(A))\right) \\ &\leq 2\left(\frac{1}{|q|^2}w_q^2(A) + \tan^2 \alpha \frac{1}{|q|^2}w_q^2(A)\right). \end{aligned}$$

Thus, we have

$$\frac{|q|^2 \cos^2 \alpha}{2} \|A^*A + AA^*\| \leq w_q^2(A).$$

For the other part, Theorem 2.14(a) implies,

$$w_q^2(A) \leq \left(\sqrt{(1 - |q|^2)(1 + 2 \sin^2(\alpha))} + |q|\right)^2 w^2(A).$$

Using relation (2), we obtain

$$w_q^2(A) \leq \left(\sqrt{(1 - |q|^2)(1 + 2 \sin^2(\alpha))} + |q|\right)^2 \frac{\|A^*A + AA^*\|}{2}.$$

□

Remark 2.17. (i) If $q \in (0, 1)$, the upper bound of $w_q^2(A)$ of the aforementioned theorem refines the upper bound of $w_q^2(A)$ given in relation (5). Furthermore, if either $\cos(\alpha) \geq \frac{1}{\sqrt{2(2-q^2)}}$ or $\alpha \in [0, \frac{\pi}{4})$, the lower bound of $w_q^2(A)$ in the aforementioned theorem provides an improvement over the lower bound of $w_q^2(A)$ in relation (5).

(ii) If $q = 1$, Theorem 2.16 gives us

$$\frac{\cos^2(\alpha)}{2} \|A^*A + AA^*\| \leq w^2(A) \leq \frac{\|A^*A + AA^*\|}{2}. \quad (13)$$

Clearly, if $\alpha \in [0, \frac{\pi}{4})$, then lower bound of above inequality is a refinement of the lower bound of inequality (2), and if A is a positive definite matrix, then inequality (13) gives us $w^2(A) = \frac{\|A^*A + AA^*\|}{2}$.

Next, we focus on the q -numerical radius inequalities of commutator and anti-commutator sectorial matrices.

Theorem 2.18. Let $B, C, D \in M_n$, $A \in \prod_{s,\alpha}^n$ and $q \in \mathcal{D}'$. Then

$$|q|w_q(ACB \pm BDA) \leq 2 \sec(\alpha) \max\{\|C\|, \|D\|\}w_q(A)\|B\|.$$

Proof. As the norm satisfies the homogeneity property, we can take $\|C\| \leq 1$ and $\|D\| \leq 1$. Consider,

$$w_q(AC \pm DA) \leq \|AC \pm DA\| \leq 2\|A\|.$$

It follows from Theorem 2.10 that,

$$w_q(AC \pm DA) \leq \frac{2}{|q|} \sec(\alpha) w_q(A). \quad (14)$$

If $C = D = 0$, then the required result holds trivially. Let $\max\{\|C\|, \|D\|\} \neq 0$. Then $\left\| \frac{C}{\max\{\|C\|, \|D\|\}} \right\| \leq 1$ and $\left\| \frac{D}{\max\{\|C\|, \|D\|\}} \right\| \leq 1$.

Therefore, by replacing C with $\frac{C}{\max\{\|C\|, \|D\|\}}$ and D with $\frac{D}{\max\{\|C\|, \|D\|\}}$ in relation (14), we have

$$w_q(AC \pm DA) \leq \frac{2}{|q|} \sec(\alpha) \max\{\|C\|, \|D\|\} w_q(A). \quad (15)$$

Again, replacing C with CB and D with BD in inequality (15), we obtain that

$$\begin{aligned} w_q(ACB \pm BDA) &\leq \frac{2}{|q|} \sec(\alpha) \max\{\|CB\|, \|BD\|\} w_q(A) \\ &\leq \frac{2}{|q|} \sec(\alpha) \max\{\|C\|, \|D\|\} w_q(A) \|B\|. \end{aligned}$$

This completes the proof. \square

Remark 2.19. It was obtained in [15, Theorem 11] that

$$w(AB \pm BA) \leq 2\sqrt{2}w(A)\|B\|. \quad (16)$$

Take $C = D = I$ in Theorem 2.18, we have

$$|q|w_q(AB \pm BA) \leq 2\sec(\alpha)w_q(A)\|B\|. \quad (17)$$

If $q = 1$, relation (17) implies that

$$w(AB \pm BA) \leq 2\sec(\alpha)w(A)\|B\|. \quad (18)$$

Thus, relation (18) is a refinement of the relation (16) if $\alpha \in \left[0, \frac{\pi}{4}\right)$.

Replacing A and B in relation (17), we can obtain the following corollary easily.

Corollary 2.20. If $A, B \in \prod_{s,\alpha}^n$ and $q \in \mathcal{D}'$, then

$$|q|w_q(AB \pm BA) \leq 2\sec(\alpha) \min\{w_q(A)\|B\|, w_q(B)\|A\|\}.$$

It is noteworthy that the literature does not extensively address non-integral powers of the q -numerical radius. In our forthcoming results, we address this gap by establishing a relation between $w_q^t(A)$ and $w_q(A^t)$ for $A \in \prod_{s,\alpha}^n$. It is well-known that $\|A^t\| = \|A\|^t$ for positive semidefinite matrix A . The significance of the forthcoming result lies in its ability to establish a relationship between $w_q(A^{-1})$ and $w_q^{-1}(A)$. In general there is no such relation between $w_q(A^{-1})$ and $w_q^{-1}(A)$. For example, let $A = \begin{bmatrix} 1 & 0 \\ 0 & 2 \end{bmatrix}$ and $q \in (0, 1)$. Then, $w_q(A) = \frac{3q+1}{2}$ and $w_q(A^{-1}) = \frac{3q+1}{4}$. Here $w_q(A^{-1}) \leq w_q^{-1}(A)$ if $q \in (0, 0.6095]$ and $w_q^{-1}(A) \leq w_q(A^{-1})$ if $q \in [0.6095, 1)$.

Theorem 2.21. If $A \in \prod_{s,\alpha}^n$, $q \in \mathcal{D}'$ and $t \in [0, 1]$, then

$$(a) |q|^{t+1} \cos^t(\alpha) w_q^t(A) \leq |q|^t w_q(A^t) \leq \sec^{2t}(\alpha) \sec(t\alpha) w_q^t(A),$$

$$(b) |q|^{t+1} \cos(t\alpha) \cos^{2t}(\alpha) w_q^{-t}(A) \leq w_q(A^{-t}).$$

Proof. (a) By Lemma 2.3, we have

$$\cos(\alpha) w_q(A) \leq \|\mathcal{R}(A)\|.$$

For $t \in [0, 1]$, Lemma 2.4 implies,

$$w_q(A^t) \leq \sec(t\alpha) \|\mathcal{R}(A^t)\|.$$

Now,

$$\begin{aligned} w_q(A^t) &\leq \sec(t\alpha) \sec^{2t}(\alpha) \|\mathcal{R}^t(A)\| \\ &= \sec(t\alpha) \sec^{2t}(\alpha) \|\mathcal{R}(A)\|^t \\ &\leq \left(\frac{1}{|q|}\right)^t \sec(t\alpha) \sec^{2t}(\alpha) w_q^t(\mathcal{R}(A)) \\ &\leq \left(\frac{1}{|q|}\right)^t \sec(t\alpha) \sec^{2t}(\alpha) w_q^t(A), \end{aligned}$$

where the first inequality follows from Lemma 2.5, while the last two inequalities follow from Corollary 2.9 and, relation (3), respectively.

For the other inequality, we have

$$\begin{aligned} w_q(A^t) &\geq w_q(\mathcal{R}(A^t)) \\ &\geq |q| \|\mathcal{R}(A^t)\| \\ &\geq |q| \|\mathcal{R}^t(A)\| \\ &= |q| \|\mathcal{R}(A)\|^t \\ &\geq |q| \cos^t(\alpha) \|A\|^t \\ &\geq |q| \cos^t(\alpha) w_q^t(A) \end{aligned}$$

where the first, second, third, and fifth inequalities follow from relation (3), Corollary 2.9, Lemma 2.5, and Lemma 2.3, respectively. Finally, we have

$$|q| \cos^t(\alpha) w_q^t(A) \leq w_q(A^t) \leq \frac{1}{|q|^t} \sec^{2t}(\alpha) \sec(t\alpha) w_q^t(A).$$

(b) Using Theorem 2.10 and Lemma 2.3, we obtain that

$$\begin{aligned} w_q(A^{-t}) &\geq |q| \cos(t\alpha) \|A^{-t}\| \\ &\geq |q| \cos(t\alpha) \|\mathcal{R}(A^{-t})\|. \end{aligned}$$

Using Lemma 2.6, Corollary 2.9(a) and the fact that $\|A\|^{-1} \leq \|A^{-1}\|$, where A is invertible matrix, we have

$$\begin{aligned} w_q(A^{-t}) &\geq |q| \cos(t\alpha) \cos^{2t}(\alpha) \|\mathcal{R}^{-t}(A)\| \\ &\geq |q| \cos(t\alpha) \cos^{2t}(\alpha) \|\mathcal{R}(A)\|^{-t} \\ &\geq |q|^{t+1} \cos(t\alpha) \cos^{2t}(\alpha) w_q^{-t}(\mathcal{R}(A)) \\ &\geq |q|^{t+1} \cos(t\alpha) \cos^{2t}(\alpha) w_q^{-t}(A). \end{aligned}$$

Hence the required result. □

Remark 2.22. (i) For $q = 1$, the lower bound in the part (a) of the aforementioned theorem represents an improvement over the following lower bound, which is mentioned in [3, Theorem 3.1]

$$\cos(t\alpha) \cos^t(\alpha) w^t(A) \leq w(A^t) \leq \sec^{2t}(\alpha) \sec(t\alpha) w^t(A).$$

(ii) Theorem 2.7 and Theorem 2.21(a) give us the following significant relation,

$$|q|^{2t+1} \|A\|^t \leq |q|^t w_q(A^t) \leq \|A\|^t, \quad (19)$$

where A is a positive definite matrix. If $q = 1$, then relation (19) gives us the well-known equality $\|A^t\| = \|A\|^t$, A is positive definite matrix.

(iii) The case $t = 1$ in Theorem 2.21(b) provides

$$|q|^2 \cos^3(\alpha) w_q^{-1}(A) \leq w_q(A^{-1}), \quad (20)$$

and if A is positive definite matrix, relation (20) implies that

$$|q|^2 w_q^{-1}(A) \leq w_q(A^{-1}).$$

3. q -Numerical Radius Inequalities of 2×2 Operator Matrices

Assuming \mathcal{H} represents a complex Hilbert space equipped with the inner product $\langle \cdot, \cdot \rangle$, the direct sum $\mathcal{H} \oplus \mathcal{H}$ constructs another Hilbert space, and any operator $\mathbf{T} \in \mathcal{B}(\mathcal{H} \oplus \mathcal{H})$ can be represented by a 2×2 operator matrix in the following manner

$$\mathbf{T} = \begin{bmatrix} Z & X \\ Y & W \end{bmatrix}$$

where Z, X, Y , and W are in $\mathcal{B}(\mathcal{H})$. In this section, our objective is to analyze the properties of the q -numerical radius of operators having off-diagonal representation of the form $\begin{bmatrix} 0 & X \\ Y & 0 \end{bmatrix}$. Since the q -numerical radius is weakly unitarily invariant, i.e.

$$W_q(U^* T U) = W_q(T)$$

for any unitary operator U on \mathcal{H} , the following relations,

$$w_q \left(\begin{bmatrix} 0 & X \\ Y & 0 \end{bmatrix} \right) = w_q \left(\begin{bmatrix} 0 & X \\ e^{i\theta} Y & 0 \end{bmatrix} \right) \text{ for all } \theta \in \mathbb{R} \quad (21)$$

and

$$w_q \left(\begin{bmatrix} 0 & X \\ Y & 0 \end{bmatrix} \right) = w_q \left(\begin{bmatrix} 0 & Y \\ X & 0 \end{bmatrix} \right), \quad (22)$$

can be easily deduced by considering the unitary operator U as $\begin{bmatrix} I & 0 \\ 0 & e^{-\frac{i\theta}{2}} I \end{bmatrix}$, $\theta \in \mathbb{R}$ and $\begin{bmatrix} 0 & I \\ I & 0 \end{bmatrix}$, respectively.

Next, for $q \in [0, 1]$, some observations of the q -numerical radius of $\begin{bmatrix} 0 & A \\ A & 0 \end{bmatrix}$ are mentioned, where A is a Hermitian matrix. Let $\lambda_1 \geq \lambda_2 \geq \dots \geq \lambda_n$ be the eigenvalues of A . Thus by using Theorem 3.4 [17, p.384], we have

$$w_q(A) = \frac{q}{2} |\lambda_1 + \lambda_n| + \frac{1}{2} |\lambda_1 - \lambda_n|.$$

Also, the largest eigenvalue of $\begin{bmatrix} 0 & A \\ A & 0 \end{bmatrix}$ is $\lambda_{\max} = \max\{-\lambda_n, \lambda_1\}$ and the smallest eigenvalue of $\begin{bmatrix} 0 & A \\ A & 0 \end{bmatrix}$ is $\lambda_{\min} = \min\{-\lambda_1, \lambda_n\}$. Therefore,

$$w_q\left(\begin{bmatrix} 0 & A \\ A & 0 \end{bmatrix}\right) = \begin{cases} \frac{q}{2}|\lambda_1 + \lambda_n| + \frac{1}{2}|\lambda_1 - \lambda_n| & \text{if } \lambda_{\max} = \lambda_1, \lambda_{\min} = \lambda_n \\ \frac{q}{2}|\lambda_1 + \lambda_n| + \frac{1}{2}|\lambda_1 - \lambda_n| & \text{if } \lambda_{\max} = -\lambda_n, \lambda_{\min} = -\lambda_1 \\ |\lambda_1| & \text{if } \lambda_{\max} = \lambda_1, \lambda_{\min} = -\lambda_1 \\ |\lambda_n| & \text{if } \lambda_{\max} = -\lambda_n, \lambda_{\min} = \lambda_n. \end{cases}$$

and $w_q(A) \leq w_q\left(\begin{bmatrix} 0 & A \\ A & 0 \end{bmatrix}\right)$.

In particular, let A be a positive semidefinite $n \times n$ matrix with eigenvalues $\lambda_1 \geq \lambda_2 \geq \dots \geq \lambda_n \geq 0$, we have $\lambda_{\max} = \lambda_1$, $\lambda_{\min} = -\lambda_1$ and

$$w_q\left(\begin{bmatrix} 0 & A \\ A & 0 \end{bmatrix}\right) = \|A\| = w(A).$$

Following this, we will establish the bounds for q -numerical radius of $\begin{bmatrix} 0 & Y \\ Y & 0 \end{bmatrix}$ and $\begin{bmatrix} 0 & X \\ X & 0 \end{bmatrix}$, $X, Y \in \mathcal{B}(\mathcal{H})$.

For $Y \in \mathcal{B}(\mathcal{H})$, $\begin{bmatrix} 0 & Y \\ Y & 0 \end{bmatrix}$ satisfies the equality $w\left(\begin{bmatrix} 0 & Y \\ Y & 0 \end{bmatrix}\right) = w(Y)$ [18]. However, a similar assertion may not hold for q -numerical radius, as illustrated in the subsequent example.

Let

$$\mathbf{T} = \begin{bmatrix} 0 & 0 & 2 & 0 \\ 0 & 0 & 0 & 3 \\ 2 & 0 & 0 & 0 \\ 0 & 3 & 0 & 0 \end{bmatrix}.$$

Then $w_q(\mathbf{T}) = 3$ and $w_q\left(\begin{bmatrix} 2 & 0 \\ 0 & 3 \end{bmatrix}\right) = \frac{5q}{2} + \frac{1}{2}$. Clearly, $w_q(\mathbf{T}) \neq w_q\left(\begin{bmatrix} 2 & 0 \\ 0 & 3 \end{bmatrix}\right)$ except $q = 1$ but $w_q\left(\begin{bmatrix} 2 & 0 \\ 0 & 3 \end{bmatrix}\right) \leq w_q(\mathbf{T})$.

With this observation, we present the following result.

Proposition 3.1. Let $X, Y \in \mathcal{B}(\mathcal{H})$ and $q \in \mathcal{D}$, we have

$$(a) \max\{w_q(X - Y), w_q(X + Y)\} \leq w_q\left(\begin{bmatrix} X & Y \\ Y & X \end{bmatrix}\right) \leq \max\{\|X - Y\|, \|X + Y\|\},$$

$$(b) \frac{1}{2} \max\{w_q(X + Y), w_q(X - Y)\} \leq w_q\left(\begin{bmatrix} 0 & X \\ Y & 0 \end{bmatrix}\right) \leq \frac{1}{2}(\|X + Y\| + \|X - Y\|).$$

Proof. (a) From [24, Remark 4(i)], we have

$$\max\{w_q(X - Y), w_q(X + Y)\} \leq w_q\left(\begin{bmatrix} X - Y & 0 \\ 0 & X + Y \end{bmatrix}\right) \leq \max\{\|X - Y\|, \|X + Y\|\}.$$

As $w_q(U^*TU) = w_q(T)$ holds, taking $U = \frac{1}{\sqrt{2}}\begin{bmatrix} I & I \\ -I & I \end{bmatrix}$,

we have $\begin{bmatrix} X & Y \\ Y & X \end{bmatrix}$ is unitarily similar to $\begin{bmatrix} X - Y & 0 \\ 0 & X + Y \end{bmatrix}$. This implies,

$$\max\{w_q(X - Y), w_q(X + Y)\} \leq w_q\left(\begin{bmatrix} X & Y \\ Y & X \end{bmatrix}\right) \leq \max\{\|X - Y\|, \|X + Y\|\}.$$

(b) The triangle inequality of q -numerical radius provides

$$w_q \left(\begin{bmatrix} 0 & X+Y \\ X+Y & 0 \end{bmatrix} \right) \leq w_q \left(\begin{bmatrix} 0 & X \\ Y & 0 \end{bmatrix} \right) + w_q \left(\begin{bmatrix} 0 & Y \\ X & 0 \end{bmatrix} \right).$$

From the relation $w_q \left(\begin{bmatrix} 0 & X \\ Y & 0 \end{bmatrix} \right) = w_q \left(\begin{bmatrix} 0 & Y \\ X & 0 \end{bmatrix} \right)$ (equality 22), it follows

$$w_q \left(\begin{bmatrix} 0 & X+Y \\ X+Y & 0 \end{bmatrix} \right) \leq 2w_q \left(\begin{bmatrix} 0 & X \\ Y & 0 \end{bmatrix} \right).$$

Taking $X = 0$ in part (a), we have $w_q(Y) \leq w_q \left(\begin{bmatrix} 0 & Y \\ Y & 0 \end{bmatrix} \right)$. Therefore, using relation (21), we obtain

$$w_q(X+Y) \leq w_q \left(\begin{bmatrix} 0 & X+Y \\ X+Y & 0 \end{bmatrix} \right) \leq 2w_q \left(\begin{bmatrix} 0 & X \\ Y & 0 \end{bmatrix} \right) = 2w_q \left(\begin{bmatrix} 0 & X \\ e^{i\theta}Y & 0 \end{bmatrix} \right).$$

Replacing Y with $-Y$, and taking $\theta = \pi$, we have

$$w_q(X-Y) \leq w_q \left(\begin{bmatrix} 0 & X-Y \\ X-Y & 0 \end{bmatrix} \right) \leq 2w_q \left(\begin{bmatrix} 0 & X \\ Y & 0 \end{bmatrix} \right).$$

Thus,

$$w_q \left(\begin{bmatrix} 0 & X \\ Y & 0 \end{bmatrix} \right) \geq \frac{1}{2} \max\{w_q(X+Y), w_q(X-Y)\}.$$

Let $U = \frac{1}{\sqrt{2}} \begin{bmatrix} I & -I \\ I & I \end{bmatrix}$. Then

$$\begin{aligned} w_q \left(\begin{bmatrix} 0 & X \\ Y & 0 \end{bmatrix} \right) &= w_q \left(U^* \begin{bmatrix} 0 & X \\ Y & 0 \end{bmatrix} U \right) \\ &= \frac{1}{2} w_q \left(\begin{bmatrix} X+Y & X-Y \\ -(X-Y) & -(X+Y) \end{bmatrix} \right) \\ &\leq \frac{1}{2} w_q \left(\begin{bmatrix} X+Y & 0 \\ 0 & -(X+Y) \end{bmatrix} + \begin{bmatrix} 0 & X-Y \\ -(X-Y) & 0 \end{bmatrix} \right) \\ &\leq \frac{1}{2} \left(\left\| \begin{bmatrix} X+Y & 0 \\ 0 & -(X+Y) \end{bmatrix} \right\| + \left\| \begin{bmatrix} 0 & X-Y \\ -(X-Y) & 0 \end{bmatrix} \right\| \right) \\ &= \frac{1}{2} (\|X+Y\| + \|X-Y\|). \end{aligned}$$

Hence the required result holds. □

Remark 3.2. If we take $X = 0$ in part (a) of the aforementioned result, we have

$$w_q(Y) \leq w_q \left(\begin{bmatrix} 0 & Y \\ Y & 0 \end{bmatrix} \right) \leq \|Y\|. \quad (23)$$

For $Y = \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix}$, we have $\|Y\| = w_q(Y) = w_q \left(\begin{bmatrix} 0 & Y \\ Y & 0 \end{bmatrix} \right) = 1$. Thus, the bounds mentioned in relation (23) are best possible.

Corollary 3.3. Let $X \in \mathcal{B}(\mathcal{H})$ and $q \in \mathcal{D}$. Then

$$(a) \quad |q| \max\{\|\mathcal{R}(X)\|, \|\mathcal{I}(X)\|\} \leq w_q \left(\begin{bmatrix} 0 & X \\ X^* & 0 \end{bmatrix} \right) \leq \|\mathcal{R}(X)\| + \|\mathcal{I}(X)\|,$$

$$(b) \quad \frac{w_q(X)}{2} \leq w_q \left(\begin{bmatrix} 0 & \mathcal{R}(X) \\ \mathcal{I}(X) & 0 \end{bmatrix} \right) \leq \|X\|,$$

$$(c) \quad \text{if } X \text{ is Hermitian, then } |q| \|X\| \leq w_q \left(\begin{bmatrix} 0 & X \\ X & 0 \end{bmatrix} \right) \leq \|X\|,$$

$$(d) \quad \text{if } X^2 = 0, \text{ then } |q| \|\mathcal{R}(X)\| \leq w_q \left(\begin{bmatrix} 0 & X \\ X^* & 0 \end{bmatrix} \right) \leq 2\|\mathcal{R}(X)\|.$$

Proof. Taking $Y = X^*$ in Proposition 3.1(b) and using Corollary 2.9(a), we can easily obtain the result mentioned in part (a). Replacing Y with iY in Proposition 3.1(b), we have

$$\frac{1}{2} \max\{w_q(X + iY), w_q(X - iY)\} \leq w_q \left(\begin{bmatrix} 0 & X \\ iY & 0 \end{bmatrix} \right) \leq \frac{1}{2} (\|X + iY\| + \|X - iY\|).$$

For $\theta = \frac{\pi}{2}$, from the relation $w_q \left(\begin{bmatrix} 0 & X \\ Y & 0 \end{bmatrix} \right) = w_q \left(\begin{bmatrix} 0 & X \\ e^{i\theta} Y & 0 \end{bmatrix} \right)$ (equality (21)), it follows

$$\frac{1}{2} \max\{w_q(X + iY), w_q(X - iY)\} \leq w_q \left(\begin{bmatrix} 0 & X \\ Y & 0 \end{bmatrix} \right) \leq \frac{1}{2} (\|X + iY\| + \|X - iY\|). \quad (24)$$

The inequalities in part (b) follow by replacing X with $\mathcal{R}(X)$ and Y with $\mathcal{I}(X)$ in (24). Moreover, the relations in part (c) and part (d) follow from part (a) when X is Hermitian and $X^2 = 0$ ($\|\mathcal{R}(X)\| = \|\mathcal{I}(X)\|$), respectively. \square

Let T be a bounded linear operator, acting on a Hilbert space \mathcal{H} . Then there exists a unique complex number $c \in \overline{W(T)}$ such that [29]

$$m(T) = \inf_{\lambda \in \mathbb{C}} \|T - \lambda I\| = \|T - cI\|. \quad (25)$$

Prasanna [25] termed $m(T)$ as the transcendental radius and defined it as

$$m^2(T) = \sup_{\|x\|=1} (\|Tx\|^2 - |\langle Tx, x \rangle|^2). \quad (26)$$

Consider an orthonormal set \mathcal{O} that contains x and z . Bessel's inequality implies

$$\sum_{y' \in \mathcal{O}} |\langle Tx, y' \rangle|^2 \leq \|Tx\|^2.$$

$$\text{i.e., } |\langle Tx, z \rangle|^2 \leq \sum_{y' \in \mathcal{O} \setminus \{x\}} |\langle Tx, y' \rangle|^2 \leq \|Tx\|^2 - |\langle Tx, x \rangle|^2.$$

Therefore, relations (25) and (26) imply,

$$|\langle Tx, z \rangle| \leq (\|Tx\|^2 - |\langle Tx, x \rangle|^2)^{\frac{1}{2}} \leq m(T) = \|T - cI\|, \quad c \in \overline{W(T)}. \quad (27)$$

Using $m(T)$, the following result gives us an upper bound of $w_q \left(\begin{bmatrix} 0 & X \\ Y & 0 \end{bmatrix} \right)$ using the concept of non-negative functions f and g . The following lemma is used in the next theorem.

Lemma 3.4. [19, Theorem 1] Let $T \in \mathcal{B}(\mathcal{H})$, f and g be non-negative functions on $[0, \infty)$ which are continuous and satisfy the relation $f(t)g(t) = t$ for all $t \in [0, \infty)$. Then $|\langle Tx, y \rangle| \leq \|f(|T|)x\| \|g(|T^*|)y\|$ for all $x, y \in \mathcal{H}$.

Theorem 3.5. Let f and g satisfy the conditions of Lemma 3.4, $q \in \mathcal{D}$, and $c \in \overline{W(T)}$. Then, we have

(a)

$$w_q \left(\begin{bmatrix} 0 & X \\ Y & 0 \end{bmatrix} \right) \leq \frac{1}{2} \max\{\|f^2(|Y|) + |q|^2 g^2(|X^*|)\|, \|f^2(|X|) + |q|^2 g^2(|Y^*|)\|\} + \frac{1 - |q|^2}{2} \max\{\|g^2(|X^*|)\|, \|g^2(|Y^*|)\|\} \\ + |q| \sqrt{1 - |q|^2} \max\{\|g^2(|X^*|) - cI\|, \|g^2(|Y^*|) - cI\|\}.$$

(b)

$$w_q^2 \left(\begin{bmatrix} 0 & X \\ Y & 0 \end{bmatrix} \right) \leq \frac{1}{2} \max\{\|f^4(|Y|) + |q|^2 g^4(|X^*|)\|, \|f^4(|X|) + |q|^2 g^4(|Y^*|)\|\} + \frac{1 - |q|^2}{2} \max\{\|g^4(|X^*|)\|, \|g^4(|Y^*|)\|\} \\ + |q| \sqrt{1 - |q|^2} \max\{\|g^4(|X^*|) - cI\|, \|g^4(|Y^*|) - cI\|\}.$$

Proof. (a) Let $x = \begin{bmatrix} x_1 \\ x_2 \end{bmatrix} \in \mathcal{H}$, and $y = \begin{bmatrix} y_1 \\ y_2 \end{bmatrix} \in \mathcal{H}$ with $\|x\| = \|y\| = 1$ and $\langle x, y \rangle = q$. From Lemma 3.4, we have

$$\begin{aligned} \left| \left\langle \begin{bmatrix} 0 & X \\ Y & 0 \end{bmatrix} x, y \right\rangle \right| &\leq \left\| f \left(\begin{bmatrix} 0 & X \\ Y & 0 \end{bmatrix} \right) x \right\| \left\| g \left(\begin{bmatrix} 0 & Y^* \\ X^* & 0 \end{bmatrix} \right) y \right\| \\ &\leq \left\langle f^2 \begin{bmatrix} 0 & X \\ Y & 0 \end{bmatrix} x, x \right\rangle^{\frac{1}{2}} \left\langle g^2 \begin{bmatrix} 0 & Y^* \\ X^* & 0 \end{bmatrix} y, y \right\rangle^{\frac{1}{2}} \\ &\leq \left\langle f^2 \begin{bmatrix} |Y| & 0 \\ 0 & |X| \end{bmatrix} x, x \right\rangle^{\frac{1}{2}} \left\langle g^2 \begin{bmatrix} |X^*| & 0 \\ 0 & |Y^*| \end{bmatrix} y, y \right\rangle^{\frac{1}{2}} \\ &\leq \frac{1}{2} \left(\left\langle f^2 \begin{bmatrix} |Y| & 0 \\ 0 & |X| \end{bmatrix} x, x \right\rangle + \left\langle g^2 \begin{bmatrix} |X^*| & 0 \\ 0 & |Y^*| \end{bmatrix} y, y \right\rangle \right) \\ &\leq \frac{1}{2} \left(\left\langle f^2 \begin{bmatrix} |Y| & 0 \\ 0 & |X| \end{bmatrix} x, x \right\rangle + \left\langle g^2 \begin{bmatrix} |X^*| & 0 \\ 0 & |Y^*| \end{bmatrix} \bar{q}x + \sqrt{1 - |q|^2} z, \bar{q}x + \sqrt{1 - |q|^2} z \right\rangle \right) \\ &\leq \frac{1}{2} \left\langle f^2 \begin{bmatrix} |Y| & 0 \\ 0 & |X| \end{bmatrix} x, x \right\rangle + \frac{|q|^2}{2} \left\langle g^2 \begin{bmatrix} |X^*| & 0 \\ 0 & |Y^*| \end{bmatrix} x, x \right\rangle + \frac{1 - |q|^2}{2} \left\langle g^2 \begin{bmatrix} |X^*| & 0 \\ 0 & |Y^*| \end{bmatrix} z, z \right\rangle \\ &\quad + \frac{1}{2} \left(\bar{q} \sqrt{1 - |q|^2} \left\langle g^2 \begin{bmatrix} |X^*| & 0 \\ 0 & |Y^*| \end{bmatrix} x, z \right\rangle + q \sqrt{1 - |q|^2} \left\langle g^2 \begin{bmatrix} |X^*| & 0 \\ 0 & |Y^*| \end{bmatrix} z, x \right\rangle \right) \\ &\leq \frac{1}{2} \left\langle f^2 \begin{bmatrix} |Y| & 0 \\ 0 & |X| \end{bmatrix} x, x \right\rangle + \frac{|q|^2}{2} \left\langle g^2 \begin{bmatrix} |X^*| & 0 \\ 0 & |Y^*| \end{bmatrix} x, x \right\rangle \\ &\quad + \frac{1 - |q|^2}{2} \left\langle g^2 \begin{bmatrix} |X^*| & 0 \\ 0 & |Y^*| \end{bmatrix} z, z \right\rangle + \Re \left(\bar{q} \sqrt{1 - |q|^2} \left\langle g^2 \begin{bmatrix} |X^*| & 0 \\ 0 & |Y^*| \end{bmatrix} x, z \right\rangle \right) \\ &\leq \frac{1}{2} \left\langle f^2 \begin{bmatrix} |Y| & 0 \\ 0 & |X| \end{bmatrix} x, x \right\rangle + \frac{|q|^2}{2} \left\langle g^2 \begin{bmatrix} |X^*| & 0 \\ 0 & |Y^*| \end{bmatrix} x, x \right\rangle \\ &\quad + \frac{1 - |q|^2}{2} \left\langle g^2 \begin{bmatrix} |X^*| & 0 \\ 0 & |Y^*| \end{bmatrix} z, z \right\rangle + |q| \sqrt{1 - |q|^2} \left| \left\langle g^2 \begin{bmatrix} |X^*| & 0 \\ 0 & |Y^*| \end{bmatrix} x, z \right\rangle \right|. \end{aligned}$$

Using relation (27), we have

$$\begin{aligned}
 \left| \left\langle \begin{bmatrix} 0 & X \\ Y & 0 \end{bmatrix} x, y \right\rangle \right| &\leq \frac{1}{2} \left\langle f^2 \begin{bmatrix} |Y| & 0 \\ 0 & |X| \end{bmatrix} x, x \right\rangle + \frac{|q|^2}{2} \left\langle g^2 \begin{bmatrix} |X^*| & 0 \\ 0 & |Y^*| \end{bmatrix} x, x \right\rangle \\
 &\quad + \frac{1 - |q|^2}{2} \left\langle g^2 \begin{bmatrix} |X^*| & 0 \\ 0 & |Y^*| \end{bmatrix} z, z \right\rangle \\
 &\quad + |q| \sqrt{1 - |q|^2} \left\| g^2 \begin{bmatrix} |X^*| & 0 \\ 0 & |Y^*| \end{bmatrix} - c \begin{bmatrix} I & 0 \\ 0 & I \end{bmatrix} \right\|, \text{ where } c \in \overline{W(T)} \\
 &\leq \frac{1}{2} \left\langle \begin{bmatrix} f^2(|Y|) & 0 \\ 0 & f^2(|X|) \end{bmatrix} x, x \right\rangle + \frac{|q|^2}{2} \left\langle \begin{bmatrix} g^2(|X^*|) & 0 \\ 0 & g^2(|Y^*|) \end{bmatrix} x, x \right\rangle \\
 &\quad + \frac{1 - |q|^2}{2} \left\langle \begin{bmatrix} g^2(|X^*|) & 0 \\ 0 & g^2(|Y^*|) \end{bmatrix} z, z \right\rangle \\
 &\quad + |q| \sqrt{1 - |q|^2} \left\| \begin{bmatrix} g^2(|X^*|) & 0 \\ 0 & g^2(|Y^*|) \end{bmatrix} - c \begin{bmatrix} I & 0 \\ 0 & I \end{bmatrix} \right\| \\
 &\leq \frac{1}{2} \left\langle \begin{bmatrix} f^2(|Y|) + |q|^2 g^2(|X^*|) & 0 \\ 0 & f^2(|X|) + |q|^2 g^2(|Y^*|) \end{bmatrix} x, x \right\rangle \\
 &\quad + \frac{1 - |q|^2}{2} \left\langle \begin{bmatrix} g^2(|X^*|) & 0 \\ 0 & g^2(|Y^*|) \end{bmatrix} z, z \right\rangle \\
 &\quad + |q| \sqrt{1 - |q|^2} \left\| \begin{bmatrix} g^2(|X^*|) & 0 \\ 0 & g^2(|Y^*|) \end{bmatrix} - c \begin{bmatrix} I & 0 \\ 0 & I \end{bmatrix} \right\|
 \end{aligned}$$

Therefore,

$$\begin{aligned}
 \left| \left\langle \begin{bmatrix} 0 & X \\ Y & 0 \end{bmatrix} x, y \right\rangle \right| &\leq \frac{1}{2} \left(\left\| \begin{bmatrix} f^2(|Y|) + |q|^2 g^2(|X^*|) & 0 \\ 0 & f^2(|X|) + |q|^2 g^2(|Y^*|) \end{bmatrix} \right\| \right) \\
 &\quad + \frac{1 - |q|^2}{2} \left\| \begin{bmatrix} g^2(|X^*|) & 0 \\ 0 & g^2(|Y^*|) \end{bmatrix} \right\| \\
 &\quad + |q| \sqrt{1 - |q|^2} \left\| \begin{bmatrix} g^2(|X^*|) & 0 \\ 0 & g^2(|Y^*|) \end{bmatrix} - c \begin{bmatrix} I & 0 \\ 0 & I \end{bmatrix} \right\| \\
 &= \frac{1}{2} \max\{\|f^2(|Y|) + |q|^2 g^2(|X^*|)\|, \|f^2(|X|) + |q|^2 g^2(|Y^*|)\|\} \\
 &\quad + \frac{1 - |q|^2}{2} \max\{\|g^2(|X^*|)\|, \|g^2(|Y^*|)\|\} \\
 &\quad + |q| \sqrt{1 - |q|^2} \max\{\|g^2(|X^*|) - cI\|, \|g^2(|Y^*|) - cI\|\}.
 \end{aligned}$$

Taking supremum for all $x, y \in \mathcal{H}$ with $\|x\| = \|y\| = 1$ and $\langle x, y \rangle = q$, we obtain

$$\begin{aligned}
 w_q \left(\begin{bmatrix} 0 & X \\ Y & 0 \end{bmatrix} \right) &\leq \frac{1}{2} \max\{\|f^2(|Y|) + |q|^2 g^2(|X^*|)\|, \|f^2(|X|) + |q|^2 g^2(|Y^*|)\|\} \\
 &\quad + \frac{1 - |q|^2}{2} \max\{\|g^2(|X^*|)\|, \|g^2(|Y^*|)\|\} \\
 &\quad + |q| \sqrt{1 - |q|^2} \max\{\|g^2(|X^*|) - cI\|, \|g^2(|Y^*|) - cI\|\}.
 \end{aligned}$$

(b) From Lemma 3.4, it follows

$$\begin{aligned} \left\| \begin{pmatrix} 0 & X \\ Y & 0 \end{pmatrix} x, y \right\|^2 &\leq \left\| f \left(\begin{pmatrix} 0 & X \\ Y & 0 \end{pmatrix} \right) x \right\|^2 \left\| g \left(\begin{pmatrix} 0 & Y^* \\ X^* & 0 \end{pmatrix} \right) y \right\|^2 \\ &\leq \left\langle f^2 \begin{pmatrix} |Y| & 0 \\ 0 & |X| \end{pmatrix} x, x \right\rangle \left\langle g^2 \begin{pmatrix} |X^*| & 0 \\ 0 & |Y^*| \end{pmatrix} y, y \right\rangle \\ &\leq \frac{1}{2} \left(\left\langle f^2 \begin{pmatrix} |Y| & 0 \\ 0 & |X| \end{pmatrix} x, x \right\rangle^2 + \left\langle g^2 \begin{pmatrix} |X^*| & 0 \\ 0 & |Y^*| \end{pmatrix} y, y \right\rangle^2 \right) \\ &\leq \frac{1}{2} \left(\left\langle f^4 \begin{pmatrix} |Y| & 0 \\ 0 & |X| \end{pmatrix} x, x \right\rangle + \left\langle g^4 \begin{pmatrix} |X^*| & 0 \\ 0 & |Y^*| \end{pmatrix} y, y \right\rangle \right). \end{aligned}$$

A similar calculation as part (a) follows the required result.

□

Remark 3.6. Several upper bounds of $w_q \left(\begin{pmatrix} 0 & X \\ Y & 0 \end{pmatrix} \right)$ follow by choosing particular f and g .

(i) Let $f(t) = t^\gamma$ and $g(t) = t^{1-\gamma}$, $0 \leq \gamma \leq 1$. Then Theorem 3.5(a) gives us,

$$\begin{aligned} w_q \left(\begin{pmatrix} 0 & X \\ Y & 0 \end{pmatrix} \right) &\leq \frac{1}{2} \max\{\| |Y|^{2\gamma} + |q|^2 |X^*|^{2(1-\gamma)} \|, \| |X|^{2\gamma} + |q|^2 |Y^*|^{2(1-\gamma)} \|\} \\ &\quad + \frac{1 - |q|^2}{2} \max\{\| |X^*|^{2(1-\gamma)} \|, \| |Y^*|^{2(1-\gamma)} \|\} \\ &\quad + |q| \sqrt{1 - |q|^2} \max\{\| |X^*|^{2(1-\gamma)} - cI \|, \| |Y^*|^{2(1-\gamma)} - cI \|\}. \end{aligned}$$

If we take $\gamma = \frac{1}{2}$ in the aforementioned inequality, then

$$\begin{aligned} w_q \left(\begin{pmatrix} 0 & X \\ Y & 0 \end{pmatrix} \right) &\leq \frac{1}{2} \max\{\| |Y| + |q|^2 |X^*| \|, \| |X| + |q|^2 |Y^*| \| \} \\ &\quad + \frac{1 - |q|^2}{2} \max\{\| |X^*| \|, \| |Y^*| \| \} \\ &\quad + |q| \sqrt{1 - |q|^2} \max\{\| |X^*| - cI \|, \| |Y^*| - cI \| \}. \end{aligned}$$

When $q = 1$, we have

$$w \left(\begin{pmatrix} 0 & X \\ Y & 0 \end{pmatrix} \right) \leq \frac{1}{2} \max\{\| f^2(|Y|) + g^2(|X^*|) \|, \| f^2(|X|) + g^2(|Y^*|) \| \}$$

which is mentioned in [2, Theorem 1].

(ii) If we take $f(t) = \frac{t}{1+t}$ and $g(t) = 1 + t$ in Theorem 3.5(a), we have

$$\begin{aligned} w_q \left(\begin{pmatrix} 0 & X \\ Y & 0 \end{pmatrix} \right) &\leq \frac{1}{2} \max \left\{ \left\| \left(\frac{|Y|}{I + |Y|} \right)^2 + |q|^2 (I + |X^*|)^2 \right\|, \left\| \left(\frac{|X|}{I + |X|} \right)^2 + |q|^2 (I + |Y^*|)^2 \right\| \right\} \\ &\quad + \frac{1 - |q|^2}{2} \max\{\| (I + |X^*|)^2 \|, \| (I + |Y^*|)^2 \| \} \\ &\quad + |q| \sqrt{1 - |q|^2} \max\{\| (I + |X^*|)^2 - cI \|, \| (I + |Y^*|)^2 - cI \| \}. \end{aligned}$$

The following result provides a lower bound of the q -numerical radius of $\begin{bmatrix} 0 & X \\ Y & 0 \end{bmatrix}$.

Theorem 3.7. Let $X, Y \in \mathcal{B}(\mathcal{H})$ and $q \in \mathcal{D}$, we have

$$w_q \left(\begin{bmatrix} 0 & X \\ Y & 0 \end{bmatrix} \right) \geq \frac{|q|}{2} \max\{\|X\|, \|Y\|\} + \frac{|q|}{4} \left| \|X + Y^*\| - \|X - Y^*\| \right|.$$

Proof. Corollary 2.9 implies that $w_q \left(\begin{bmatrix} 0 & X \\ Y & 0 \end{bmatrix} \right) \geq |q| \left\| \mathcal{R} \left(\begin{bmatrix} 0 & X \\ Y & 0 \end{bmatrix} \right) \right\|$ and $w_q \left(\begin{bmatrix} 0 & X \\ Y & 0 \end{bmatrix} \right) \geq |q| \left\| \mathcal{I} \left(\begin{bmatrix} 0 & X \\ Y & 0 \end{bmatrix} \right) \right\|$. This implies,

$$\begin{aligned} w_q \left(\begin{bmatrix} 0 & X \\ Y & 0 \end{bmatrix} \right) &\geq |q| \left\| \mathcal{R} \left(\begin{bmatrix} 0 & X \\ Y & 0 \end{bmatrix} \right) \right\| = \frac{|q|}{2} \left\| \begin{bmatrix} 0 & X + Y^* \\ Y + X^* & 0 \end{bmatrix} \right\| \\ &= \frac{|q|}{2} \max\{\|X + Y^*\|, \|Y + X^*\|\} \\ &= \frac{|q|}{2} \|X + Y^*\|. \end{aligned}$$

Also,

$$\begin{aligned} w_q \left(\begin{bmatrix} 0 & X \\ Y & 0 \end{bmatrix} \right) &\geq |q| \left\| \mathcal{I} \left(\begin{bmatrix} 0 & X \\ Y & 0 \end{bmatrix} \right) \right\| = \frac{|q|}{2} \left\| \begin{bmatrix} 0 & X - Y^* \\ Y - X^* & 0 \end{bmatrix} \right\| \\ &= \frac{|q|}{2} \max\{\|X - Y^*\|, \|Y - X^*\|\} \\ &= \frac{|q|}{2} \|X - Y^*\|. \end{aligned}$$

Finally,

$$\begin{aligned} w_q \left(\begin{bmatrix} 0 & X \\ Y & 0 \end{bmatrix} \right) &\geq \frac{|q|}{2} \max\{\|X + Y^*\|, \|X - Y^*\|\} \\ &= \frac{|q|}{4} (\|X + Y^*\| + \|X - Y^*\|) + \frac{|q|}{4} \left| \|X + Y^*\| - \|X - Y^*\| \right| \\ &\geq \frac{|q|}{4} (\|(X + Y^*) \pm (X - Y^*)\|) + \frac{|q|}{4} \left| \|X + Y^*\| - \|X - Y^*\| \right| \\ &\geq \frac{|q|}{2} \max\{\|X\|, \|Y\|\} + \frac{|q|}{4} \left| \|X + Y^*\| - \|X - Y^*\| \right|. \end{aligned}$$

□

Taking $X = Y$ in the aforementioned result, we obtain the following corollary.

Corollary 3.8. If $X \in \mathcal{B}(\mathcal{H})$ and $q \in \mathcal{D}$, then we have

$$w_q \left(\begin{bmatrix} 0 & X \\ X & 0 \end{bmatrix} \right) \geq \frac{|q|}{2} \|X\| + \frac{|q|}{2} \left| \|\mathcal{R}(X)\| - \|\mathcal{I}(X)\| \right|. \quad (28)$$

Remark 3.9. For $q = 1$, (28) gives us a refinement of inequality (1). Also, if $X^2 = 0$, then relation (28) gives us a significant result as follows

$$\frac{|q|}{2} \|X\| \leq w_q \left(\begin{bmatrix} 0 & X \\ X & 0 \end{bmatrix} \right) \leq \|X\|.$$

In our next result, we extend the following well-known equality for q -numerical radius.

Lemma 3.10. [1] Let $T, S \in \mathcal{B}(\mathcal{H})$ be positive definite operators. Then

$$w\left(\begin{bmatrix} 0 & T \\ S & 0 \end{bmatrix}\right) = \frac{1}{2}\|T + S\|.$$

To prove this, we need the following relation.

$$|q| \sup_{\theta \in \mathbb{R}} \|\mathcal{R}(e^{i\theta}T)\| \leq \sup_{\theta \in \mathbb{R}} w_q(\mathcal{R}(e^{i\theta}T)) \leq \sup_{\theta \in \mathbb{R}} w_q(e^{i\theta}T) = w_q(T).$$

Hence, for $T \in \mathcal{B}(\mathcal{H})$, we have

$$w_q(T) \geq |q| \sup_{\theta \in \mathbb{R}} \|\mathcal{R}(e^{i\theta}T)\|.$$

Theorem 3.11. Let $X, Y \in \mathcal{B}(\mathcal{H})$, $q \in \mathcal{D}$ and $0 \leq \gamma \leq 1$, we have

$$\begin{aligned} \frac{|q|}{2} \sup_{\theta \in \mathbb{R}} \left\{ \|e^{i\theta}X + e^{-i\theta}Y^*\| \right\} &\leq w_q\left(\begin{bmatrix} 0 & X \\ Y & 0 \end{bmatrix}\right) \leq \frac{|q|}{2} \left(\|X\|^{2\gamma} + \|Y^*\|^{2(1-\gamma)} \right)^{\frac{1}{2}} \left(\|X\|^{2(1-\gamma)} + \|Y\|^{2\gamma} \right)^{\frac{1}{2}} \\ &\quad + \sqrt{1 - |q|^2} \max\{\|X\|, \|Y\|\}. \end{aligned}$$

Proof. From the relation $w_q(T) \geq |q| \sup_{\theta \in \mathbb{R}} \|\mathcal{R}(e^{i\theta}T)\|$, we have

$$\begin{aligned} w_q\left(\begin{bmatrix} 0 & X \\ Y & 0 \end{bmatrix}\right) &\geq |q| \sup_{\theta \in \mathbb{R}} \left\| \mathcal{R}\left(e^{i\theta} \begin{bmatrix} 0 & X \\ Y & 0 \end{bmatrix}\right) \right\| \\ &\geq \frac{|q|}{2} \sup_{\theta \in \mathbb{R}} \left\| \begin{bmatrix} 0 & e^{i\theta}X + e^{-i\theta}Y^* \\ e^{i\theta}Y + e^{-i\theta}X^* & 0 \end{bmatrix} \right\| \\ &= \frac{|q|}{2} \sup_{\theta \in \mathbb{R}} \|e^{i\theta}X + e^{-i\theta}Y^*\|. \end{aligned}$$

Now, to prove the second part, let $x = \begin{bmatrix} x_1 \\ x_2 \end{bmatrix} \in \mathcal{H}$, and $y = \begin{bmatrix} y_1 \\ y_2 \end{bmatrix} \in \mathcal{H}$ with $\|x\| = \|y\| = 1$ and $\langle x, y \rangle = q$. Then we can take $y = \bar{q}x + \sqrt{1 - |q|^2}z$, where $z = \begin{bmatrix} z_1 \\ z_2 \end{bmatrix} \in \mathcal{H}$, $\|z\| = 1$ and $\langle x, z \rangle = 0$. Thus, $y_1 = \bar{q}x_1 + \sqrt{1 - |q|^2}z_1$ and $y_2 = \bar{q}x_2 + \sqrt{1 - |q|^2}z_2$.

Hence,

$$\begin{aligned} &\left| \left\langle \begin{bmatrix} 0 & X \\ Y & 0 \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \end{bmatrix}, \begin{bmatrix} y_1 \\ y_2 \end{bmatrix} \right\rangle \right| \\ &\leq |\langle Xx_2, y_1 \rangle| + |\langle Yx_1, y_2 \rangle| \\ &= |\langle Xx_2, \bar{q}x_1 + \sqrt{1 - |q|^2}z_1 \rangle| + |\langle Yx_1, \bar{q}x_2 + \sqrt{1 - |q|^2}z_2 \rangle| \\ &\leq |q|(|\langle Xx_2, x_1 \rangle| + |\langle Yx_1, x_2 \rangle|) + \sqrt{1 - |q|^2}(|\langle Xx_2, z_1 \rangle| + |\langle Yx_1, z_2 \rangle|). \end{aligned}$$

Using Theorem 1[16] and the Cauchy-Schwarz inequality, respectively, we have

$$\begin{aligned}
 & \left| \left\langle \begin{bmatrix} 0 & X \\ Y & 0 \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \end{bmatrix}, \begin{bmatrix} y_1 \\ y_2 \end{bmatrix} \right\rangle \right| \\
 & \leq |q| (\langle |X|^{2\gamma} x_2, x_2 \rangle^{\frac{1}{2}} \langle |X^*|^{2(1-\gamma)} x_1, x_1 \rangle^{\frac{1}{2}} + \langle |Y|^{2\gamma} x_1, x_1 \rangle^{\frac{1}{2}} \langle |Y^*|^{2(1-\gamma)} x_2, x_2 \rangle^{\frac{1}{2}}) \\
 & + \sqrt{1 - |q|^2} (\|X\| \|x_2\| \|z_1\| + \|Y\| \|x_1\| \|z_2\|) \\
 & \leq |q| (\langle |X|^{2\gamma} x_2, x_2 \rangle + \langle |Y^*|^{2(1-\gamma)} x_2, x_2 \rangle)^{\frac{1}{2}} (\langle |X^*|^{2(1-\gamma)} x_1, x_1 \rangle + \langle |Y|^{2\gamma} x_1, x_1 \rangle)^{\frac{1}{2}} \\
 & + \sqrt{1 - |q|^2} (\|X\| \|x_2\| \|z_1\| + \|Y\| \|x_1\| \|z_2\|) \\
 & \leq |q| (\|X\|^{2\gamma} + |Y^*|^{2(1-\gamma)})^{\frac{1}{2}} \|X^*|^{2(1-\gamma)} + |Y|^{2\gamma}\|^{\frac{1}{2}} \|x_1\| \|x_2\| \\
 & + \sqrt{1 - |q|^2} (\|X\| \|x_2\| \|z_1\| + \|Y\| \|x_1\| \|z_2\|).
 \end{aligned}$$

Take $\|x_1\| = \sin(\theta)$, $\|x_2\| = \cos(\theta)$, $\|z_1\| = \cos(\phi)$ and $\|z_2\| = \sin(\phi)$, where $\theta, \phi \in \mathbb{R}$.

$$\begin{aligned}
 & \left| \left\langle \begin{bmatrix} 0 & X \\ Y & 0 \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \end{bmatrix}, \begin{bmatrix} y_1 \\ y_2 \end{bmatrix} \right\rangle \right| \leq |q| \left((\|X\|^{2\gamma} + |Y^*|^{2(1-\gamma)})^{\frac{1}{2}} \|X^*|^{2(1-\gamma)} + |Y|^{2\gamma}\|^{\frac{1}{2}} \right) \cos(\theta) \sin(\theta) \\
 & + \sqrt{1 - |q|^2} (\|X\| \cos(\theta) \cos(\phi) + \|Y\| \sin(\theta) \sin(\phi)) \\
 & \leq \frac{|q| \sin(2\theta)}{2} (\|X\|^{2\gamma} + |Y^*|^{2(1-\gamma)})^{\frac{1}{2}} \|X^*|^{2(1-\gamma)} + |Y|^{2\gamma}\|^{\frac{1}{2}} \\
 & + \sqrt{1 - |q|^2} \max\{\|X\|, \|Y\|\}.
 \end{aligned}$$

Hence,

$$w_q \left(\begin{bmatrix} 0 & X \\ Y & 0 \end{bmatrix} \right) \leq \frac{|q|}{2} (\|X\|^{2\gamma} + |Y^*|^{2(1-\gamma)})^{\frac{1}{2}} \|X^*|^{2(1-\gamma)} + |Y|^{2\gamma}\|^{\frac{1}{2}} + \sqrt{1 - |q|^2} \max\{\|X\|, \|Y\|\}.$$

□

Remark 3.12. If X and Y are positive definite operators, then for $\gamma = 1/2$ it follows from the above theorem that

$$\frac{|q|}{2} \|X + Y\| \leq w_q \left(\begin{bmatrix} 0 & X \\ Y & 0 \end{bmatrix} \right) \leq \frac{|q|}{2} \|X + Y\| + \sqrt{1 - |q|^2} \max\{\|X\|, \|Y\|\}.$$

For $q = 1$, it follows $w \left(\begin{bmatrix} 0 & X \\ Y & 0 \end{bmatrix} \right) = \frac{1}{2} \|X + Y\|$, which is mentioned in Lemma 3.10.

In our final result, we assume X and Y both are in $\Pi_{s,\alpha}^n$.

Theorem 3.13. Let $X, Y \in \Pi_{s,\alpha}^n$ and $q \in \mathcal{D}'$.

(a) If $\alpha \neq 0$, then we have

$$\begin{aligned}
 w_q \left(\begin{bmatrix} 0 & X \\ Y & 0 \end{bmatrix} \right) & \geq \frac{|q|}{4} \max\{\|(1 + \cot(\alpha))X + (1 - \cot(\alpha))Y^*\|, \|(1 - \cot(\alpha))X + (1 + \cot(\alpha))Y^*\|\} \\
 & + \frac{|q|}{4} \||X + Y^*| - \cot(\alpha)|\|X - Y^*|\|.
 \end{aligned}$$

(b) If $\alpha = 0$, then we have

$$w_q \left(\begin{bmatrix} 0 & X \\ Y & 0 \end{bmatrix} \right) \geq \frac{|q|}{2} \max\{\|X\|, \|Y\|\} + \frac{|q|}{4} \||X + Y| - \|X - Y|\|.$$

Proof. (a) From Theorem 2.10 and Lemma 2.1, the following relations

$$\|\mathcal{I}(T)\| \leq \sin(\alpha)w(T) \leq \sin(\alpha)\|T\| \leq \frac{\tan(\alpha)}{|q|}w_q(T)$$

hold for any $T \in \mathcal{B}(\mathcal{H})$. Hence, for $T \in \mathcal{B}(\mathcal{H})$, we have

$$w_q(T) \geq |q|\|\mathcal{R}(T)\| \quad \text{and} \quad w_q(T) \geq |q|\cot(\alpha)\|\mathcal{I}(T)\|. \quad (29)$$

Taking $T = \begin{bmatrix} 0 & X \\ Y & 0 \end{bmatrix}$ in inequality (29), we have

$$w_q\left(\begin{bmatrix} 0 & X \\ Y & 0 \end{bmatrix}\right) \geq \frac{|q|}{2}\|X + Y^*\| \quad \text{and} \quad w_q\left(\begin{bmatrix} 0 & X \\ Y & 0 \end{bmatrix}\right) \geq \frac{|q|}{2}\cot(\alpha)\|X - Y^*\|.$$

Hence,

$$\begin{aligned} w_q\left(\begin{bmatrix} 0 & X \\ Y & 0 \end{bmatrix}\right) &\geq \frac{|q|}{2} \max\{\|X + Y^*\|, \cot(\alpha)\|X - Y^*\|\} \\ &= \frac{|q|}{4} (\|X + Y^*\| + \cot(\alpha)\|X - Y^*\| + \|\|X + Y^*\| - \cot(\alpha)\|X - Y^*\|\|) \\ &\geq \frac{|q|}{4} (\|(X + Y^*) \pm \cot(\alpha)(X - Y^*)\| + \|\|X + Y^*\| - \cot(\alpha)\|X - Y^*\|\|). \end{aligned}$$

Thus,

$$\begin{aligned} w_q\left(\begin{bmatrix} 0 & X \\ Y & 0 \end{bmatrix}\right) &\geq \frac{|q|}{4} \left(\max\{\|(1 + \cot(\alpha))X + (1 - \cot(\alpha))Y^*\|, \|(1 - \cot(\alpha))X + (1 + \cot(\alpha))Y^*\|\} \right. \\ &\quad \left. + \|\|X + Y^*\| - \cot(\alpha)\|X - Y^*\|\| \right). \end{aligned}$$

(b) If $\alpha = 0$, then X and Y are positive definite matrices. We have

$$w_q(T) \geq |q|\|\mathcal{R}(T)\| \quad \text{and} \quad w_q(T) \geq |q|\|\mathcal{I}(T)\|.$$

By using similar calculations as Theorem 3.7, we have

$$w_q\left(\begin{bmatrix} 0 & X \\ Y & 0 \end{bmatrix}\right) \geq \frac{|q|}{2} \max\{\|X\|, \|Y^*\|\} + \frac{|q|}{4} \|\|X + Y^*\| - \|X - Y^*\|\|.$$

As X and Y are positive definite so $X = X^*$ and $Y = Y^*$, we have

$$w_q\left(\begin{bmatrix} 0 & X \\ Y & 0 \end{bmatrix}\right) \geq \frac{|q|}{2} \max\{\|X\|, \|Y\|\} + \frac{|q|}{4} \|\|X + Y\| - \|X - Y\|\|.$$

□

Remark 3.14. One notable point is that if $X \in \prod_{s,\alpha}^n$, then $X^* \in \prod_{s,\alpha}^n$. If we take $Y = X^*$, then Theorem 3.13(a) gives us

$$|q|\|X\| \leq w_q\left(\begin{bmatrix} 0 & X \\ X^* & 0 \end{bmatrix}\right) \leq \|X\|.$$

The lower bound mentioned in the aforementioned inequality is better as compared to the lower bound in Corollary 3.3(a).

Declarations:

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